



CURSUS
NETWERK MANAGEMENT
ENERTEL

12 en 13 mei 1998

Aiko Pras

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INFORMATIE CURSUSLEIDER

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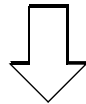
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TELEMATICA EN DE UT

TSS

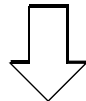
- Prof. dr. ir. I.G.M.M. NIEMEGEERS



- SAMENWERKINGSVERBAND VAN HOOGLERAREN
- FACULTEITEN INFORMATICA EN ELEKTROTECHNIEK
 - ONDERWIJS EN ONDERZOEK

CTIT

- Prof. dr. ir. I.G.M.M. NIEMEGEERS

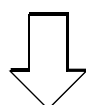


- ONDERZOEKSINSTITUUT VAN DE UT
- COORDINEERT TELEMATICA ONDERZOEK VAN DE VERSCHILLENDE UT FACULTEITEN

TELEMATICA INSTITUUT (TI)

- Prof. dr. ir. C.A. VISSERS

<http://www.trc.nl/>



- OVERHEID, BEDRIJFSLEVEN EN UT



TSS

QUANTITATIEVE ANALYSE & METHODEN

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Dr. ir. A. Pras

Dr. ir. B.J.F. van Beijnum

ir. R.A.M. Sprenkels

Ir. B.D. van der Waaij



TSS: MANAGEMENT GROEP

Externe projecten

- UT-ATM
- UT-WWW

Interne projecten

- Accounting
- Management Hierarchies
 - UT-SNMPv2
 - Damocles
 - SMASH
 - LaForge



CTIT: INBEDDING EN SAMENWERKING

Faculteiten:

- Informatica
- Elektrotechniek
- Toegepaste Wiskunde
- Toegepaste Onderwijskunde
- Technologie en Management
 - Bestuurskunde
- Wijsbegeerte en Maatschappijwetenschappen

Telematics Graduate School

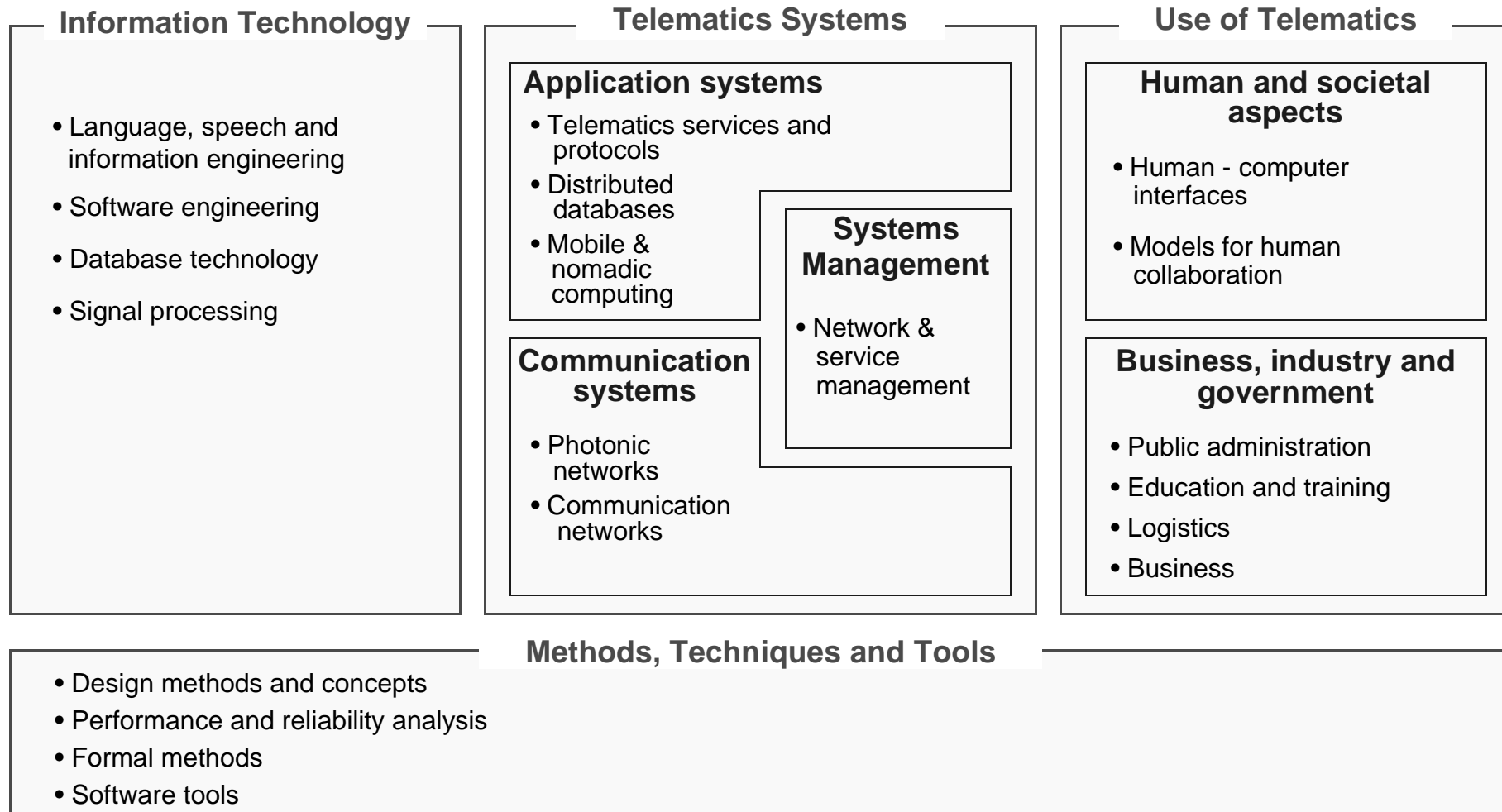
Telematica Instituut

Bedrijven

- KPN, Ericsson, Lucent, SURFnet, ...

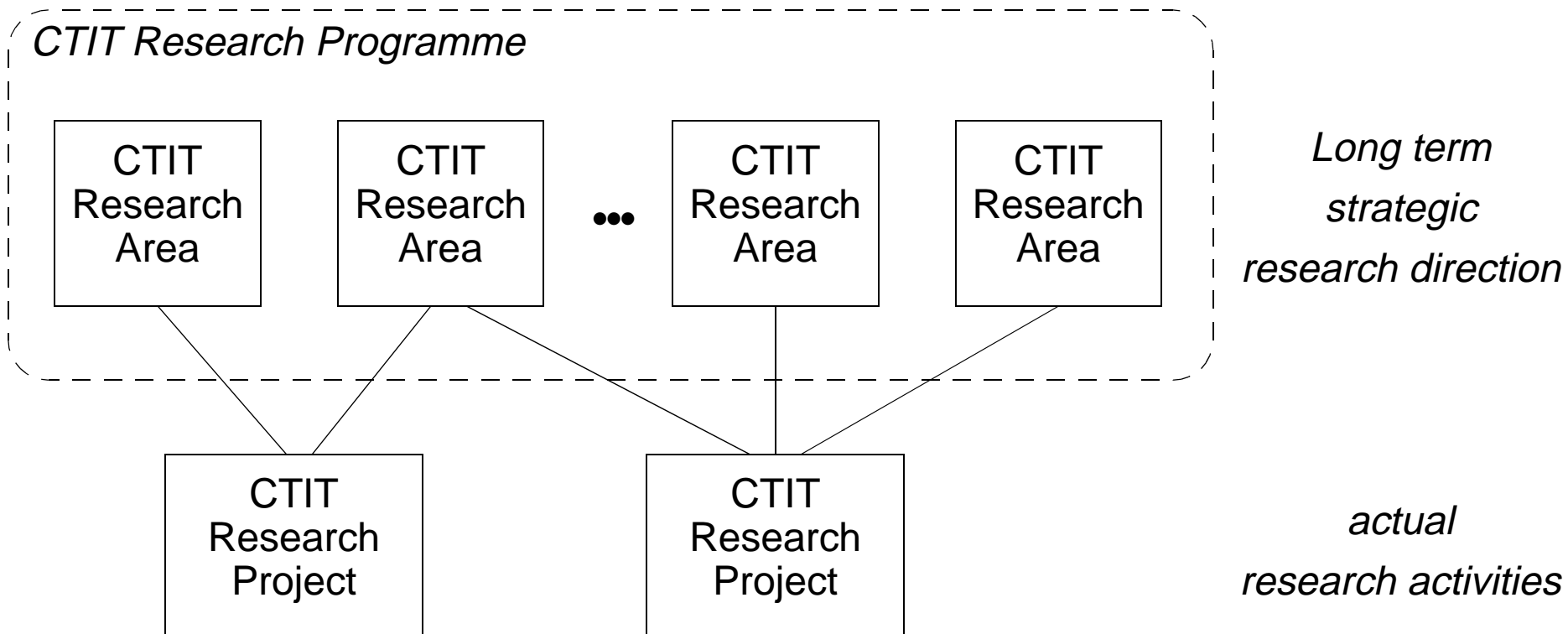


CTIT: ONDERZOEKPROGRAMMA - 1





CTIT: ONDERZOEKPROGRAMMA - 2





CTIT: ONDERZOEKPROJECTEN

EUROPEES:

- INSIGNIA, TOBASCO, PRISMA, RAINBOW, WIDE, TWENTY ONE, ...

NATIONAAL:

- MESH, TESTBED, SURFNET4, IMPACT, FLAMINGO, OPTICAL NETW., ...

UT:

- IDYLLE, OVERHEIDSLOKET-2000, ...

TELEMATICA INSTITUUT:

- DMW, MERITS, AMIDST, DRUID, INTERNET NEXT GENERATION, ...



OVERZICHT VAN DE CURSUS

Dinsdag 12 mei

- 9:30 Algemene Inleiding
- 10:10 Enige theorie
- 11:30 Normering: TMN, ISO, Internet, ...
- 12:30 Lunch
- 13:30 TMN
- 15:00 OSI Management
- 16:30 OSI Management - vervolg

Woensdag 13 mei

- 9:00 Introductie SNMP
- 9:20 Structuur van Management Informatie (SMI)
- 10:45 Internet Management Information Base (MIB-II)
- 12:15 Lunch
- 13:15 Het SNMP protocol
- 14:00 SNMPv2
- 14:45 SNMPv3
- 15:30 Overige MIBs
- 16:00 Extensible agent technology
- 16:25 TMN / OSI versus SNMP
- 16:45 Slot opmerkingen
- 17:00 Einde



A LITTLE BIT OF THEORY ...

WHAT IS MANAGEMENT?

- DEFINITIONS
 - AUTOMATIC VERSUS OPERATOR INITIATED MANAGEMENT
 - DISTRIBUTION OF MANAGEMENT FUNCTIONALITY
- MANAGER-AGENT RELATIONSHIP
- MANAGEMENT INTERACTIONS
- MANAGEMENT INFORMATION BASE



MANAGEMENT DEFINITIONS

OSI Management Framework:

The facilities to control, coordinate and monitor
the resources
which allow communications to take place
in the OSI environment

ITU-T E.410:

The function of supervising the network and taking
action when necessary to control
the flow of traffic

ITU-T M.3010 (TMN):

Plan, provision, install, maintain, operate and adminis-
ter telecommunication networks and services



MANAGEMENT DEFINITIONS

PTT Nederland

Het begrip netwerkmanagement is de verzamelnaam voor het op een bepaald service niveau en tegen bepaalde kosten verzorgen van activiteiten - al dan niet geautomatiseerd - die voor het plannen, installeren, bewaken, observeren en werkend houden van een netwerk met alle netwerkcomponenten nodig zijn

Centrale Commissie Overheidsinformatievoorziening

De activiteiten die nodig zijn voor het plannen, installeren, bemeten en onderhouden van alle componenten van een datacommunicatie-netwerk, teneinde een gegarandeerd niveau van ondersteuning te bieden tegen acceptabele en overeengekomen kosten

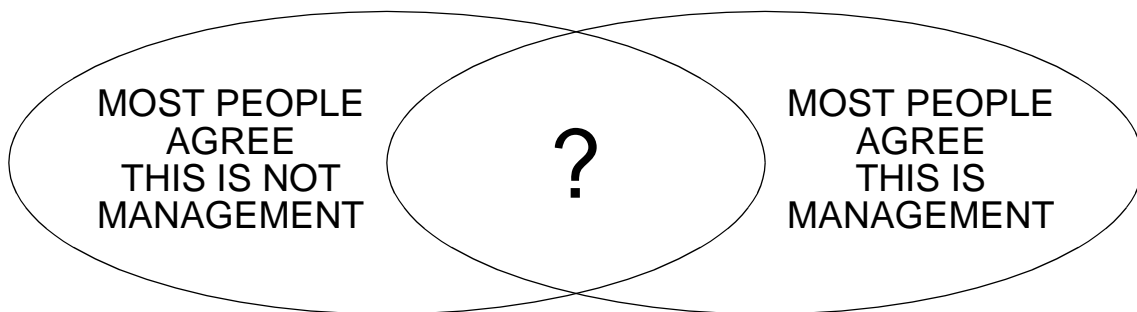
RACE CFS A150

The set of functions which has been designed to get maximum benefit in operating a telecommunication network or services. Network management includes subscriber and network administration, quality of service management (incl. system reconfiguration)



WHAT IS MANAGEMENT

DEFINITIONS NOT REALLY CLEAR



ITU:

- USER PLANE
- CONTROL PLANE
- MANAGEMENT PLANE

BUT:

IS THIS DIVISION PRACTICAL?



WHAT IS MANAGEMENT

CHANGING IDEAS

E.G.

- PROTOCOLS TO MAINTAIN ROUTING TABLES
- PROTOCOLS TO INITIALIZE ADDRESSES
- PROTOCOLS TO DETECT IF SYSTEMS ARE ACTIVE



WHAT IS MANAGEMENT

MANUAL VERSUS AUTOMATIC

MANUAL:
PERFORMED BY OPERATOR

AUTOMATIC:
PERFORMED BY MANAGEMENT FUNCTIONS
THAT ARE IMPLEMENTED
WITHIN THE NETWORK EQUIPMENT

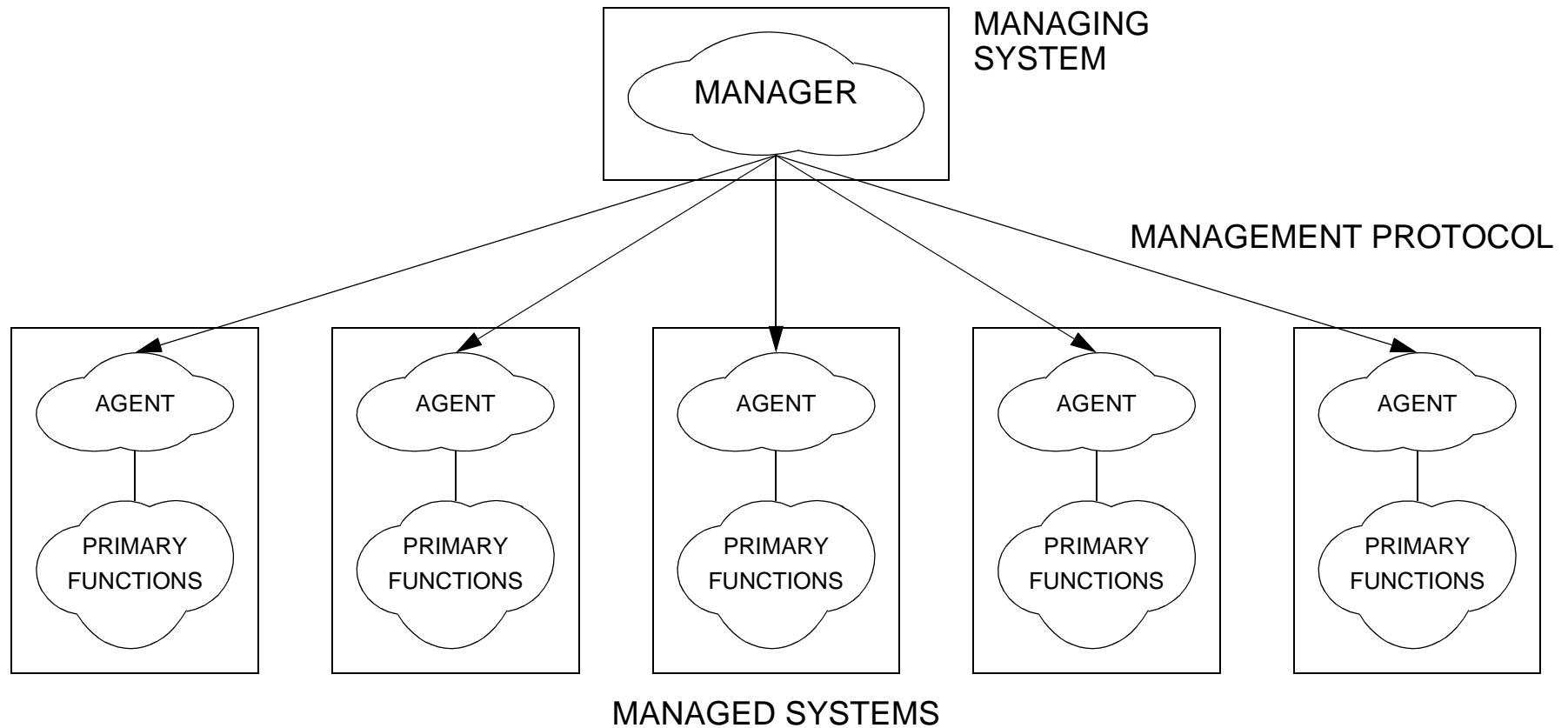
EXPLICIT VERSUS IMPLICIT

MIXED APPROACHES:

- ARTIFICIAL INTELLIGENCE
 - EXPERT SYSTEMS
 - SCRIPTS

MANAGEMENT DISTRIBUTION

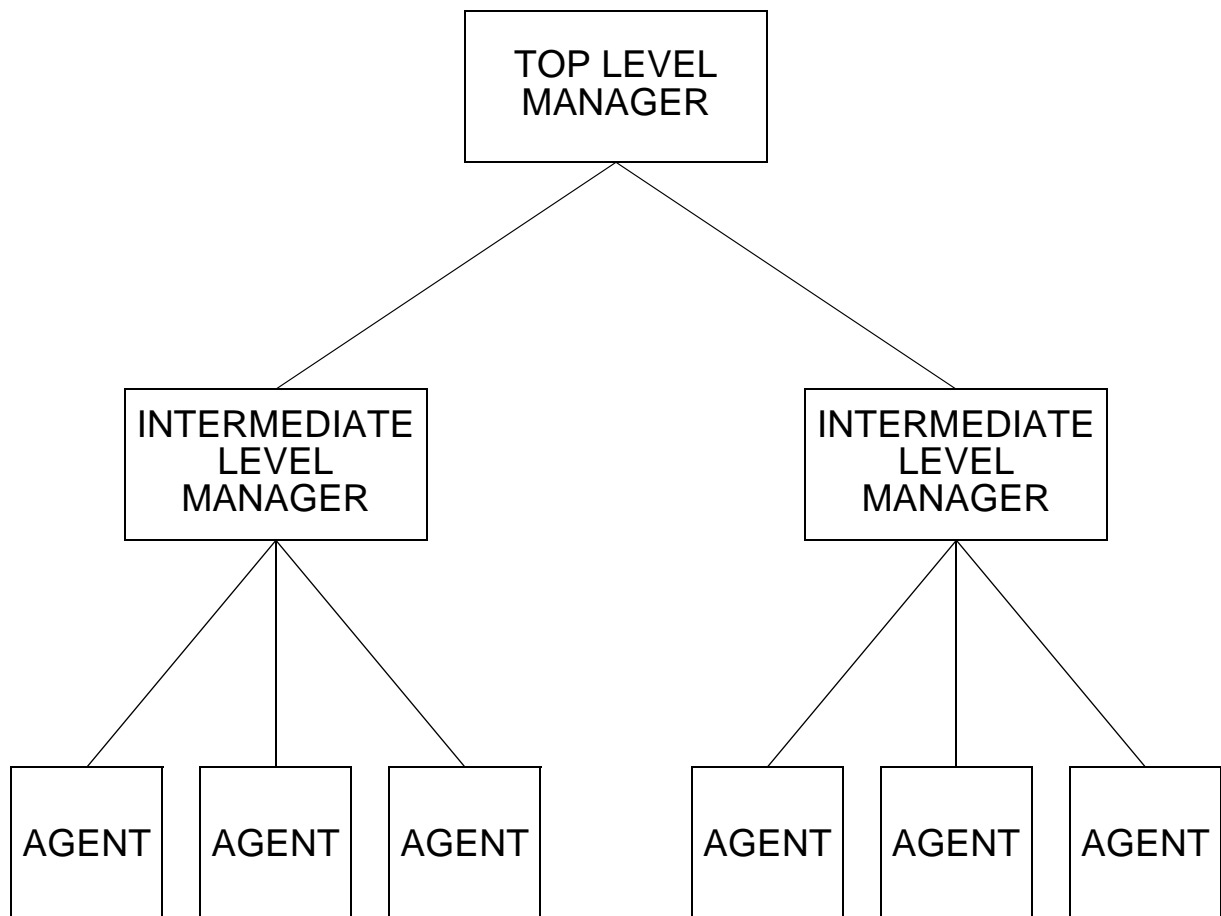
CENTRALIZED APPROACH





MANAGEMENT DISTRIBUTION

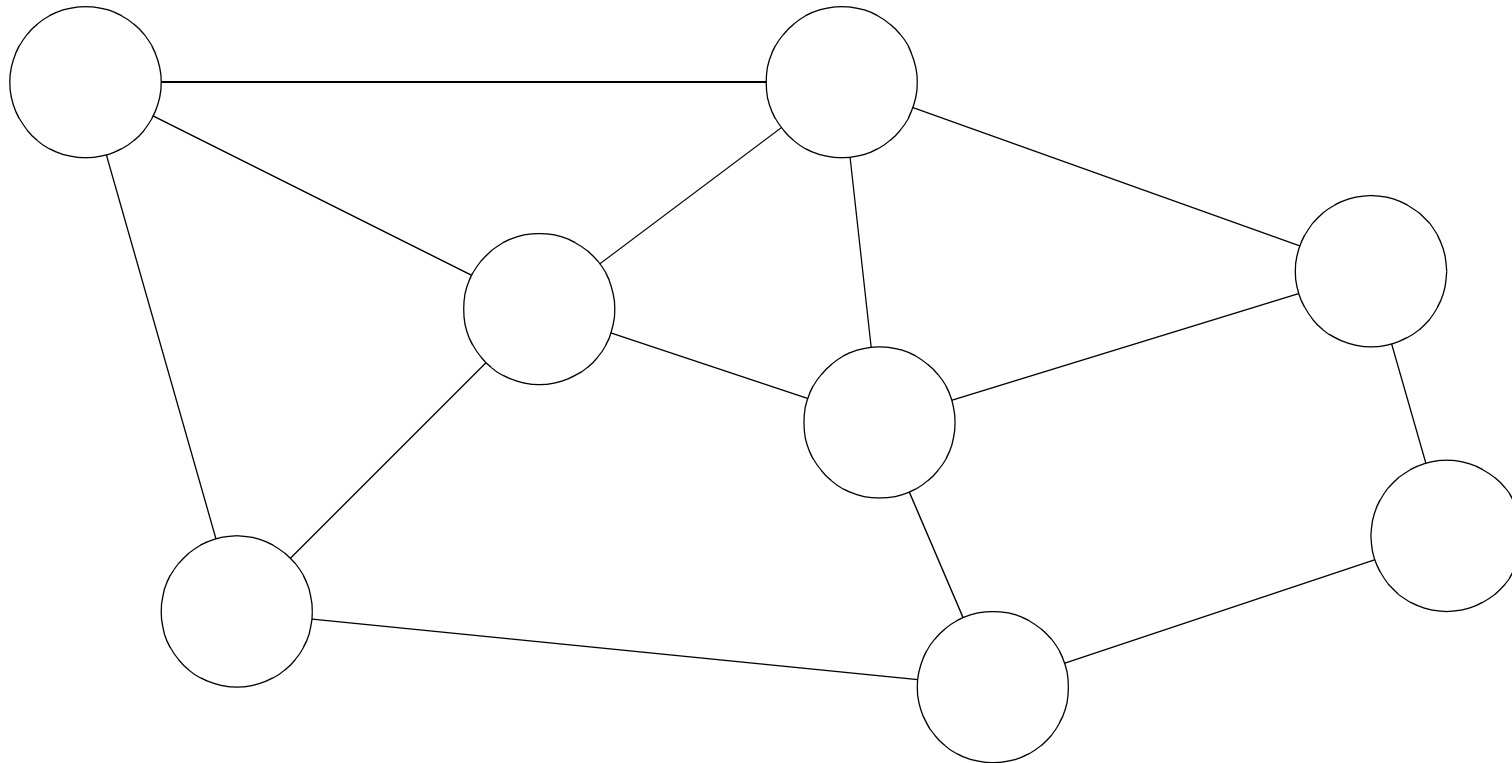
CENTRALIZED APPROACH



MANAGEMENT HIERARCHY

MANAGEMENT DISTRIBUTION

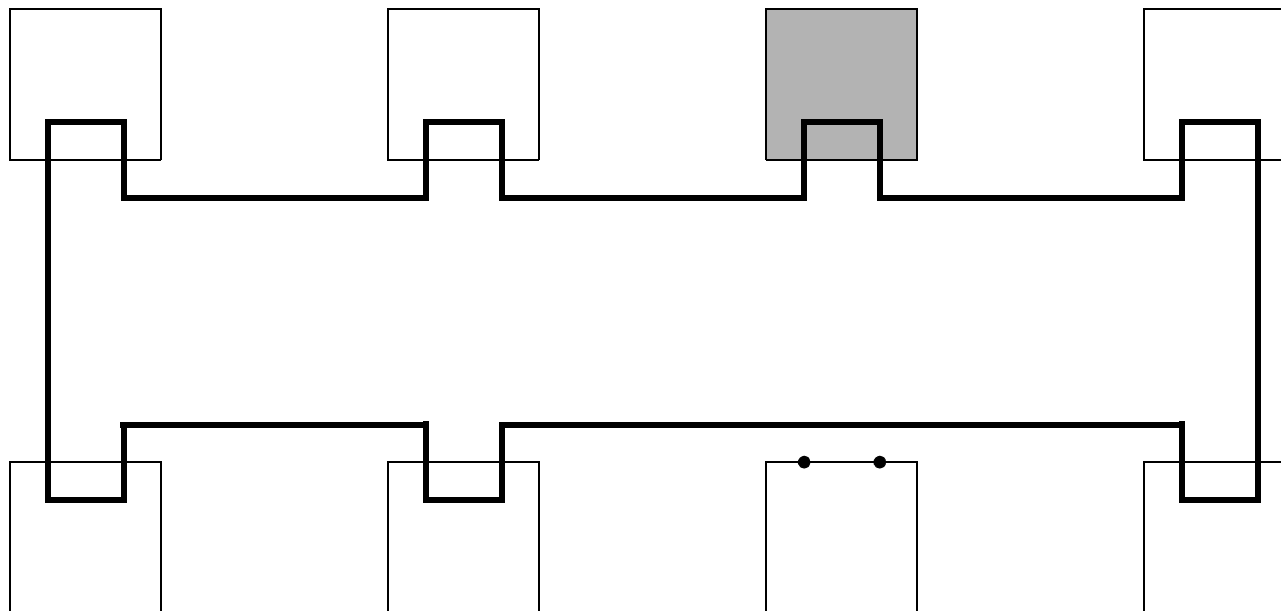
DISTRIBUTED APPROACH



MANAGEMENT DISTRIBUTION

EXAMPLE: TOKEN RING

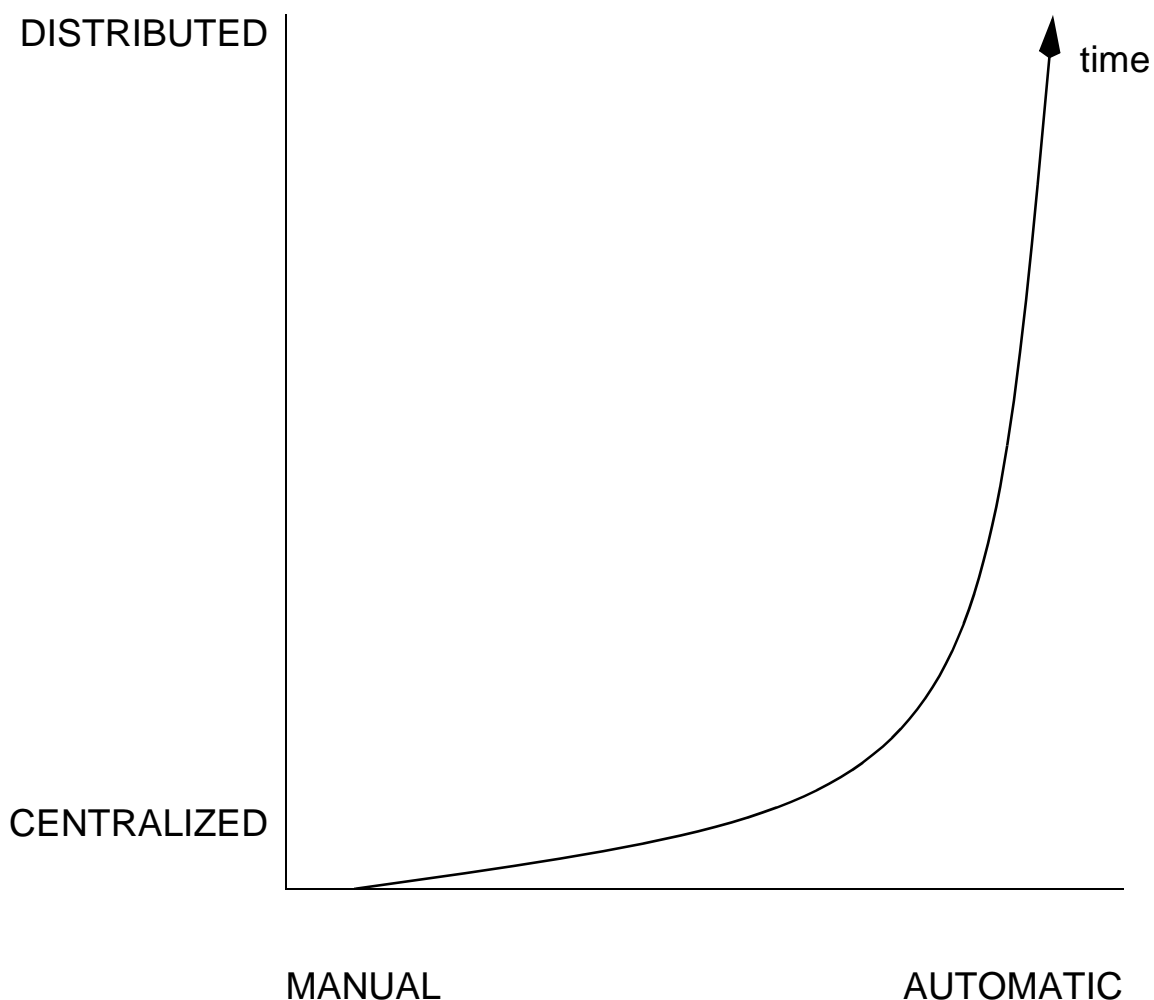
MONITOR
=
CENTRAL MANAGER



EVERY STATION HAS THE POTENTIAL TO BECOME CENTRAL MANAGER



FROM MANUAL TO AUTOMATIC



**CERTAIN MANAGEMENT TASKS
(EG. FAULT MANAGEMENT)
MAY ALWAYS DEMAND
A MANUAL & CENTRALIZED APPROACH**



MANAGEMENT APPROACHES

VARIABLE ORIENTED APPROACH CHANGE THE *VARIABLES* WITHIN THE MANAGED SYSTEM

- READ AND WRITE OPERATIONS
 - MIBs
- REMOTE DEBUGGING
 - EXAMPLE: SNMP

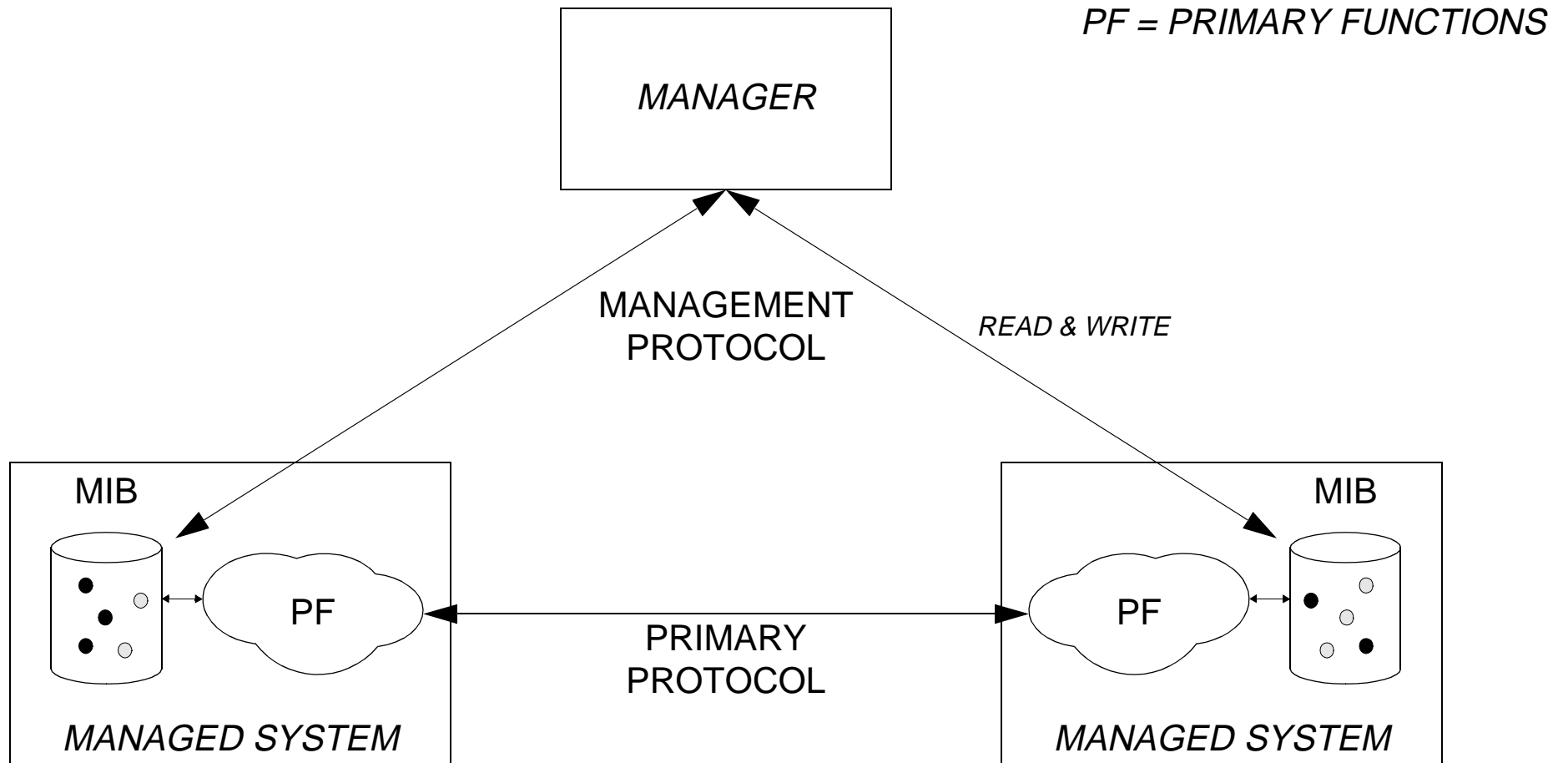
COMMAND ORIENTED APPROACH SEND IMPERATIVE *COMMANDS* TO THE MANAGED SYSTEM

- E.G. REBOOT
- EXAMPLE: TOKEN RING

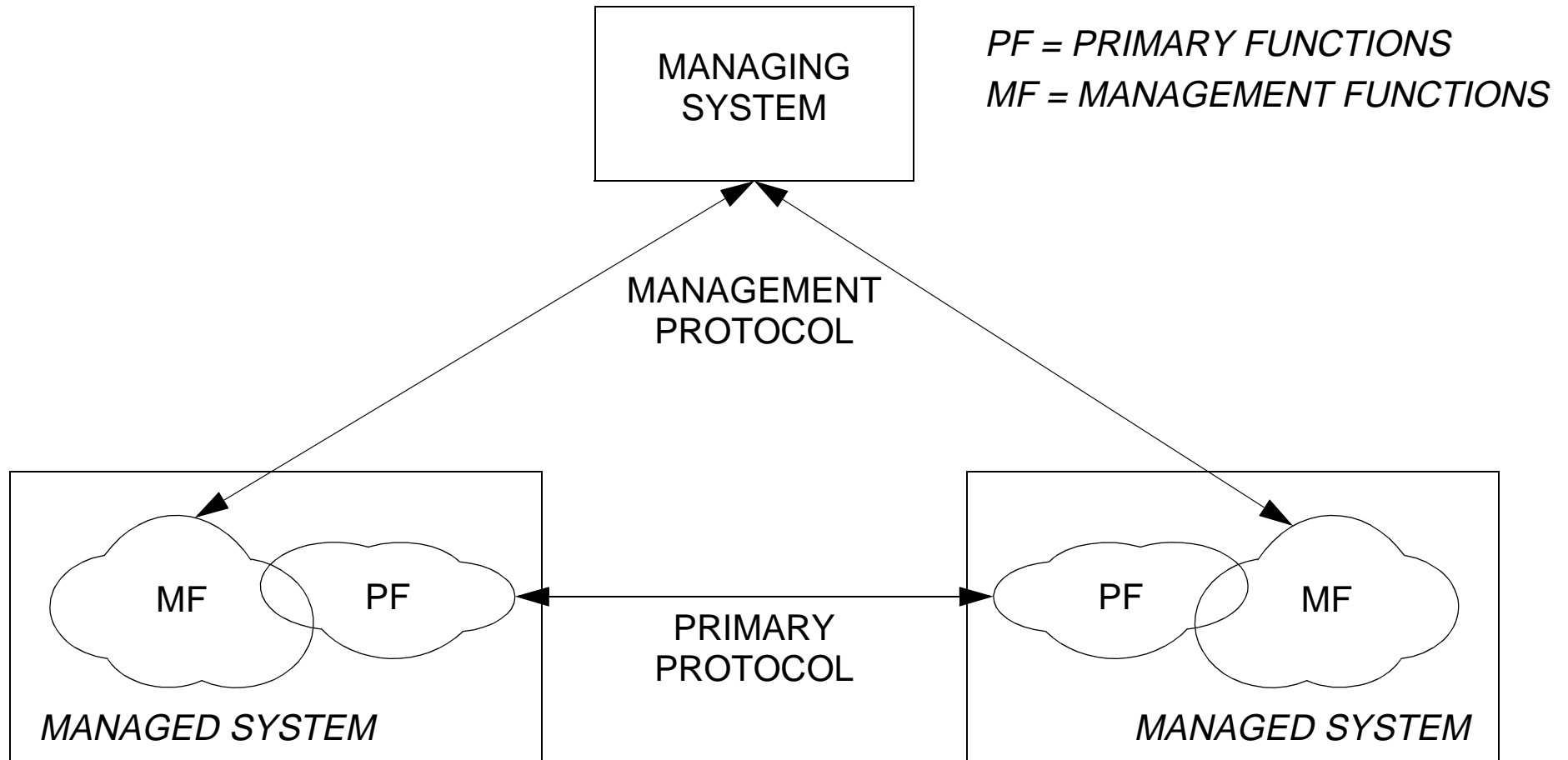
OBJECT ORIENTED APPROACH A COMBINATION OF BOTH APPROACHES

- EXAMPLE: OSI

VARIABLE ORIENTED APPROACH

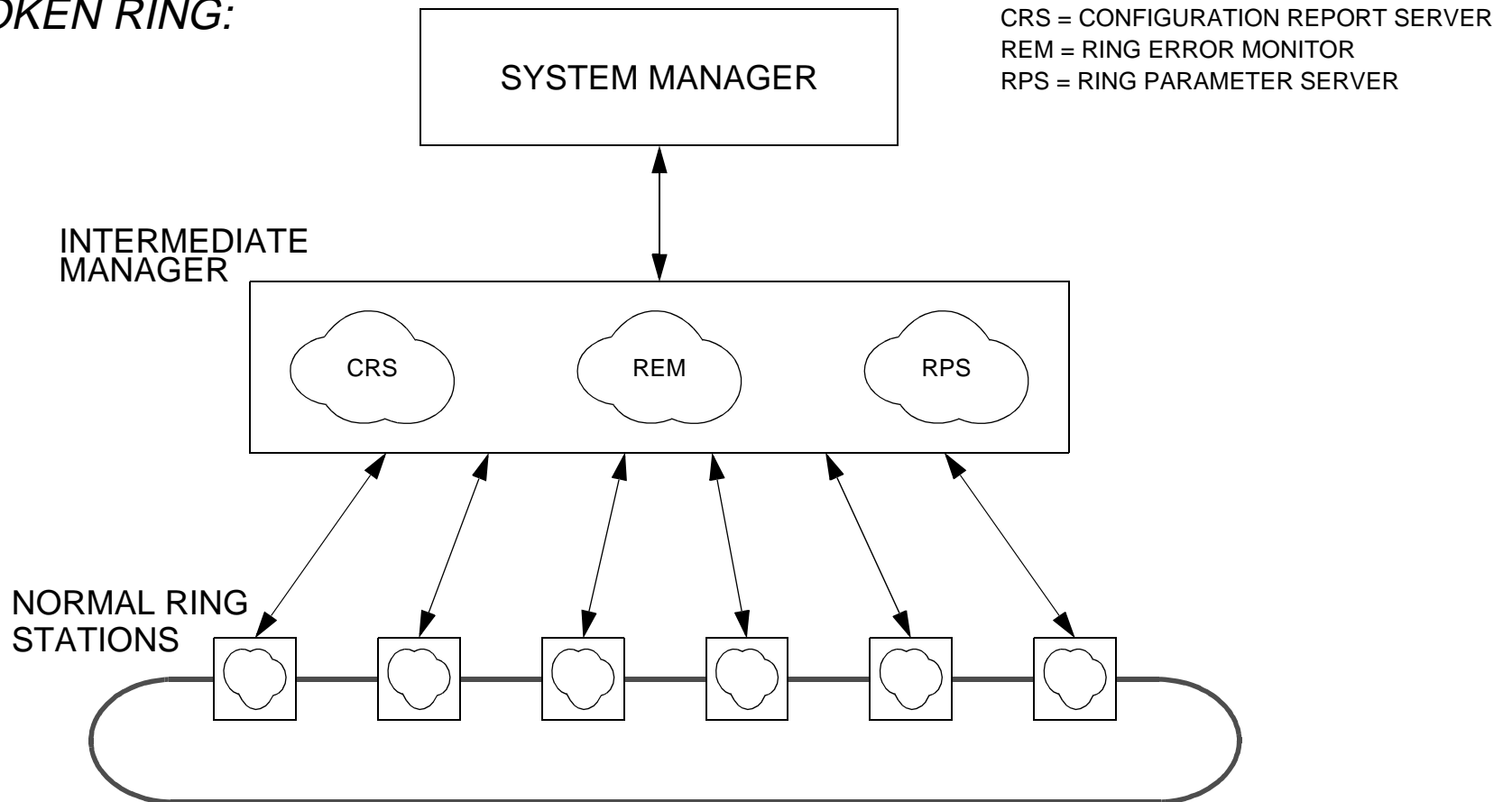


COMMAND ORIENTED APPROACH



COMMAND ORIENTED APPROACH: EXAMPLE

TOKEN RING:





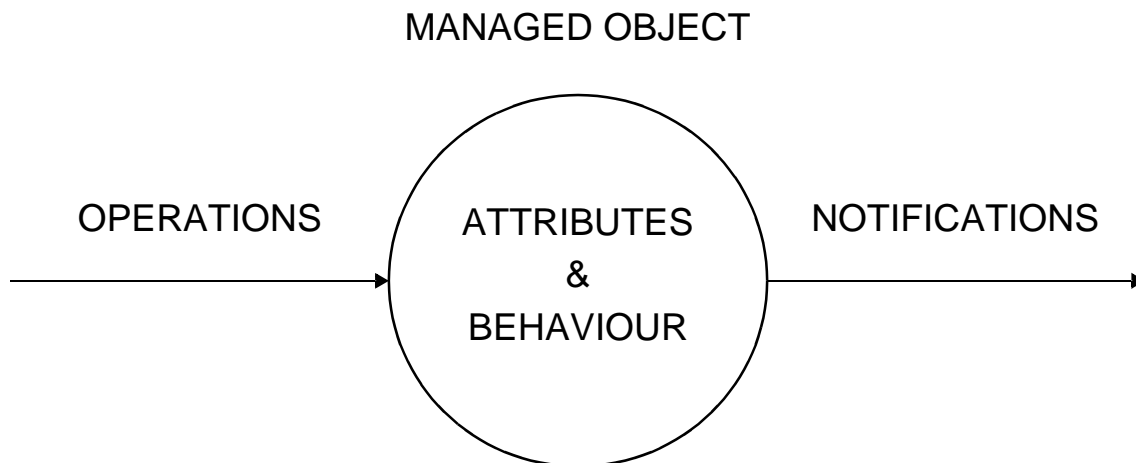
EXAMPLE (CONT.)

MANAGEMENT COMMANDS TOKEN RING:

NAME	DESCRIPTION
Remove Ring Station	Sent by the CRS to a specific ring station causing unconditional removal
Request Ring Station Address	Issued by RPS, REM or CRS to obtain the address(es) of a specific station
Report Ring Station Address	Sent by a station as a response to the RPS, REM or CRS
Request Ring Station Attachments	Issued by RPS, REM or CRS to obtain information on the functions active in a specific station
Report Ring Station Attachments	Sent by a station as a response to the RPS, REM or CRS
Request Ring Station State	Issued by RPS, REM or CRS to obtain state information of a specific station
Report Ring Station State	Sent by a station as a response to the RPS, REM or CRS
Request Initialization	Issued by the station that has just entered the ring. It informs the RPS that it has been inserted and wants new parameters
Initialize Ring Station	Issued by the RPS as a response to a previous Request Initialization.
Change Parameters	Sent by the CRS to set parameters
Report Error	Sent by a station to the REM
Report SUA Change	Sent by a station to the CRS when a change in station's Stored Upstream neighbour Address is detected



OBJECT ORIENTED APPROACH



READ AND WRITE OPERATIONS
ON ATTRIBUTES

IMPERATIVE COMMANDS
TO OBTAIN SPECIFIC BEHAVIOUR

- E.G. REBOOT
- VIA 'ACTION' PDU



MANAGEMENT STANDARDS

INTERNET

- INTERNET ENGINEERING TASK FORCE (IETF)
 - OPERATIONS AND MANAGEMENT AREA
 - SNMP

ISO

- ISO-IEC/JTC 1/WG 4
 - OSI
 - CMIP-CMIS

ITU-T

- THE FORMER CCITT
 - SG IV
 - TMN

OTHERS

- IEEE
- NM FORUM
 - OMG
 - TINA-C
 - ACTS
- OPEN GROUP
 - DMTF



CHARACTERISTICS

IETF

- MANAGEMENT SHOULD BE SIMPLE
 - VARIABLE ORIENTED APPROACH
- MANAGEMENT INFORMATION EXCHANGES MAY BE UNRELIABLE

ISO

- MANAGEMENT SHOULD BE POWERFUL
 - OBJECT ORIENTED APPROACH
- MANAGEMENT INFORMATION MUST BE EXCHANGED IN A RELIABLE FASHION

TMN

- DEFINES ONLY A MANAGEMENT *ARCHITECTURE*
 - THE ACTUAL PROTOCOLS ARE THOSE OF OSI
 - OUT-OF-BAND MANAGEMENT



HISTORY

1981

1985

1988

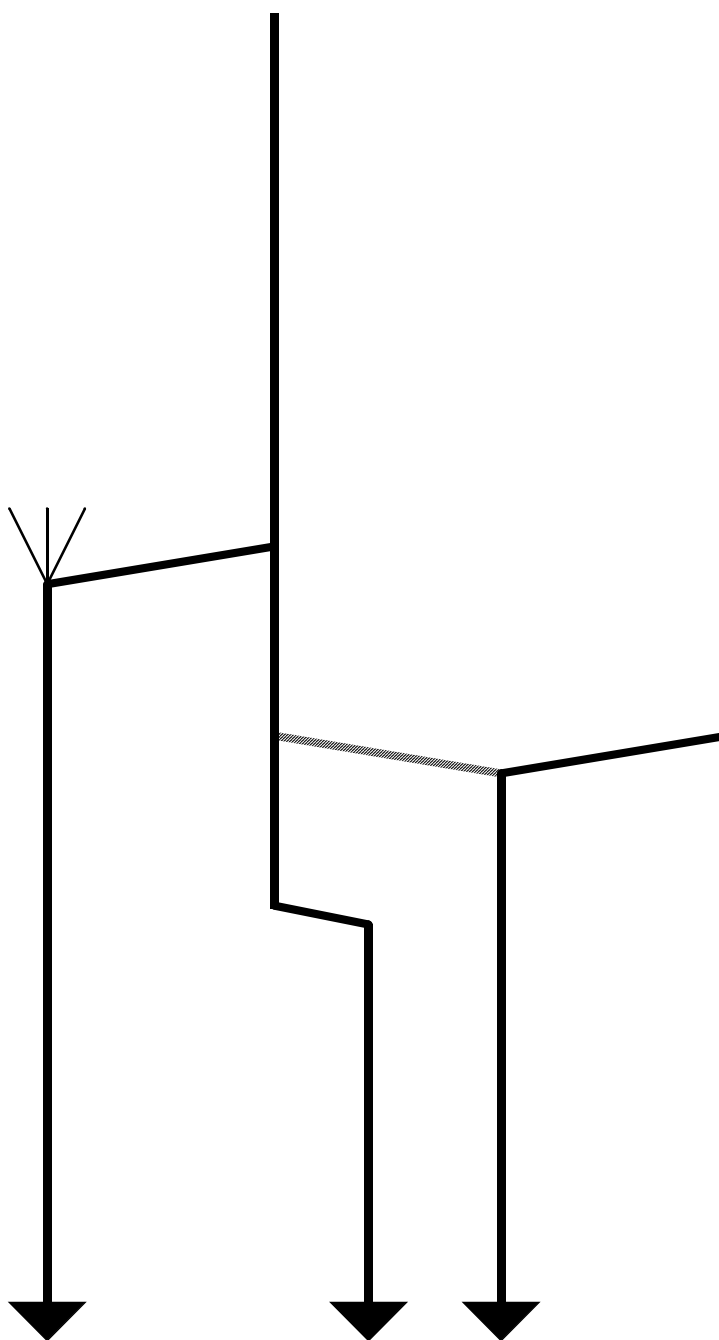
1990

1993

1998

IETF

ISO TMN





MARKET SHARE

NUMBER OF AGENTS?

NUMBER OF MANAGERS?

MONEY?

FOR SPECIFIC ENVIRONMENTS?

- IBM MAIN-FRAMES
- PRIVATE DATA LANs
- PRIVATE DATA WANs
 - PABX
- PUBLIC VOICE NETWORKS
- PUBLIC DATA NETWORKS

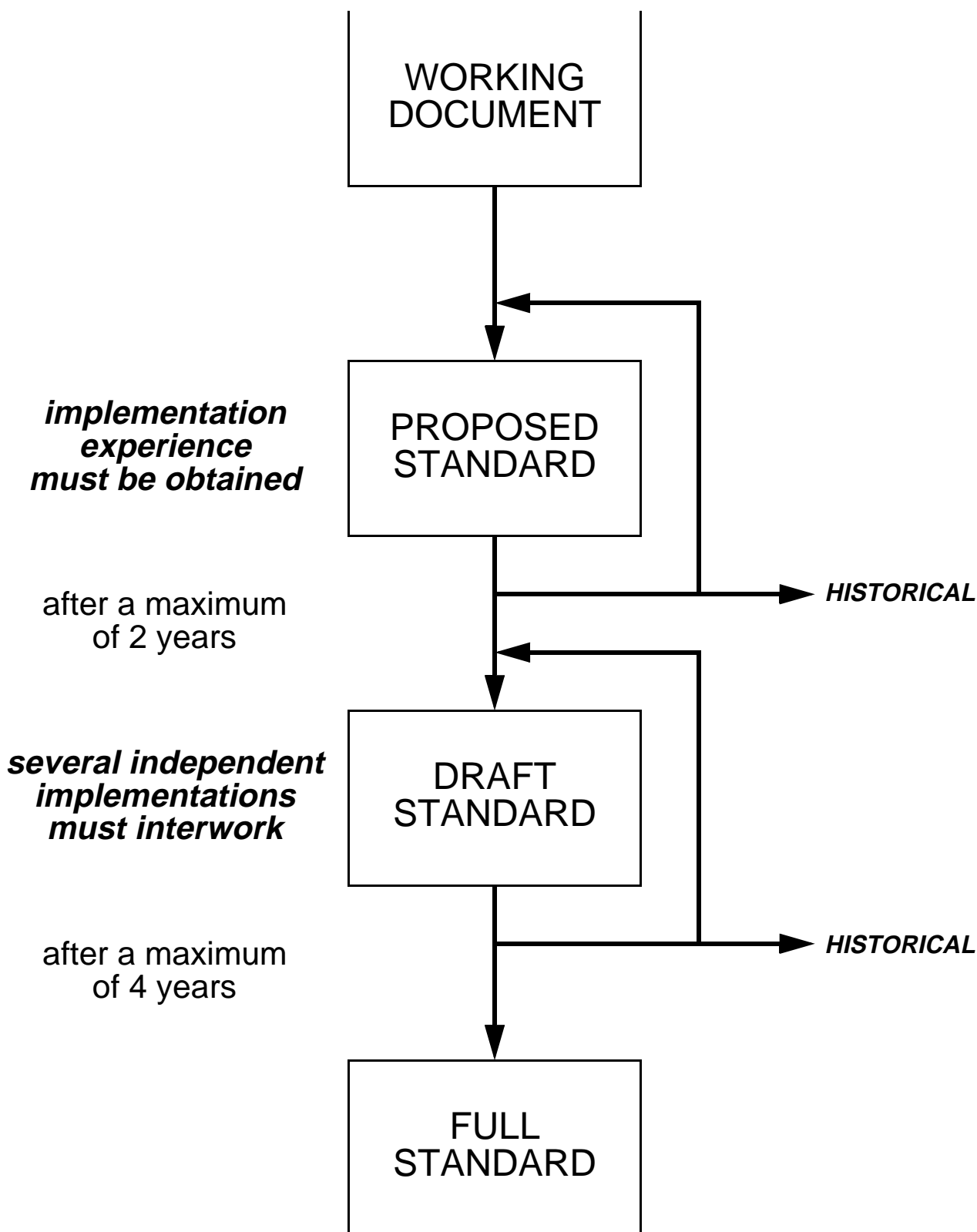


WHY DID SNMP SUCCEED?

- STANDARDS CAN BE OBTAINED FOR FREE
- STANDARDS ARE AVAILABLE
ON FTP-SERVERS
IN AN ELECTRONIC FORM
- RAPID DEVELOPMENT OF STANDARDS
- PROTOTYPES MUST DEMONSTRATE
THE NEED FOR, AND
THE FEASIBILITY OF STANDARDS

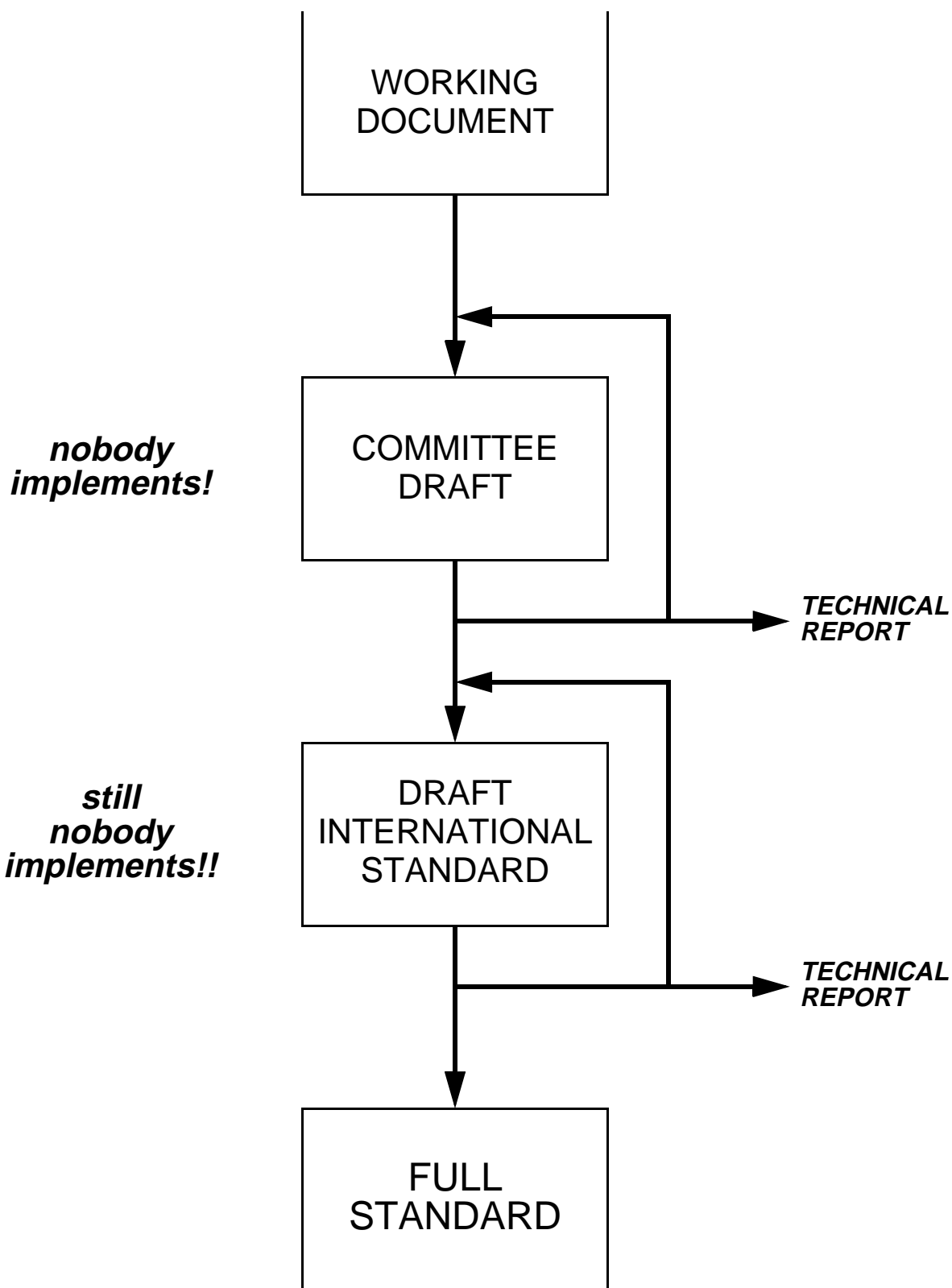


IETF STANDARDIZATION



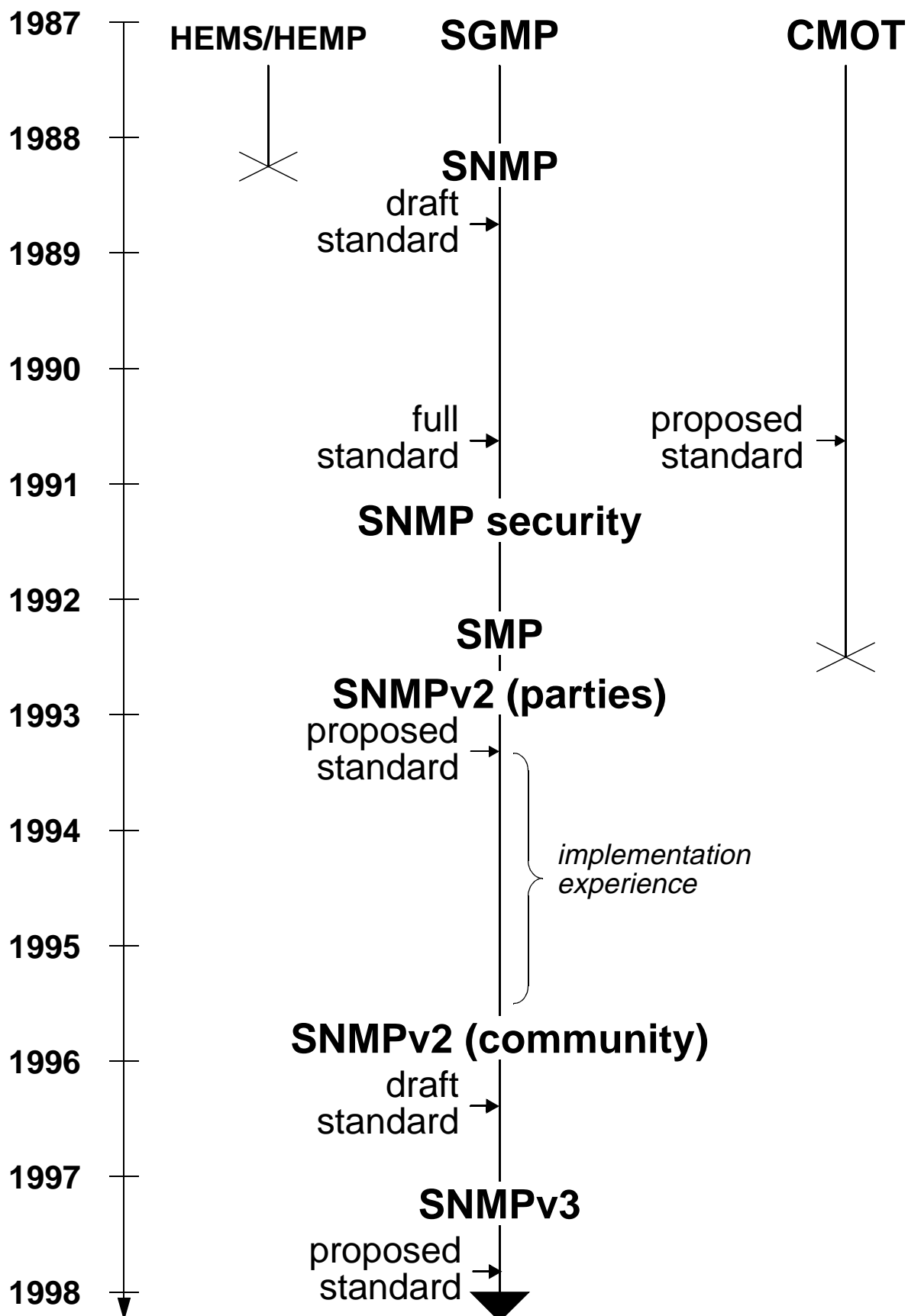


ISO STANDARDIZATION



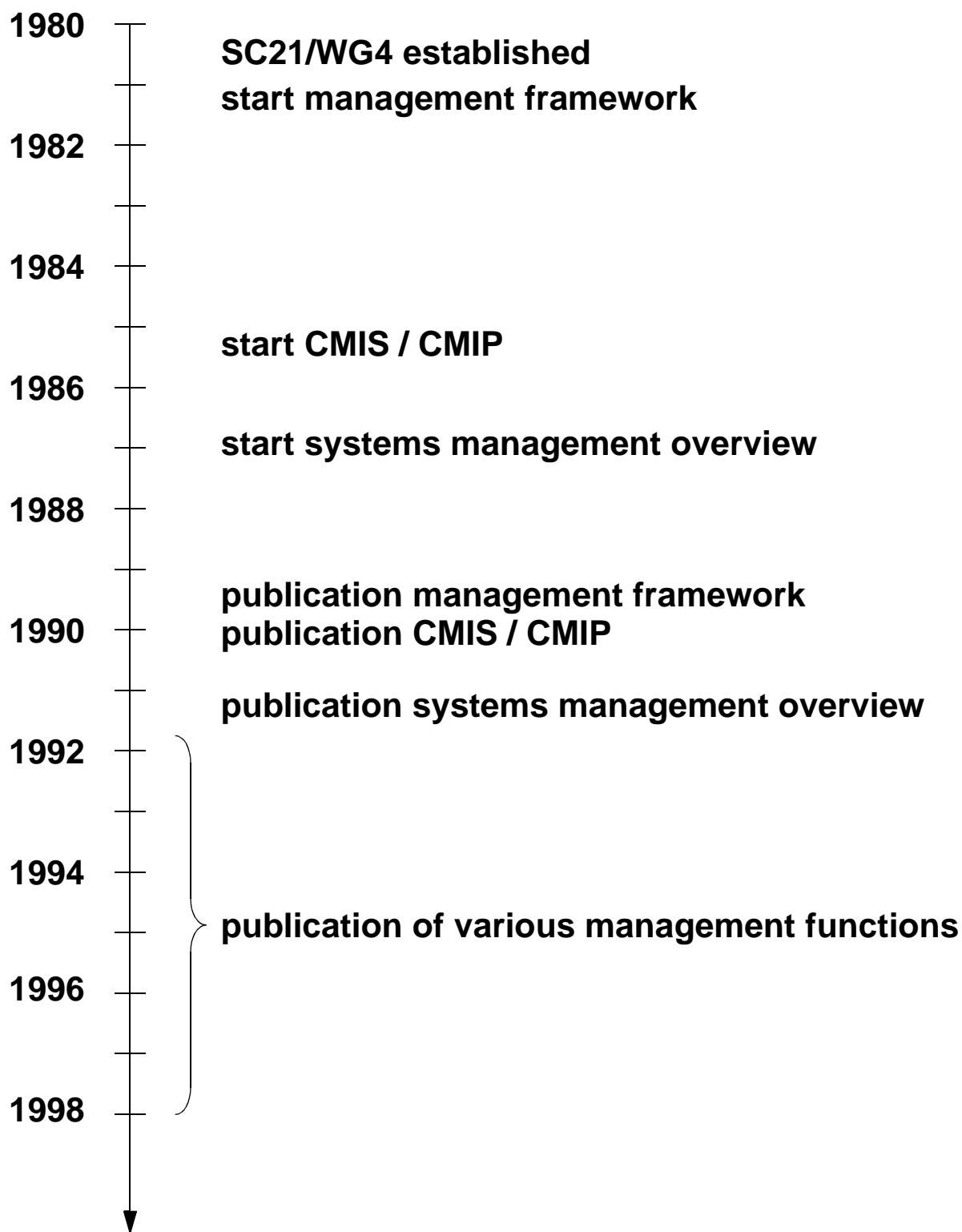


HISTORY IETF



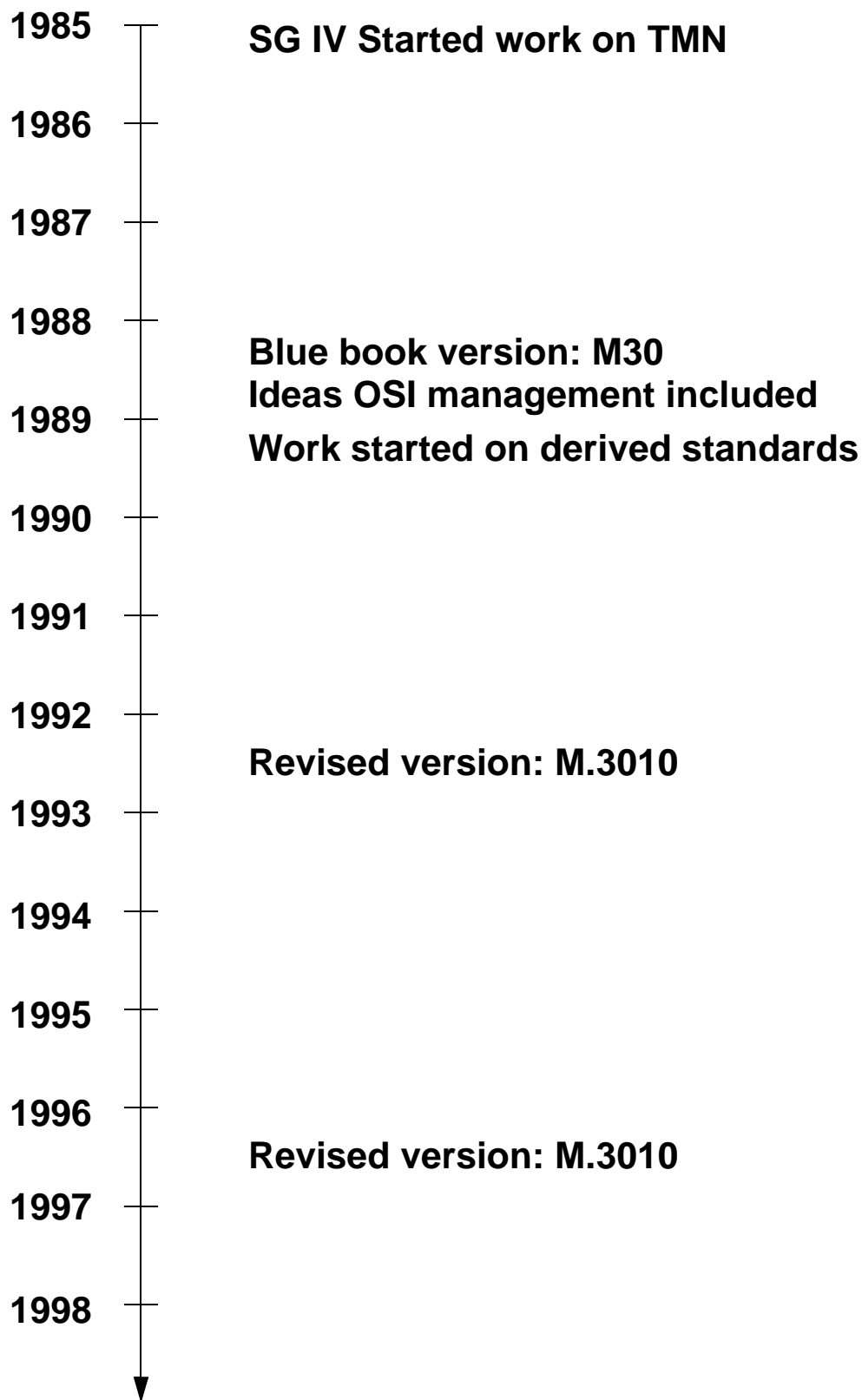


HISTORY ISO





HISTORY ITU-T





OTHER APPROACHES

IEEE

BASED ON OSI

COMMON MANAGEMENT OVER LLC
CMOL

CANNOT OPERATE ACROSS
LAYER 3 ROUTERS

PROMOTED BY IBM

NO AGENT IMPLEMENTATIONS



OTHER APPROACHES

NM-FORUM

FORMED IN 1988

OMNIPOINT

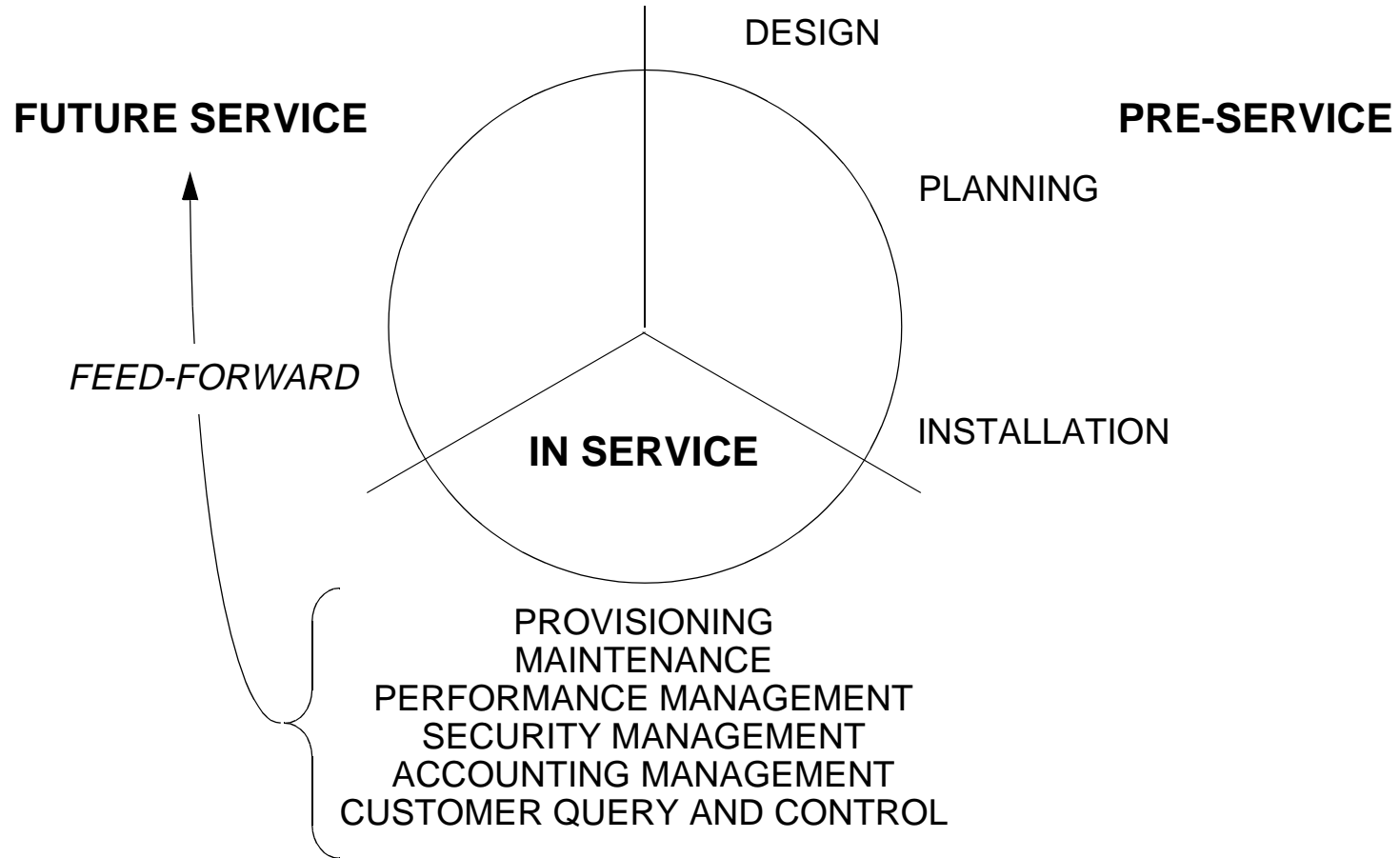
ORIGINALLY BASED ON OSI

NOWADAYS ALSO IETF

XMP & XOM
FROM X/OPEN

CORBA
FROM OMG

RACE





TMN

TELECOMMUNICATIONS MANAGEMENT NETWORK

ITU-T
(CCITT)

DEFINITION STARTED 1985

DEFINED IN M-SERIES

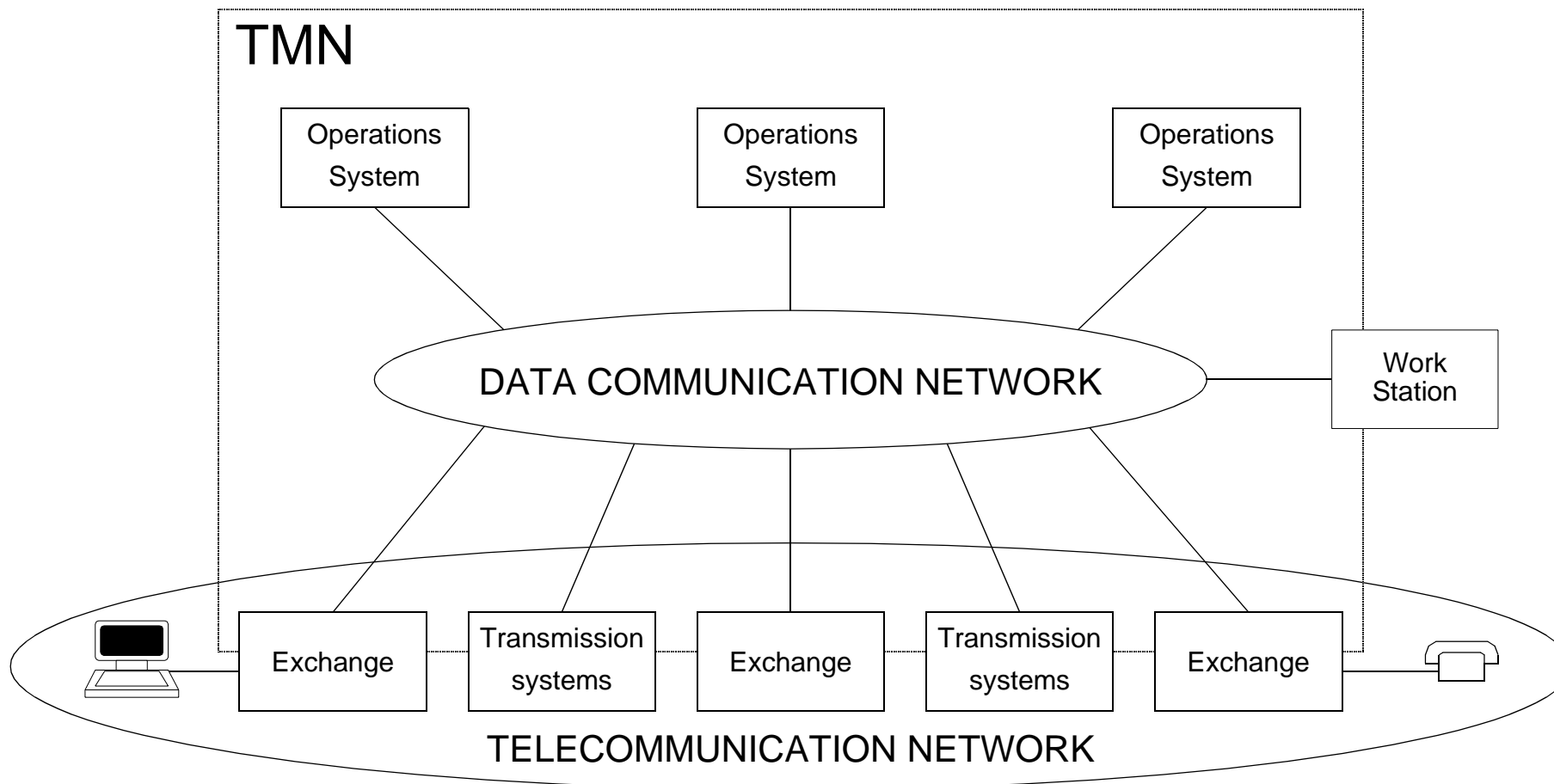
- M.3010

USES
OSI SYSTEMS MANAGEMENT

FAMOUS FOR ITS
MANAGEMENT HIERARCHY
CONCEPT



STRUCTURE



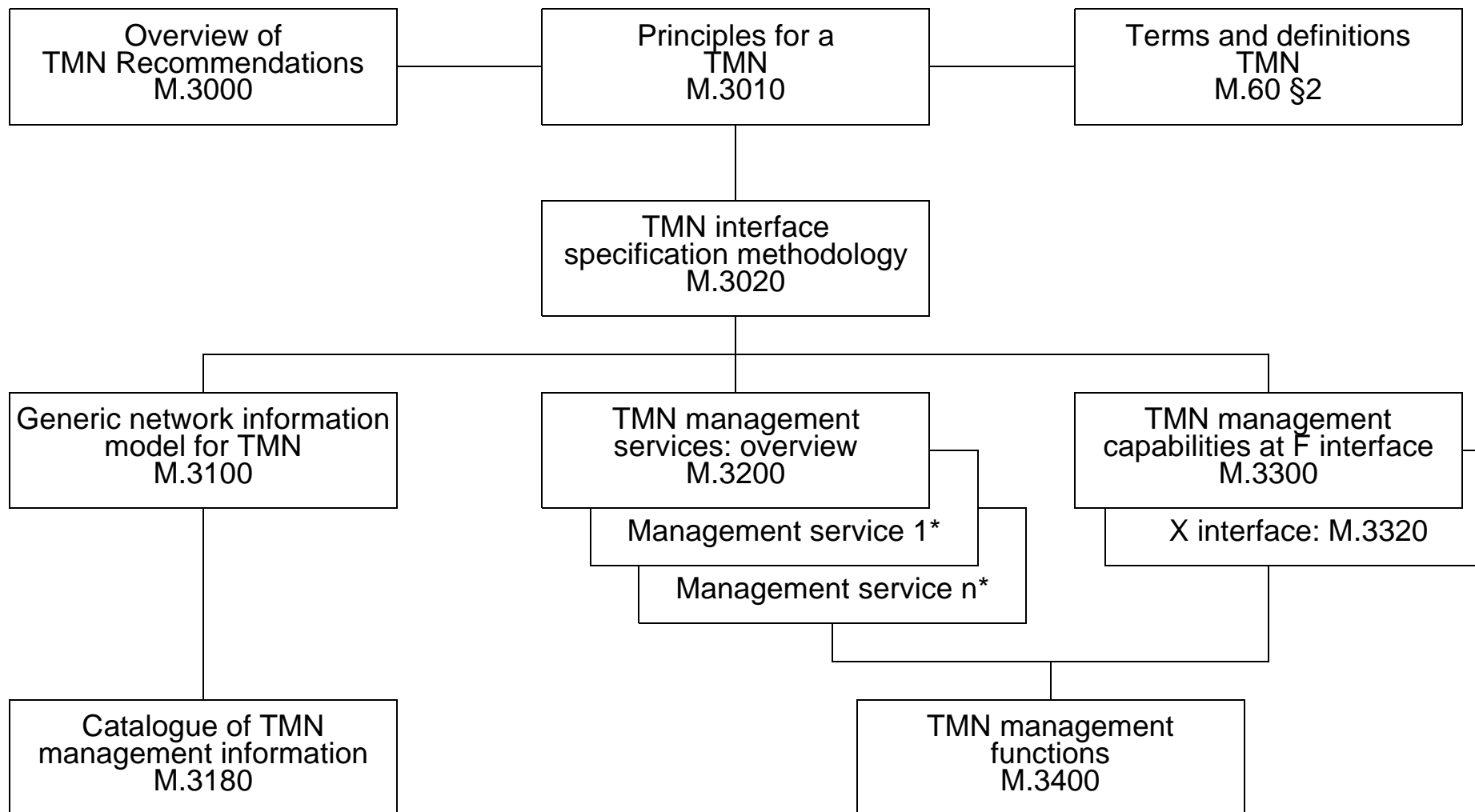


STANDARDS - I

Title	NUMBER	DATE
Overview of TMN Recommendations	M.3000	10/94
Principles for a TMN	M.3010	05/95
TMN interface specification methodology	M.3020	07/95
Generic network information model	M.3100	07/95
Managed object conformance statements for the generic network inf. model	M.3101	07/95
Catalogue of TMN management information	M.3180	10/92
TMN Management Services: Overview	M.3200	10/92
TMN management Services: Maintenance aspects of B-ISDN management	M.3207.1	05/96
TMN management Services: Fault and performance mgt. of the ISDN access	M.3211.1	05/96
TMN management capabilities presented at the F interface	M.3300	10/92
Management requirements framework for the TMN X-interface	M.3320	04/97
TMN management functions	M.3400	04/97



STANDARDS - II





TMN-ISDN STANDARDS

Title	NUMBER	DATE
Principles for the management of ISDNs	M.3600	10/92
Application of maintenance principles to ISDN subscriber installations	M.3602	10/92
Application of maintenance principles to ISDN basic rate access	M.3603	10/92
Application of maintenance principles to ISDN primary rate access	M.3604	10/92
Application of maintenance principles to static multiplexed basic rate access	M.3605	10/92
Principles for applying the TMN concept to the management of B-ISDN	M.3610	05/96
Test management of the B-ISDN ATM layer using the TMN	M.3611	04/97
Principles for the use of ISDN test calls, systems and responders	M.3620	10/92
Integrated management of the ISDN customer access	M.3621	07/95
Management of the D-channel - Data link layer and network layer	M.3640	10/92
Management information model for the management of the data link and network layer of the ISDN D channel	M.3641	10/94
Network performance measurements of ISDN calls	M.3650	04/97
ISDN interface management services	M.3660	10/92



RELATION WITH ISO

REFERENCE TO ISO MANAGEMENT STANDARDS

- SAME VIEW OF
MANAGER-AGENT CONCEPT

- SAME OO APPROACH

- SAME
MANAGEMENT INFORMATION MODEL
(INFORMATION ARCHITECTURE)

- SAME PROTOCOLS
(CMIP)



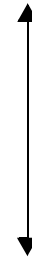
TMN ARCHITECTURES

- FUNCTIONAL ARCHITECTURE
- PHYSICAL ARCHITECTURE
- INFORMATION ARCHITECTURE
- LOGICAL LAYERED ARCHITECTURE



FUNCTIONAL AND PHYSICAL ARCHITECTURE

FUNCTIONAL COMPONENTS

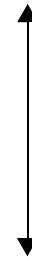


TMN FUNCTIONAL ARCHITECTURE:

FUNCTION BLOCKS

+

REFERENCE POINTS



TMN PHYSICAL ARCHITECTURE:

PHYSICAL EQUIPMENT
(BUILDING BLOCKS)

INTERFACES



FUNCTIONAL COMPONENTS

- MAF
MANAGEMENT APPLICATION FUNCTION

- ICF
INFORMATION CONVERSION FUNCTION

- WSSF
WORKSTATION SUPPORT FUNCTION

- UISF
USER INTERFACE SUPPORT FUNCTION

- MCF
MESSAGE COMMUNICATION FUNCTION

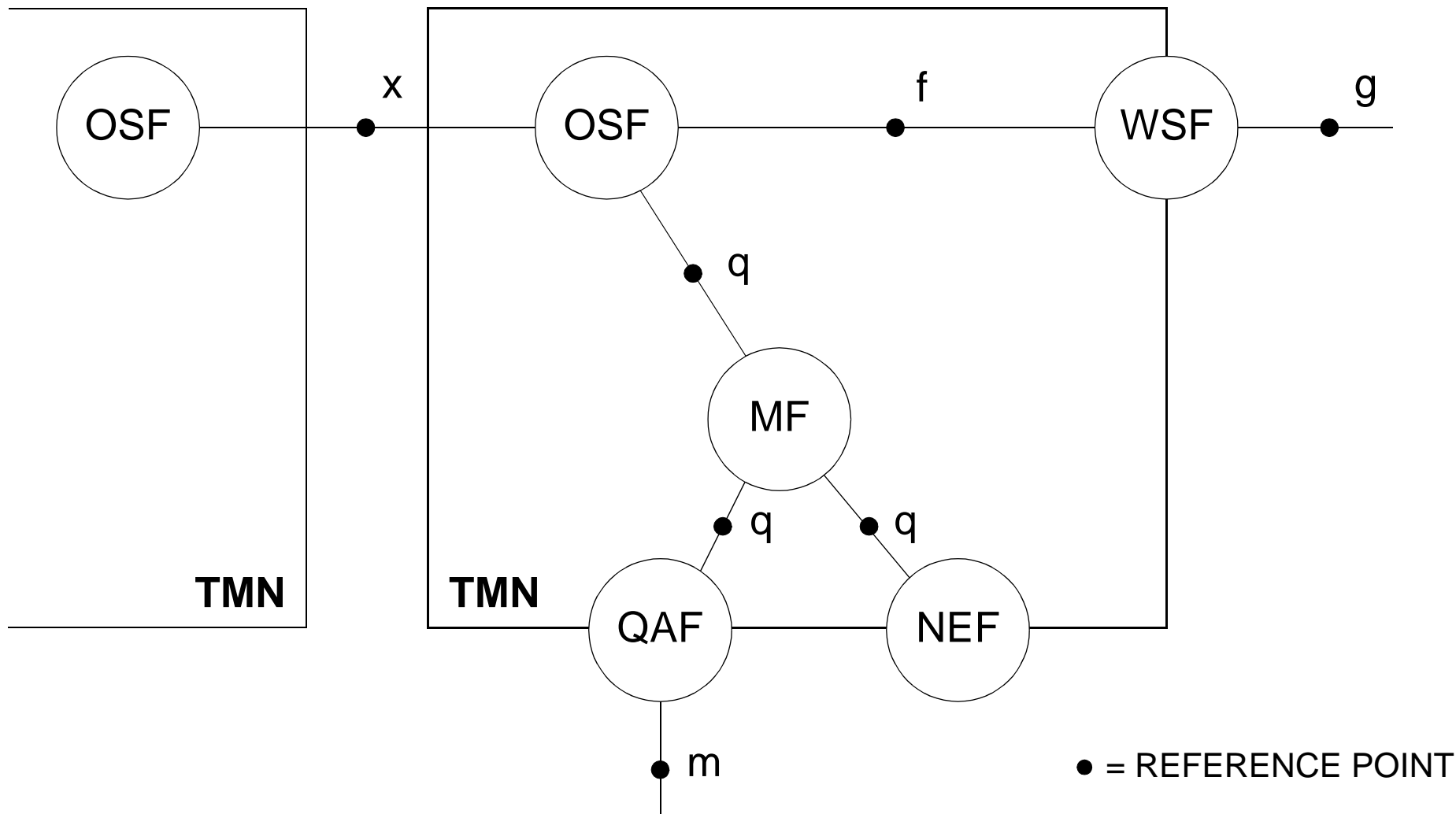
- DSF
DIRECTORY SYSTEM FUNCTION

- DAF
DIRECTORY ACCESS FUNCTION

- SF
SECURITY FUNCTION

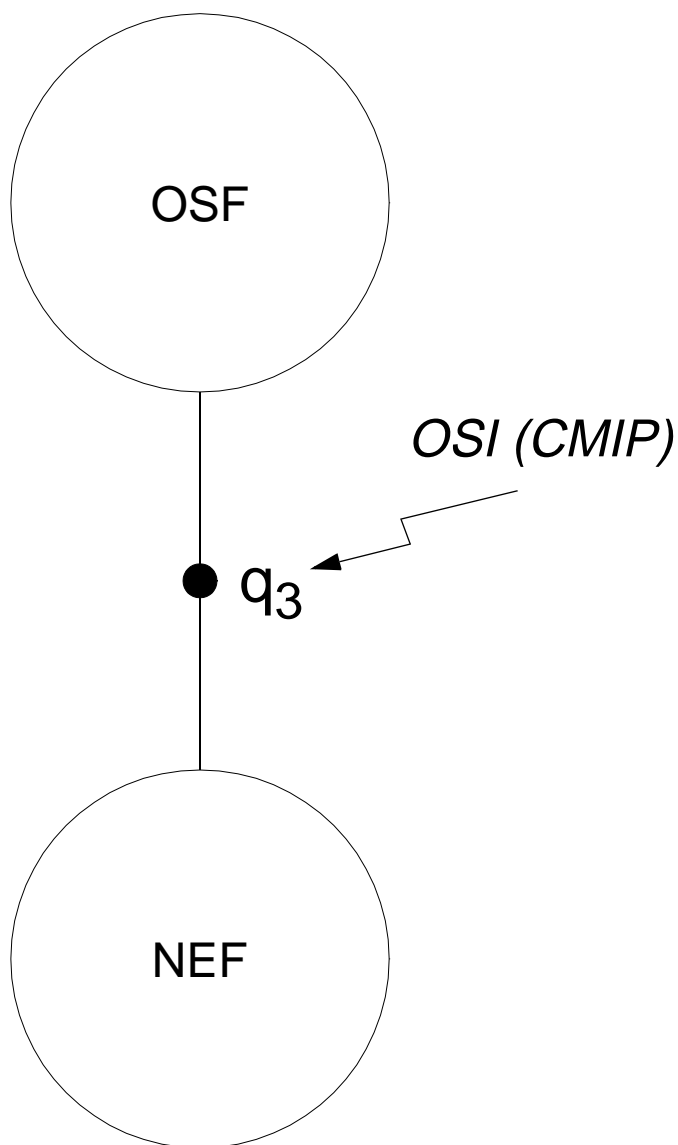


FUNCTIONAL ARCHITECTURE



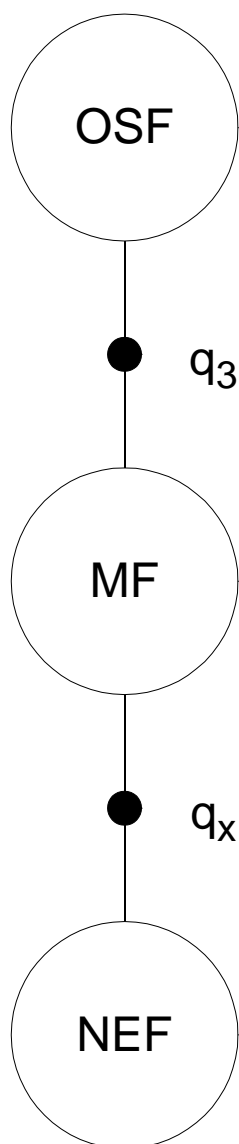


OSF AND NEF

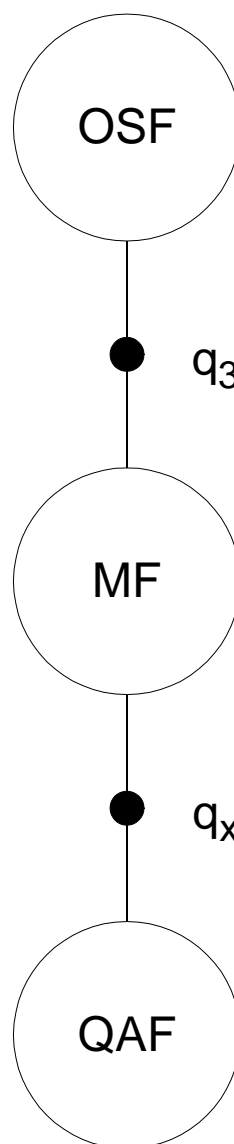




MEDIATION FUNCTIONS



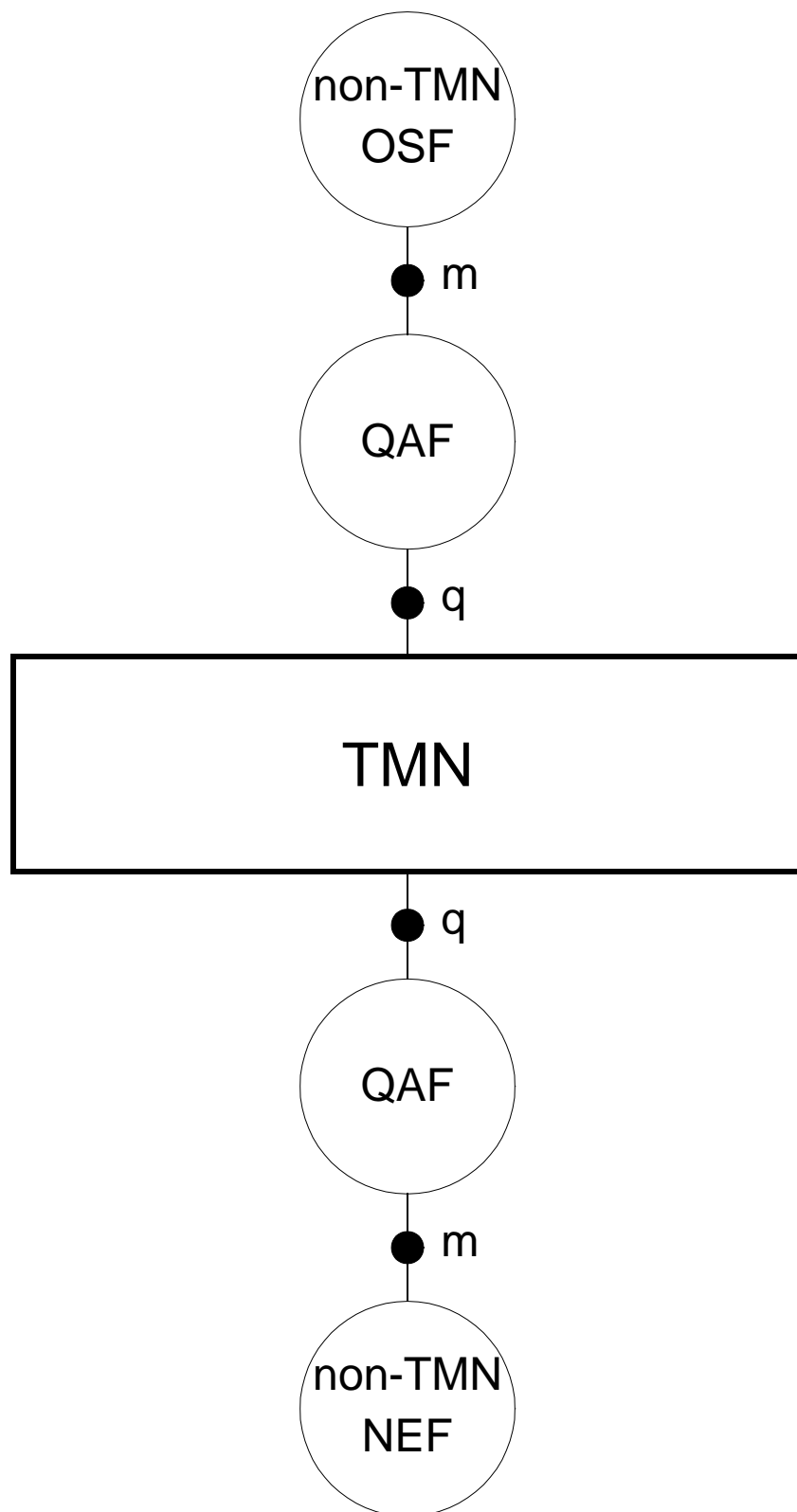
MF BETWEEN
NEF AND OSF



MF BETWEEN
QAF AND OSF



Q ADAPTOR FUNCTIONS





FUNCTIONAL COMPONENTS & FUNCTION BLOCKS

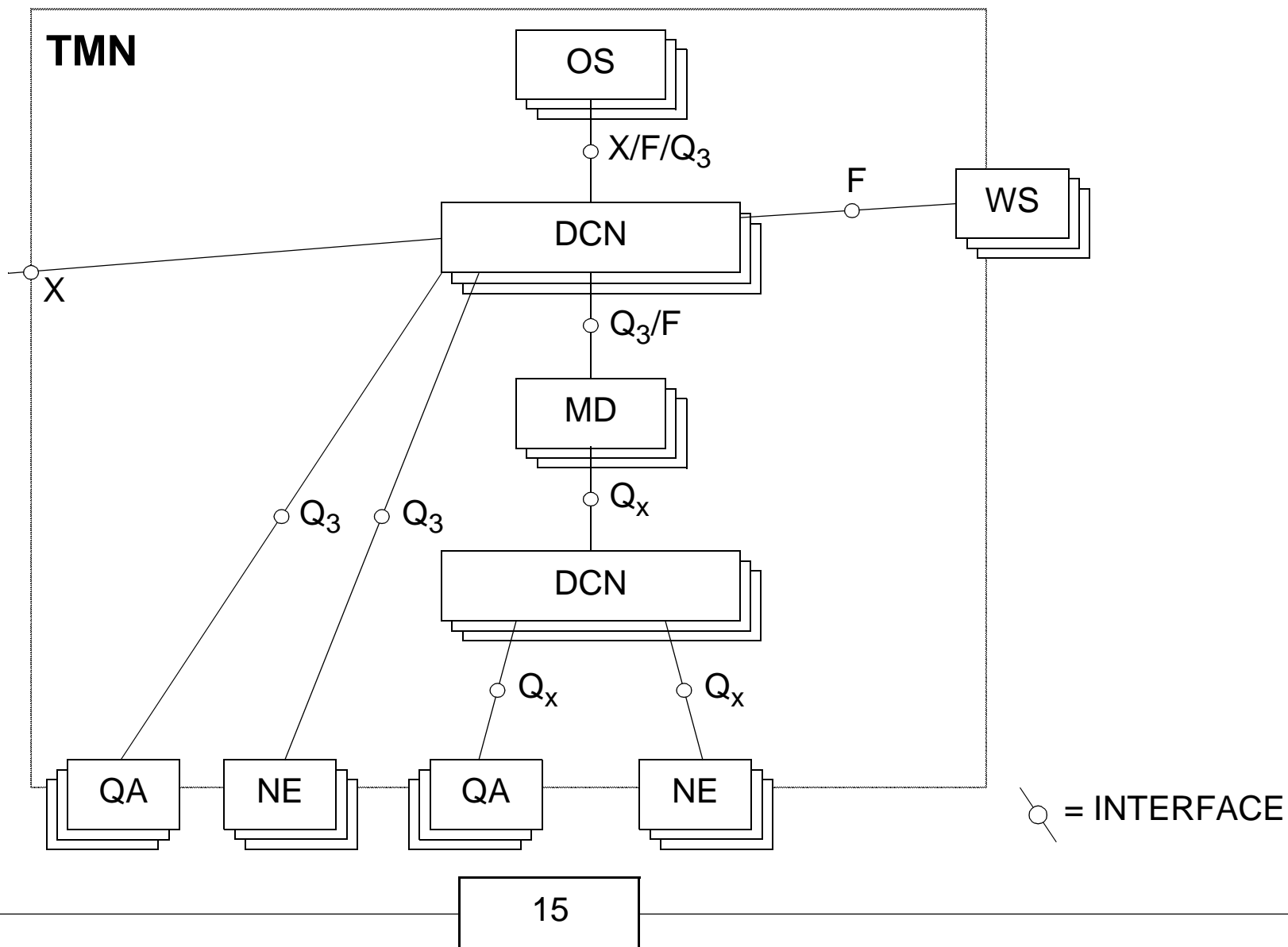
	MAF ¹	ICF	WSSF	UISF	DSF	DAF	SF
OSF	M	O	O		O	O	O
WSF	2	2		M		O	O
NEF _{q₃}	M				O	O	O
NEF _{q_x}	O				O	O	O
MF	O	M	O		O	O	O
QAF _{q₃}	O	M			O	O	O
QAF _{q_x}	O	M			O	O	O

1: MAF is considered to be additional to any Agent or Manager activities and may be in conflict with ISO definitions

2: These functions (or equivalent) may be considered to be as part of the UISF

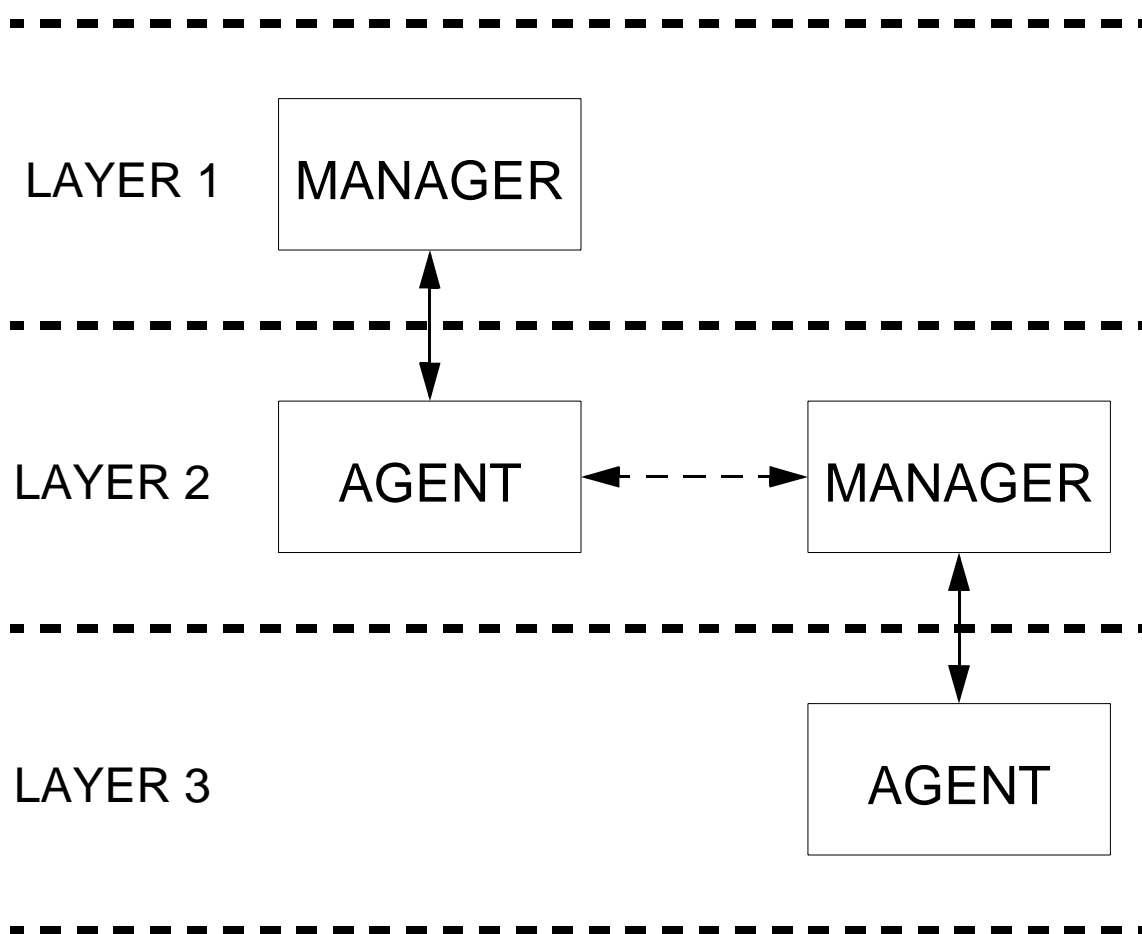


PHYSICAL ARCHITECTURE



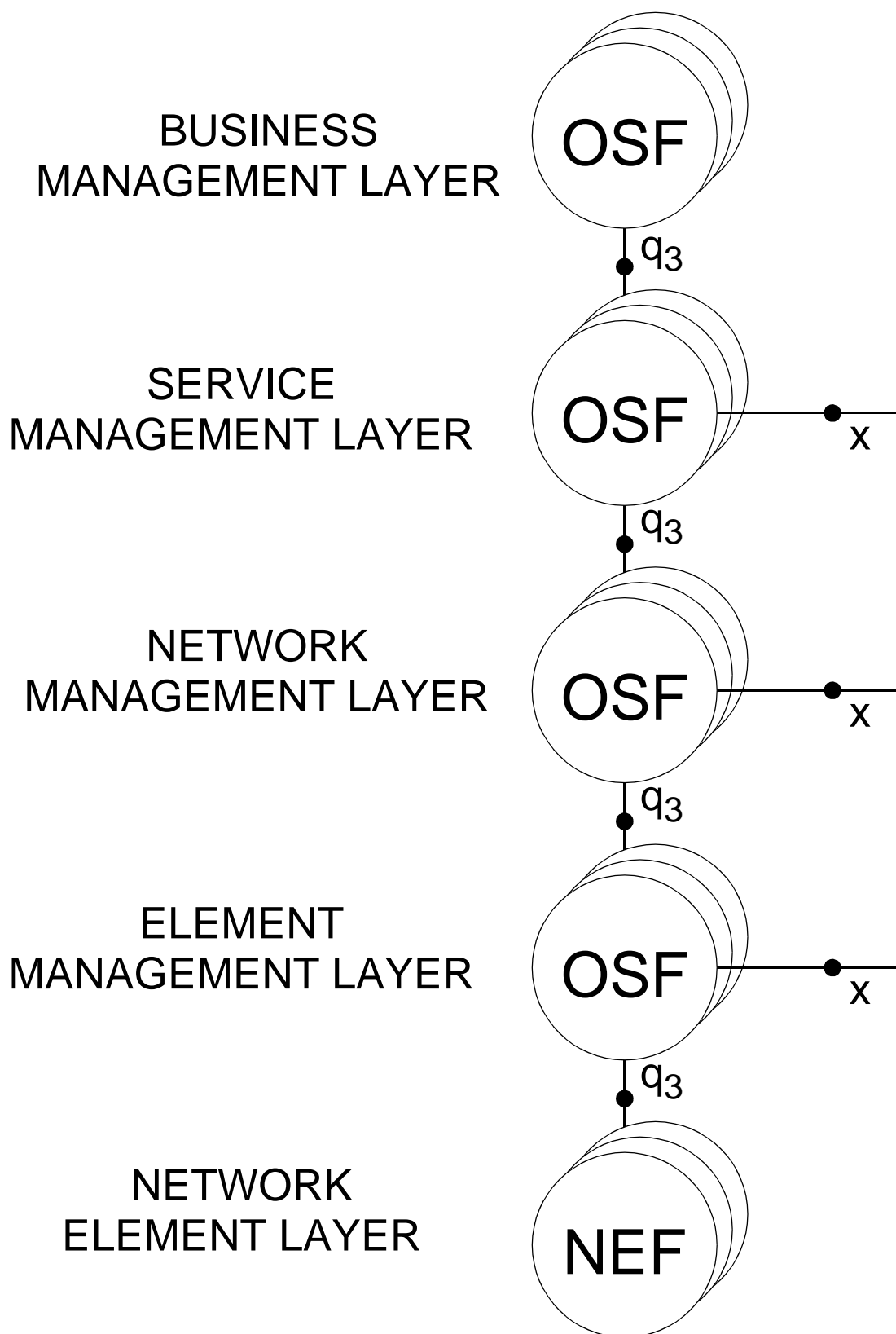


LOGICAL LAYERED ARCHITECTURE



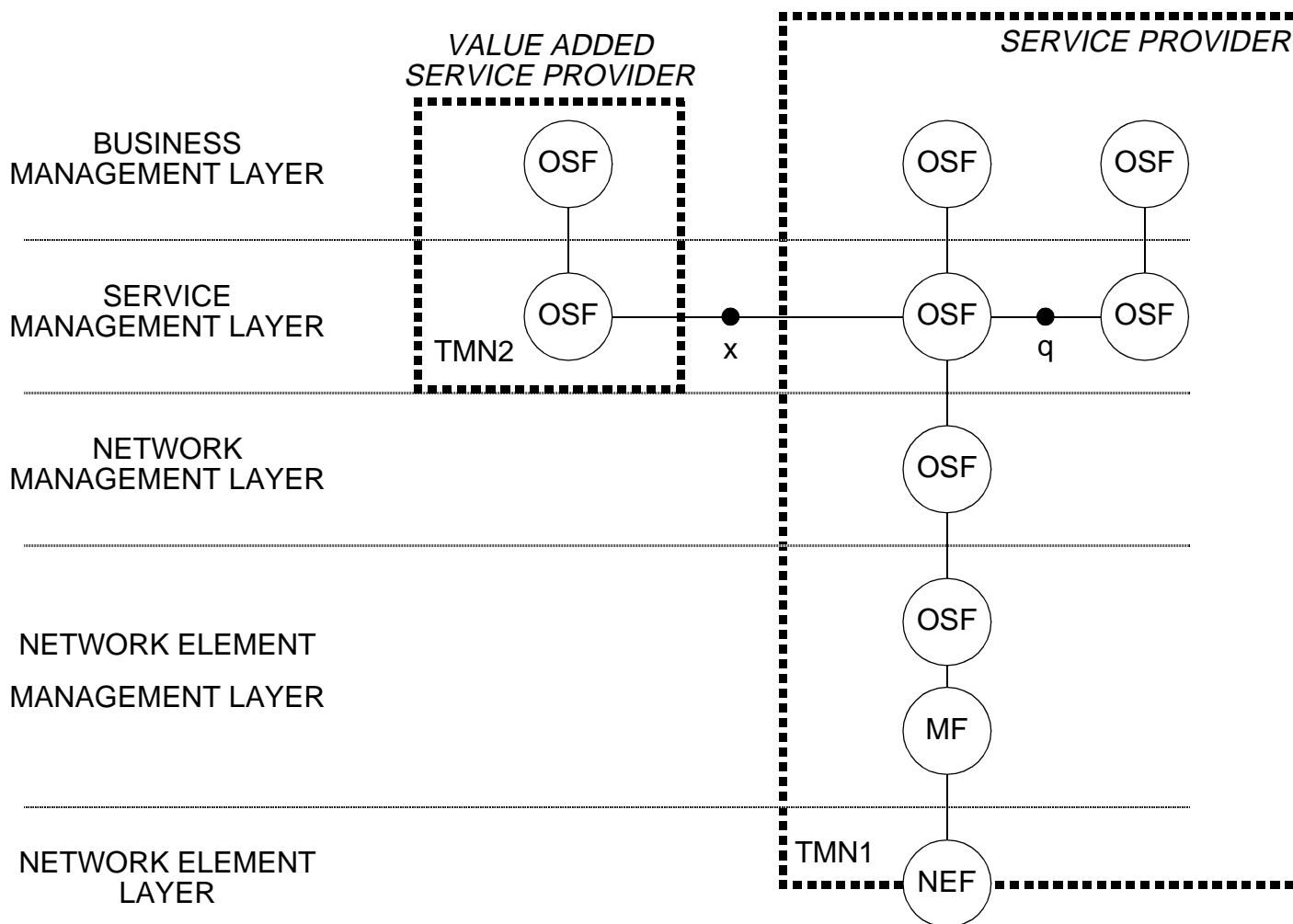


LOGICAL LAYERED ARCHITECTURE



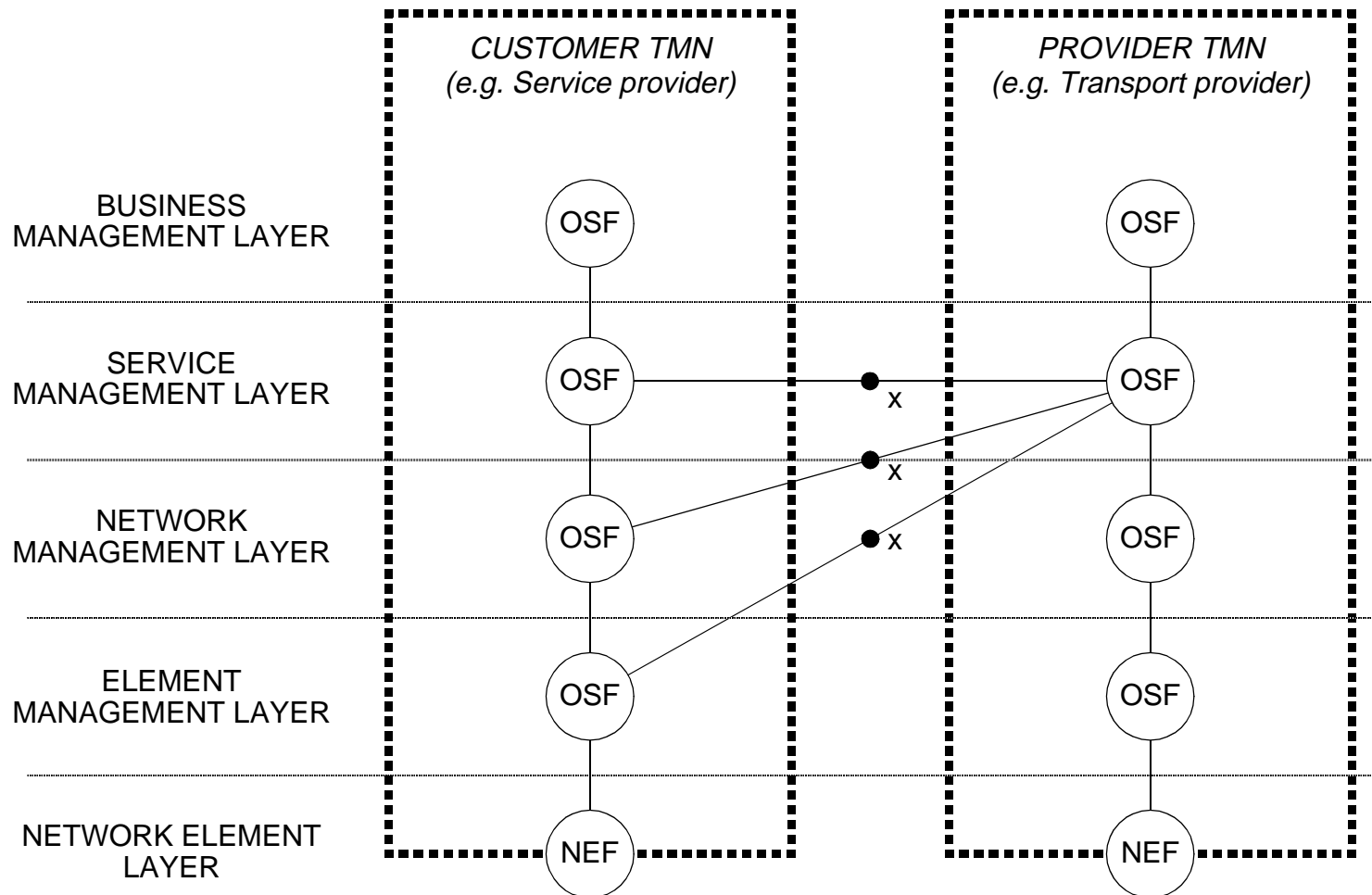


MANAGEMENT HIERARCHY: EXAMPLE - I



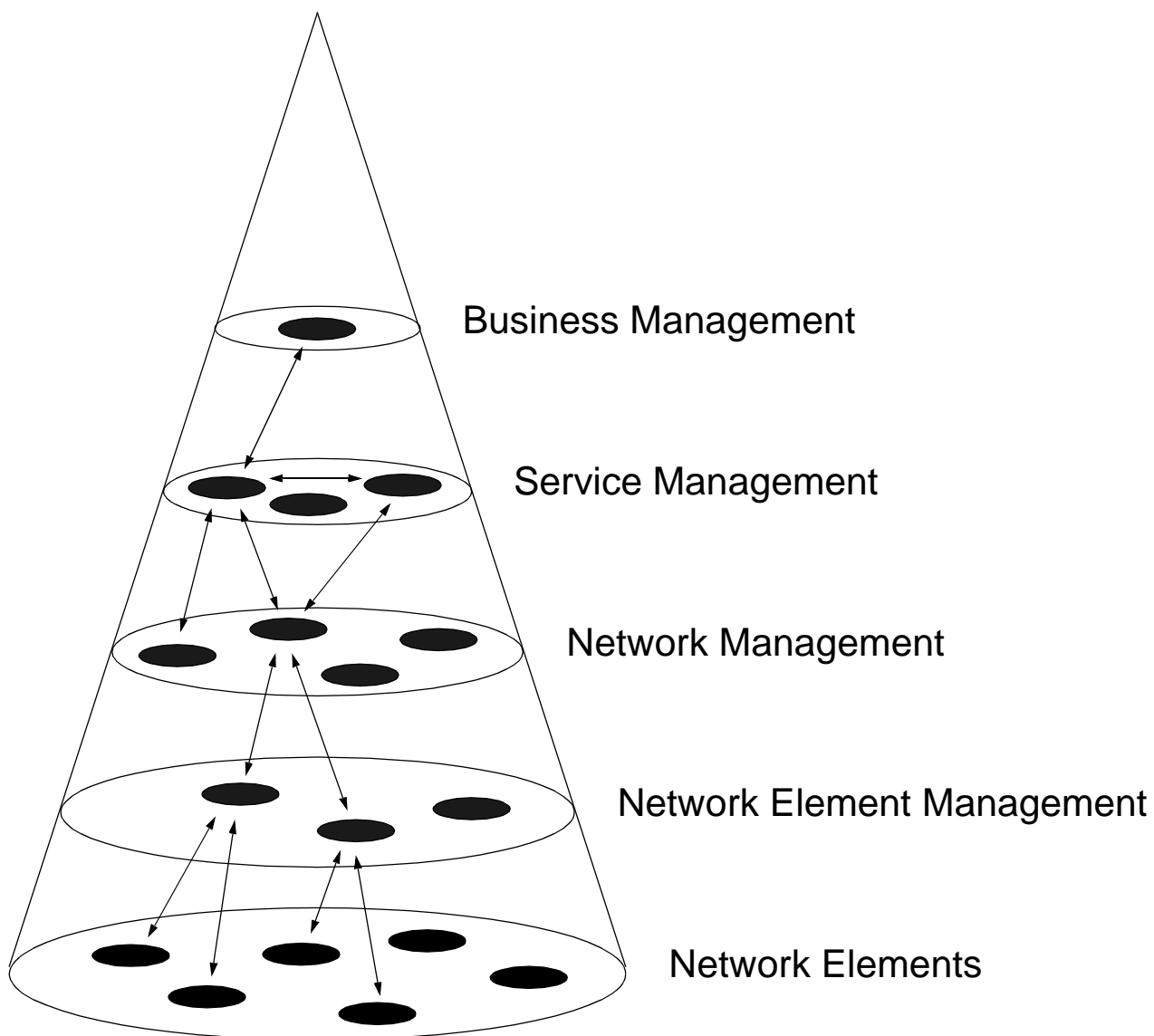


MANAGEMENT HIERARCHY: EXAMPLE - II





RESPONSIBILITY MODEL



DEVELOPED BY BT



OSI MANAGEMENT

STANDARDS

BASIC CONCEPTS
OSI MANAGEMENT FRAMEWORK

INFORMATION ASPECTS

ORGANISATIONAL ASPECTS

FUNCTIONAL ASPECTS

COMMUNICATION ASPECTS

COMPARISON TO SNMP



STANDARDS

PRINCIPLES

- MANAGEMENT FRAMEWORK
 - manager-agent concept
 - managed object concept
 - functional areas
- systems mngt, layer mngt & layer operation
- SYSTEMS MANAGEMENT OVERVIEW

MANAGED OBJECTS

- SMI
- GDMO
- LAYER OBJECT DEFINITIONS

MANAGEMENT APPLICATIONS

- MIS

COMMUNICATION

- CMIP / CMIS



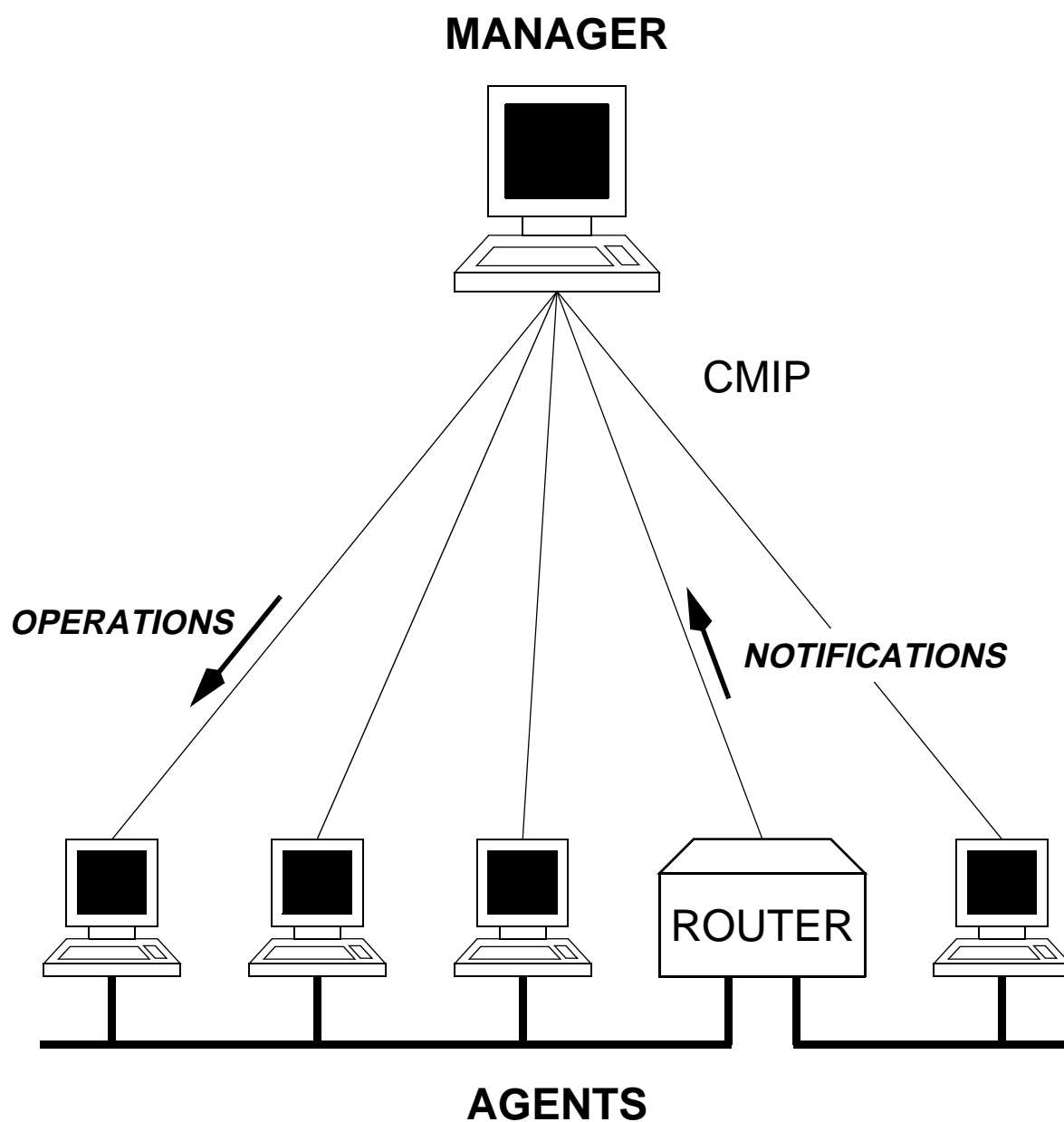
STANDARDS

Title	ISO/IEC	ITU-T
MANAGEMENT FRAMEWORK	7498/4	X.700
SMO SYSTEMS MANAGEMENT OVERVIEW	10040	X.701
CMIS COMMON MANAGEMENT INFORMATION SERVICE	9595	X.710
CMIP COMMON MANAGEMENT INFORMATION PROTOCOL	9596	X.711
SMF SYSTEMS MANAGEMENT FUNCTIONS	10164x	X73x - X75x
SMI STRUCTURE OF MANAGEMENT INFORMATION	10165x	X72x



MANAGEMENT FRAMEWORK

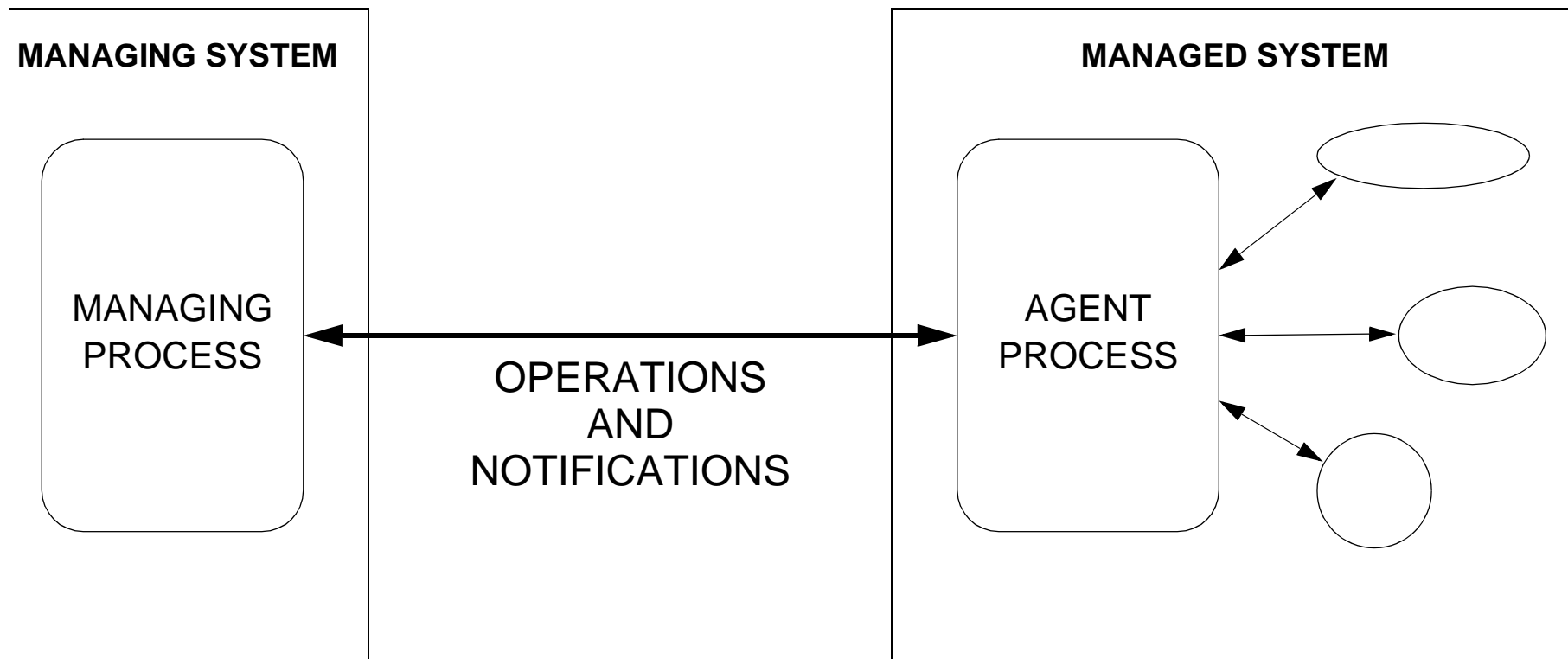
MANAGER-AGENT CONCEPT





MANAGEMENT FRAMEWORK

MANAGED-OBJECT CONCEPT





MANAGEMENT FRAMEWORK

FUNCTIONAL AREA'S

FAULT MANAGEMENT

CONFIGURATION MANAGEMENT

ACCOUNTING MANAGEMENT

PERFORMANCE MANAGEMENT

SECURITY MANAGEMENT



MANAGEMENT FRAMEWORK

MANAGEMENT INFORMATION EXCHANGE

SYSTEMS MANAGEMENT

- USE ALL 7 LAYERS
 - ROYAL ROUTE
- PREFERRED APPROACH
- EXPLICIT MANAGEMENT APPROACH

LAYER MANAGEMENT

- USE UNDERLYING LAYERS
- DEFINED IN SEPARATE STANDARD
- IMPLICIT MANAGEMENT APPROACH

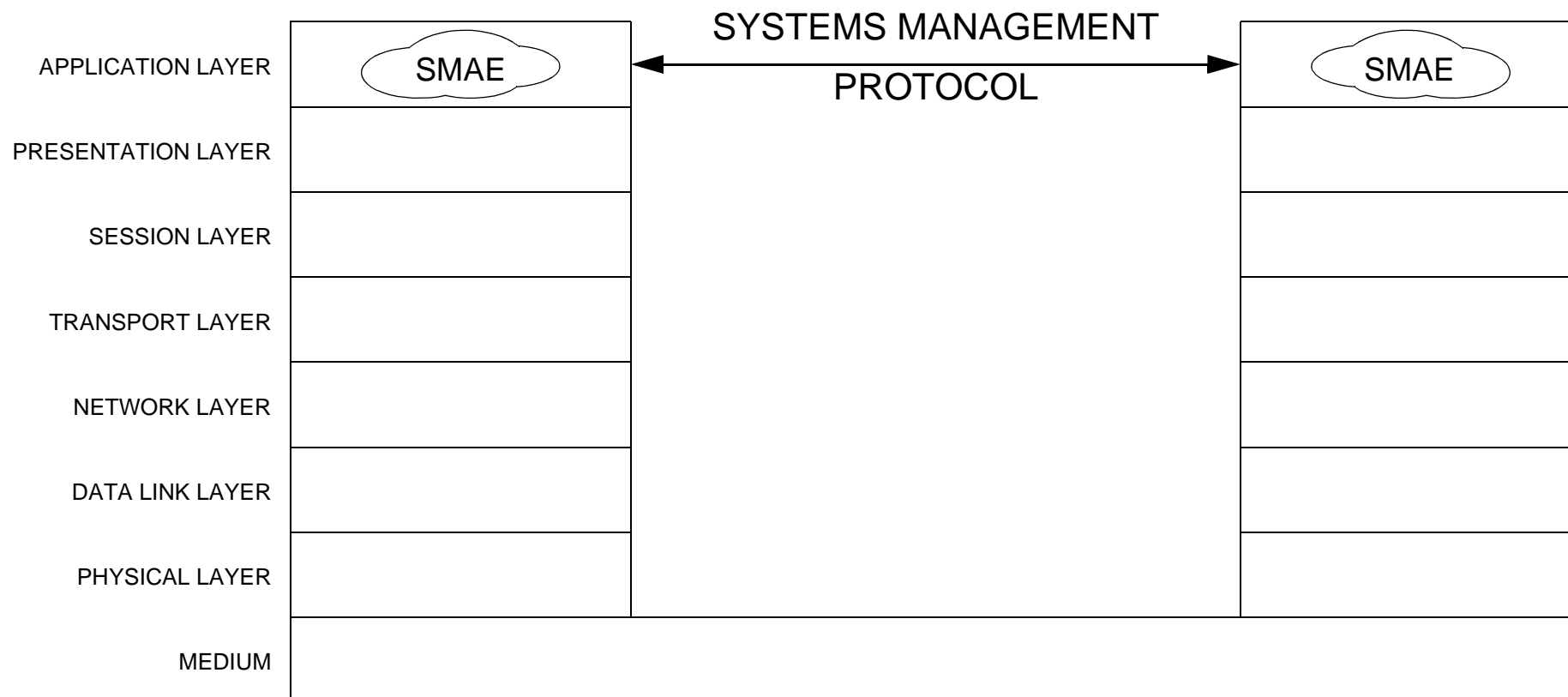
LAYER OPERATION

- USE UNDERLYING LAYERS
- DEFINED AS PART OF LAYER STANDARD
 - IMPLICIT MANAGEMENT APPROACH



MANAGEMENT FRAMEWORK

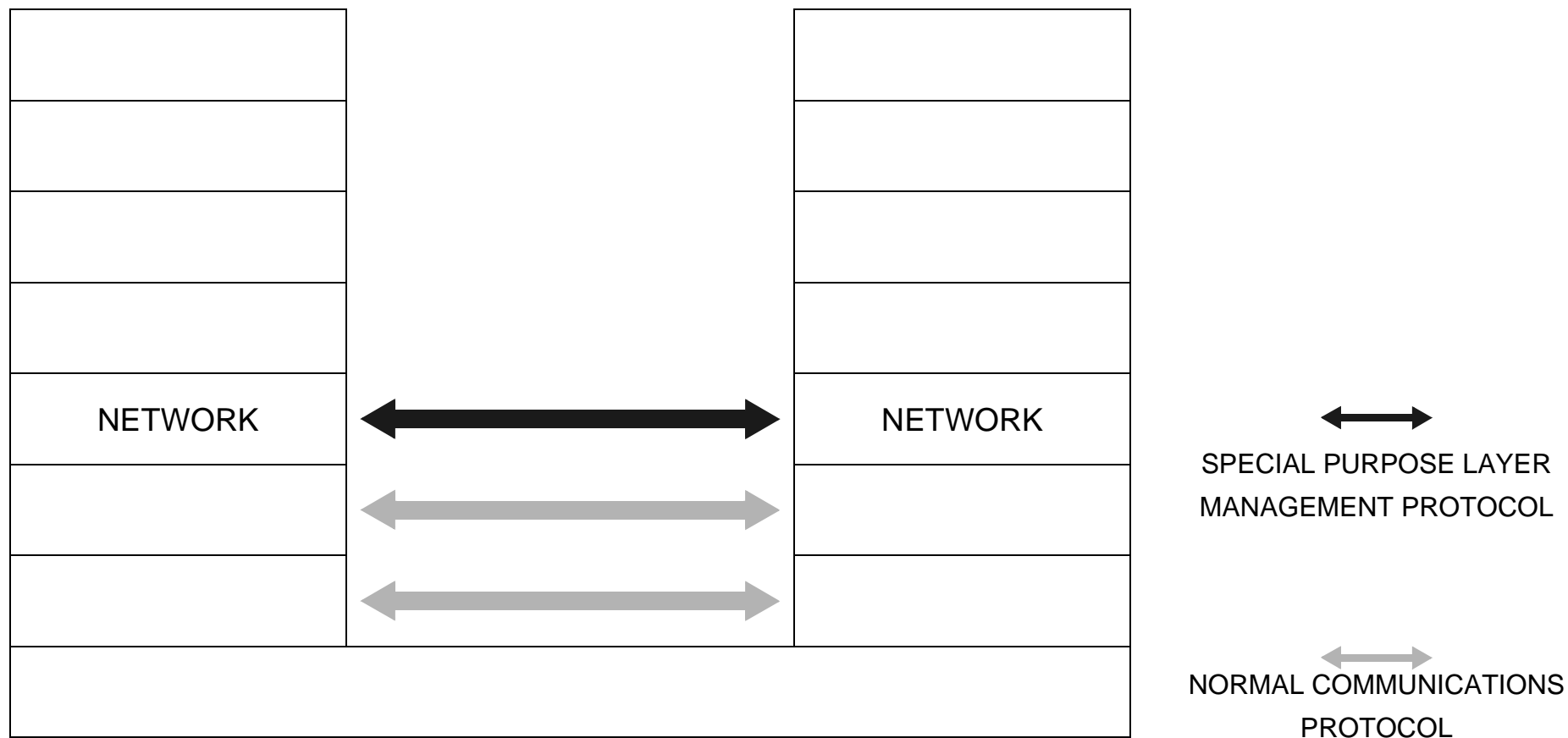
SYSTEMS MANAGEMENT





MANAGEMENT FRAMEWORK

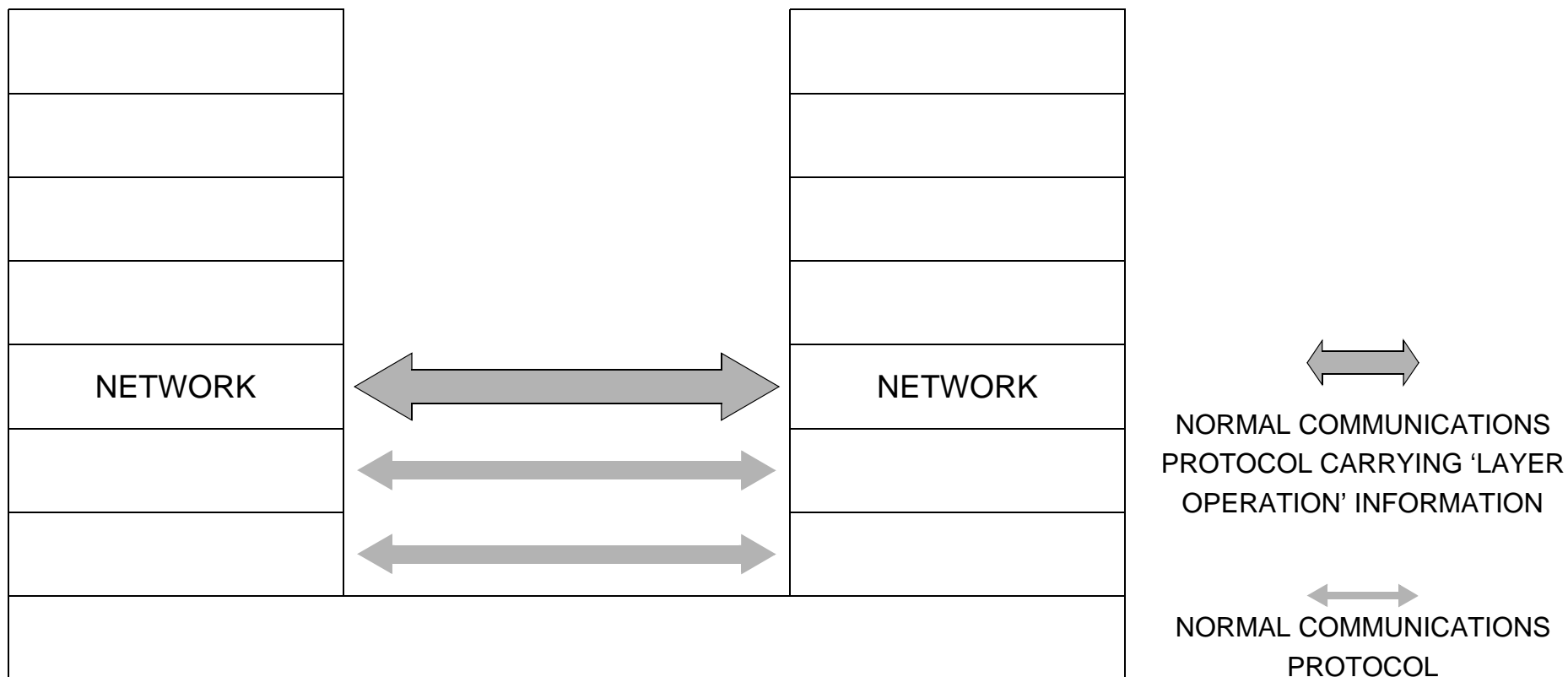
LAYER MANAGEMENT





MANAGEMENT FRAMEWORK

LAYER OPERATION





INFORMATION ASPECTS

SINCE 1988:

OBJECT ORIENTED APPROACH

OO-APPROACH

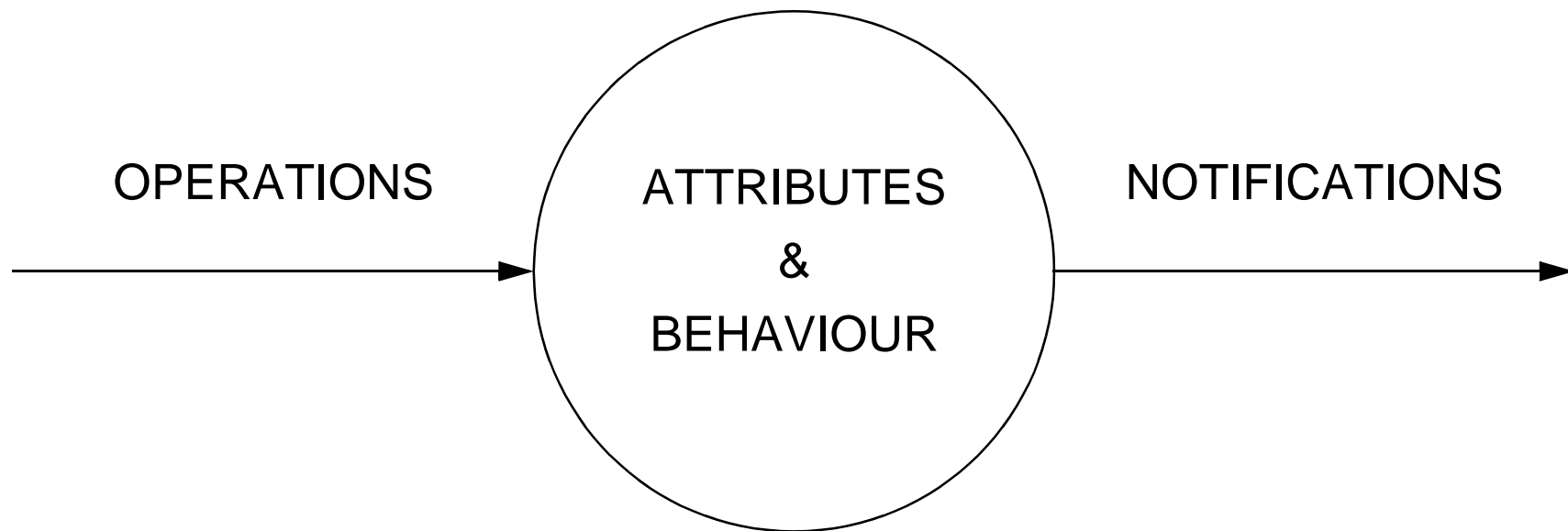
- ENCAPSULATION
- CONTAINMENT
- OBJECT CLASSES & INHERITANCE
- ALLOMORPHISM

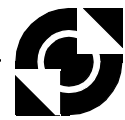
RELATED STANDARDS



ENCAPSULATION

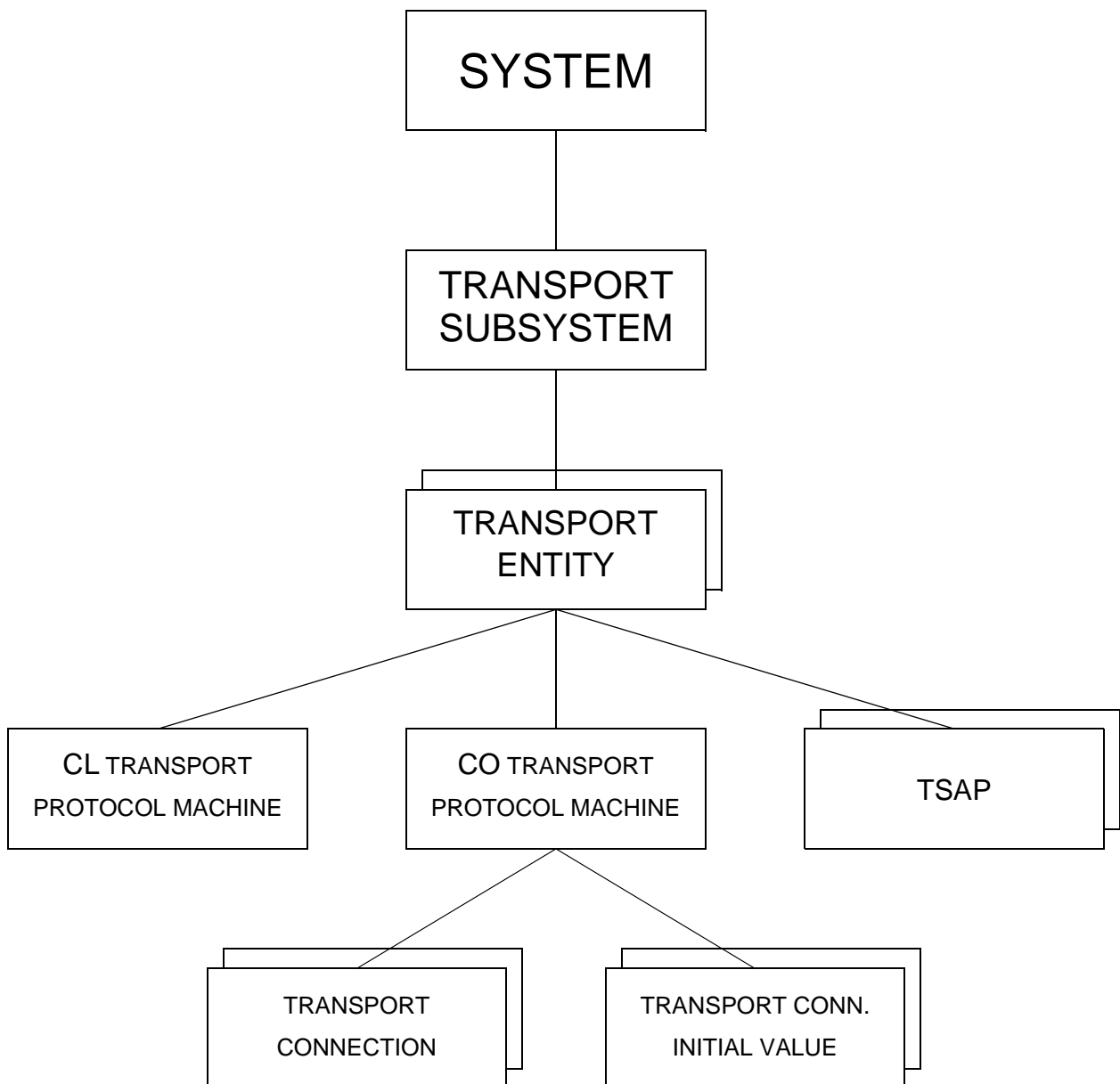
MANAGED OBJECT





CONTAINMENT

OBJECT MAY **CONTAIN**
ONE OR MORE SMALLER OBJECTS

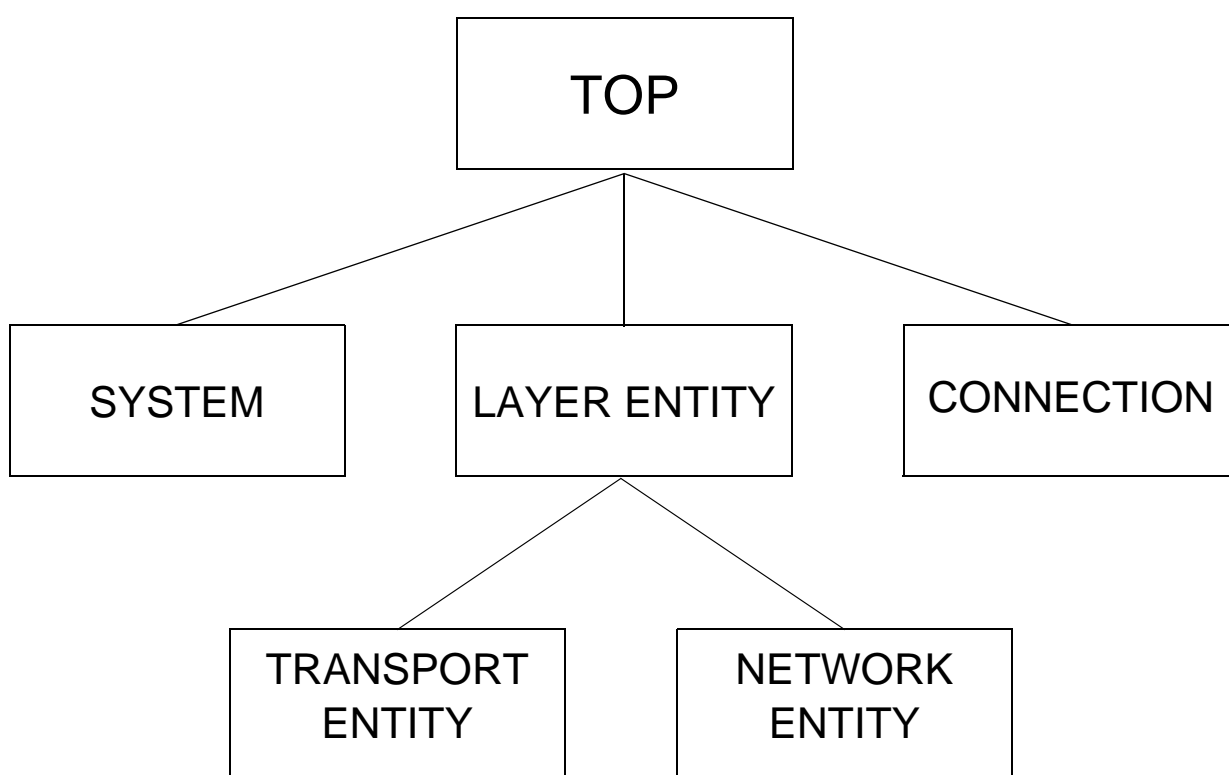


RESULTS INTO A *MANAGED OBJECT* TREE



CLASSES AND INHERITANCE

SUBCLASS INHERITS CHARACTERISTICS
(= ATTRIBUTES, BEHAVIOUR, OPERATIONS AND NOTIFICATIONS)
OF SUPERCLASS



RESULTS INTO A TREE
OF MANAGED OBJECT *CLASSES*



ALLOMORPHISM

"THE ABILITY OF A MANAGED OBJECT
TO ACT AS IF IT BELONGS
TO ANOTHER MANAGED OBJECT CLASS"

POLYMORPHISM

USEFUL TO:

- UPDATE A MIB
- EXTEND A MIB
WITH PROPRIETARY FEATURES
- CUSTOMIZE MIB VIEWS
FOR DIFFERENT MANAGERS



OSI MANAGEMENT TREES

- INHERITANCE TREE

SHOWS THE RELATION BETWEEN *OBJECT CLASSES*

- CONTAINMENT TREE

SHOWS THE RELATION BETWEEN *OBJECT INSTANCES*

- REGISTRATION TREE

ASSIGNS NAMES
TO *DEFINITIONS* OF
OBJECT CLASSES, ATTRIBUTES, ACTIONS
NOTIFICATIONS AND PACKAGES

USEFUL DURING THE DESIGN PHASE
TO REGISTER *DEFINITIONS*



STANDARDS FOR MANAGEMENT INFORMATION - 1

Title	ISO/IEC	ITU-T
MANAGEMENT INFORMATION MODEL	10165-1	X.720
DEFINITION OF MANAGEMENT INFORMATION	10165-2	X.721
GDMO: GUIDELINES TO THE DEFINITION OF MANAGED OBJECTS	10165-4	X.722
GENERIC MANAGEMENT INFORMATION	10165-5	X.723
GUIDELINES FOR CONFORMANCE PROFORMAS	10165-6	X724
GENERAL RELATIONSHIP MODEL	10165-7	X725



STANDARDS FOR MANAGEMENT INFORMATION - 2

Title	ISO/IEC	ITU-T
TRANSPORT LAYER MANAGEMENT INFORMATION	10737	X.284
NETWORK LAYER MANAGEMENT INFORMATION	10733	X.283
DATA LINK LAYER MANAGEMENT INFORMATION	10742	X.282
PHYSICAL LAYER MANAGEMENT INFORMATION	13642	X.281



ORGANISATIONAL ASPECTS

MANAGER-AGENT CONCEPT

THERE IS A NEED TO DEFINE
MANAGEMENT HIERARCHIES

MANAGEMENT DOMAINS

- MANAGEMENT POLICIES

POSSIBLE PARTITIONING CRITERIA:

- MANAGEMENT FUNCTIONS
- GEOGRAPHICAL AREAS
- ORGANISATIONS
- ETC.



FUNCTIONAL ASPECTS

AS OPPOSED TO SNMP,
OSI HAS DEFINED A LARGE NUMBER OF
MANAGEMENT FUNCTIONS

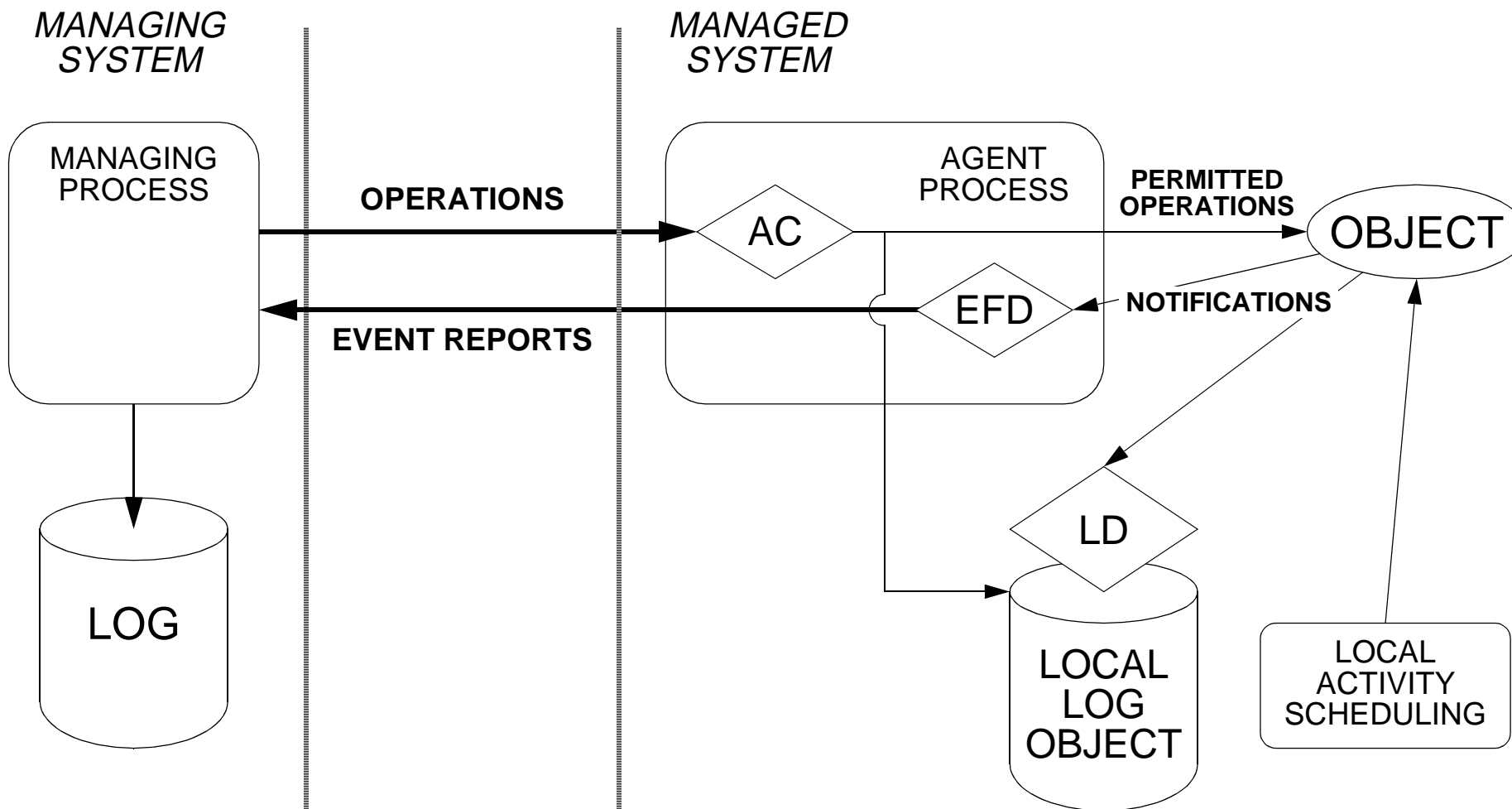
MANY OF THESE FUNCTIONS
SHOULD BE PERFORMED
BY AGENTS



AGENTS BECOME COMPLEX

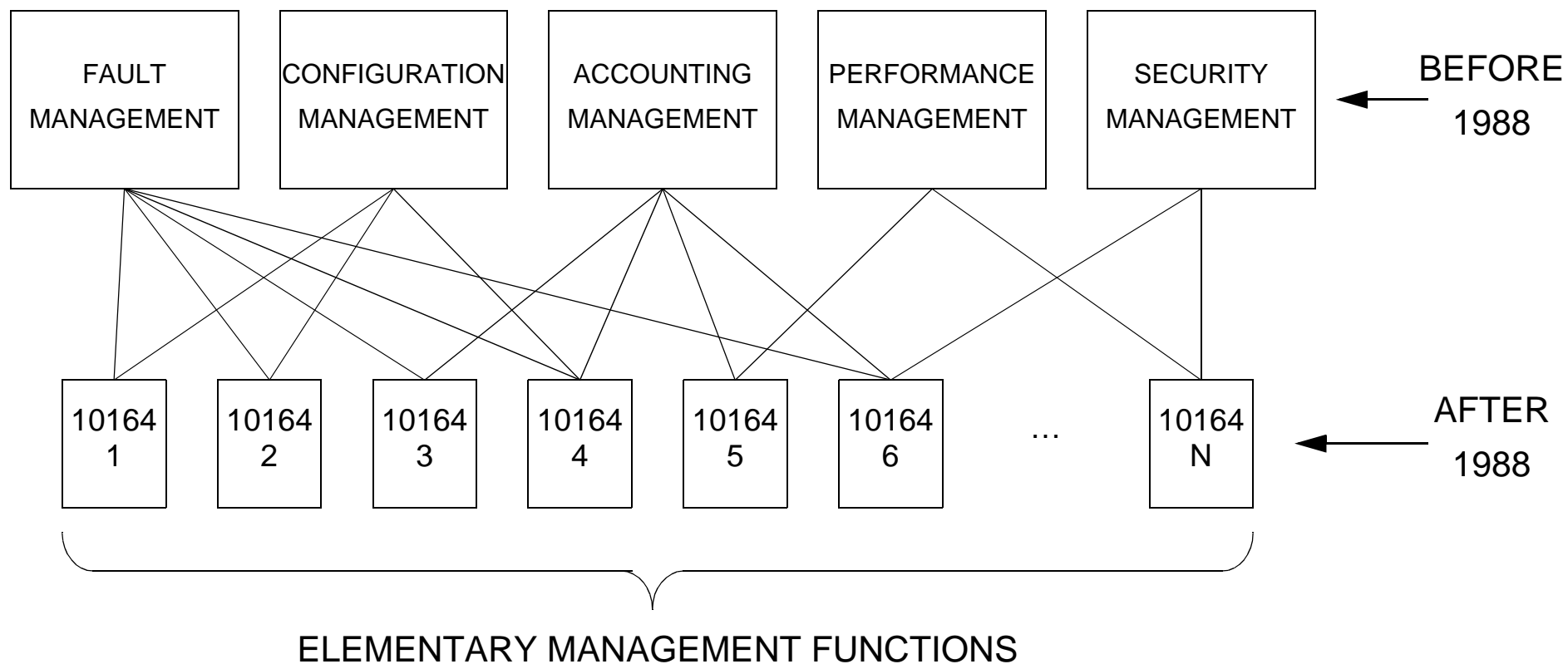


MANAGEMENT FUNCTIONS





MANAGEMENT FUNCTIONS VERSUS FUNCTIONAL AREAS





STANDARDS FOR MANAGEMENT FUNCTIONS

Title	ISO/IEC	ITU-T
Object Management Function	10164-1	X.730
State Management Function	10164-2	X.731
Attributes for representing Relationships	10164-3	X.732
Alarm Reporting Function	10164-4	X.733
Event Report Management Function	10164-5	X.734
Log Control Function	10164-6	X.735
Security Alarm Reporting Function	10164-7	X.736
Security Audit Trail Function	10164-8	X.740
Objects and Attributes for Access Control	10164-9	X.741
Accounting Meter Function	10164-10	X.742
Workload Monitoring Function	10164-11	X.739
Test Management Function	10164-12	X.745
Measurement Summarization Function	10164-13	X.738
Confidence and Diagnostic Test Classes	10164-14	X.737
Scheduling Function	10164-15	X.746
Management Knowledge Management Function	10164-16	X.750
Time Management Function		X.743
Software Management Function		X.744
General Relationship Model		X.747
Response Time Monitoring Function		X.748
Management Domain Management Function		X.749
Changeover Function		X.751
Enhanced Event Control Function		X.752



COMMUNICATION ASPECTS

CMIP / CMIS

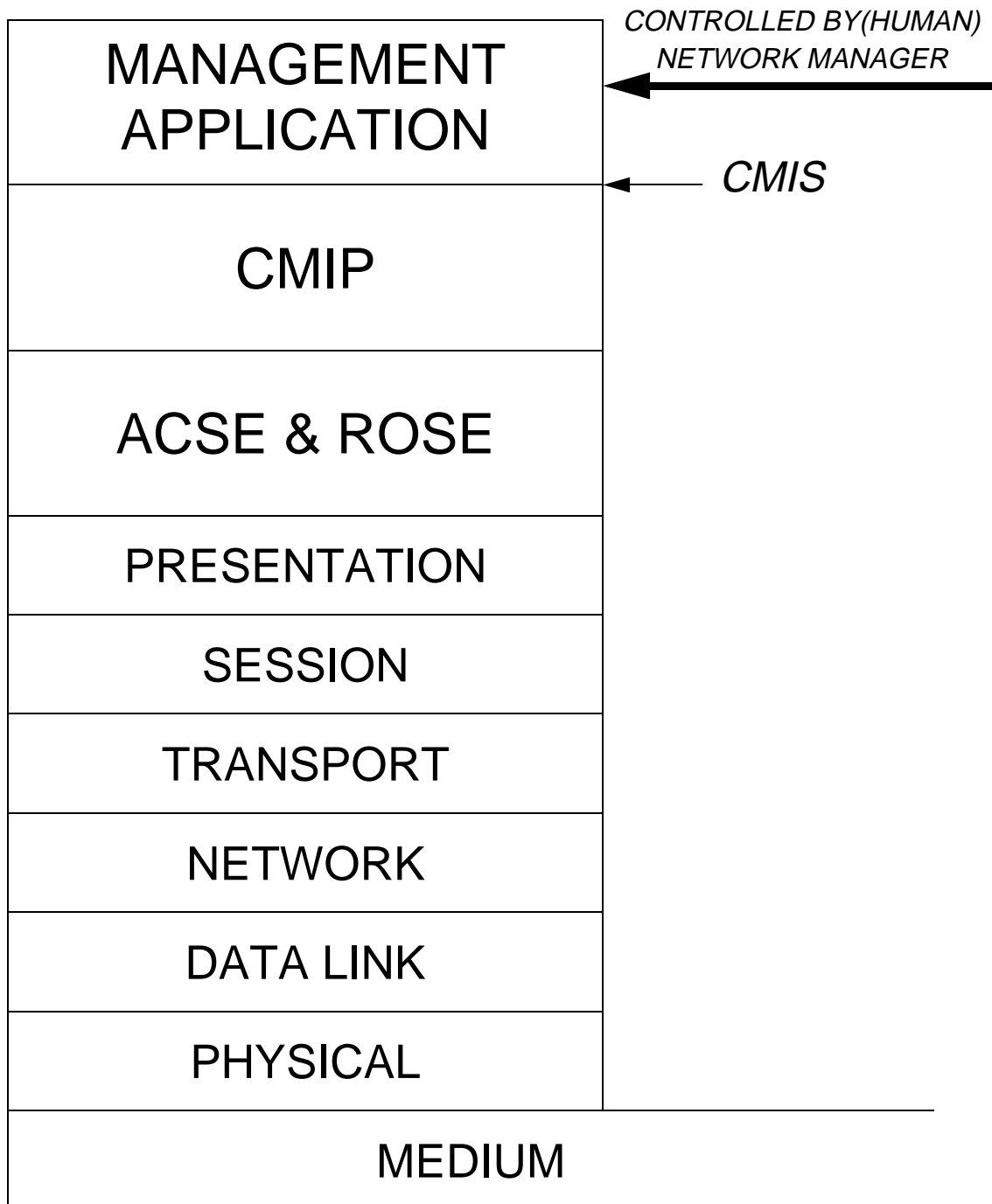
**USES A
CONNECTION ORIENTED
UNDERLYING SERVICE**

ALLOWS FOR

- **SCOPING**
- **FILTERING**



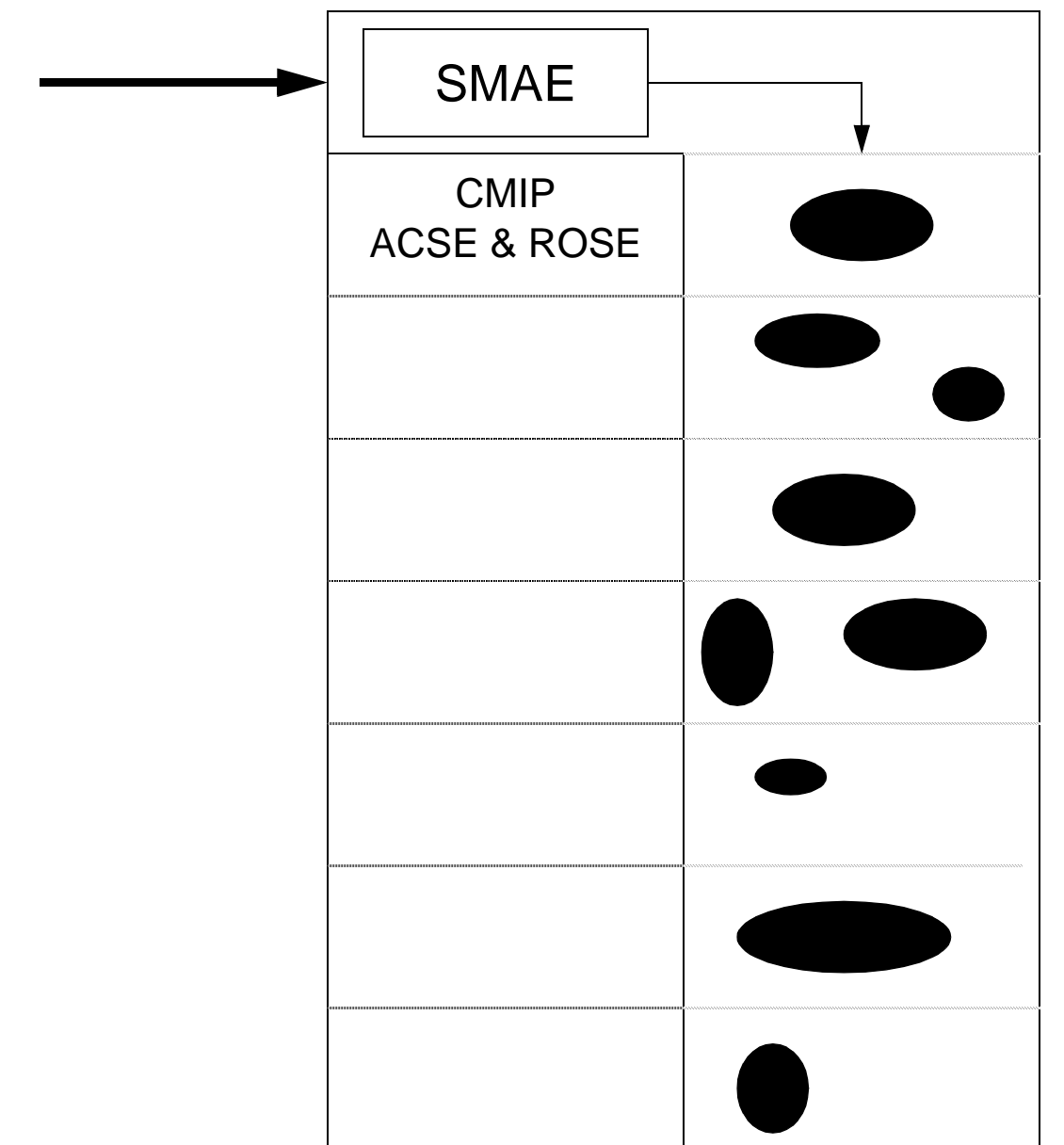
LAYERED STRUCTURE



ACSE - ASSOCIATION CONTROL SERVICE ELEMENT
ROSE = REMOTE OPERATION SERVICE ELEMENT



AGENT STRUCTURE



SMAE = SYSTEMS MANAGEMENT APPLICATION ENTITY



CMIS

OPERATION SERVICES:

- M-GET (C)
- M-CANCEL-GET (C)
 - M-SET (C/U)
- M-ACTION (C/U)
- M-CREATE (C)
- M-DELETE (C)

NOTIFICATION SERVICES:

- M-EVENT-REPORT (C/U)

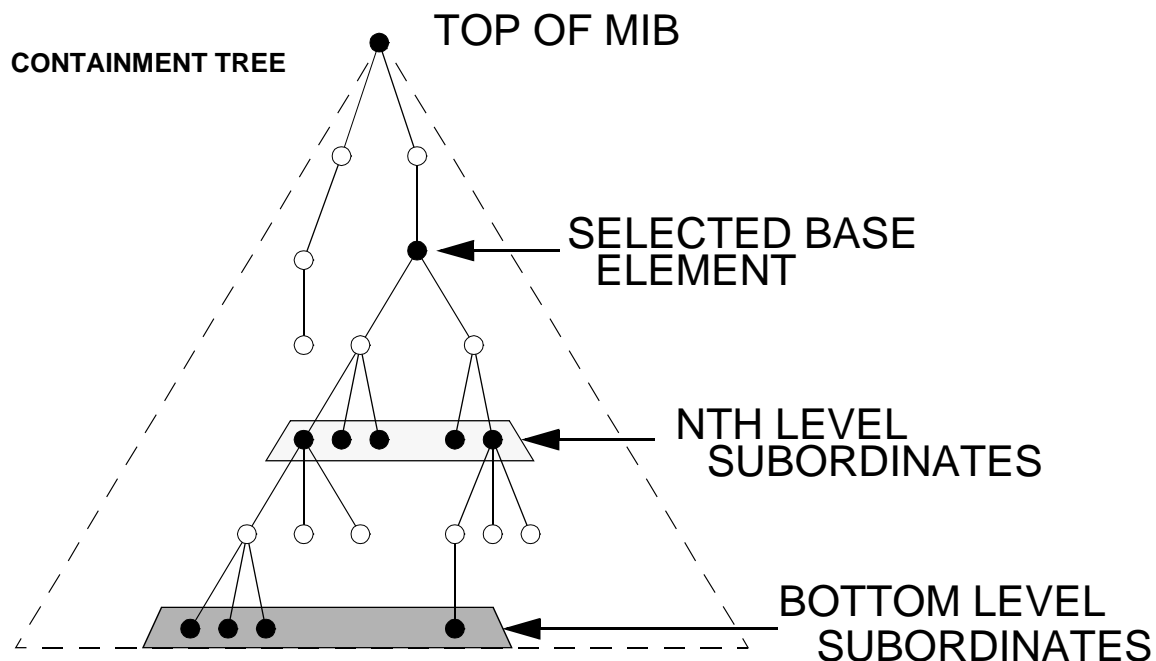
CONNECTION ESTABLISHMENT USES ACSE:

- A-ASSOCIATE
- A-RELEASE
- A-ABORT



SCOPING

ALLOWS THE CREATION OF A *SET* OF OBJECTS



THE SET MAY CONSIST OF:

- THE BASE OBJECT
- ALL Nth LEVEL SUBORDINATES
- THE BASE OBJECT
PLUS THE FIRST LEVEL SUBORDINATES
PLUS THE SECOND LEVEL SUBORDINATES
UNTIL THE Nth LEVEL SUBORDINATES
- THE BASE OBJECT
PLUS *ALL* SUBORDINATES



FILTERING

TO FURTHER LIMIT THE SET

CHECKS ATTRIBUTE VALUES

- EQUALITY
- GREATER OR EQUAL
 - LESS OR EQUAL
 - PRESENT
 - SUBSTRING
 - SUBSET
 - SUPERSET
- NON-NULL-SET INTERSECTION



SYNCHRONIZATION

MANAGEMENT OPERATIONS
MAY BE PERFORMED:

- **ATOMIC**
ALL OR NOTHING
SIMILAR TO SNMP
- **BEST EFFORT**



INTERNET MANAGEMENT

- INTRODUCTION
- STANDARDS
- STRUCTURE OF MANAGEMENT INFORMATION SMI
- STANDARD MANAGEMENT INFORMATION BASE MIB-II
 - SNMP PROTOCOL OPERATIONS
 - SNMPv2
 - SNMPv3
 - PROXIES
 - OTHER MIBs
- EXTENSIBLE AGENT TECHNOLOGY
 - REMOTE MONITORING RMON
- MANAGEMENT HIERARCHIES



SNMP GOALS

UBIQUITY

- PCs AND CRAYs

INCLUSION OF MANAGEMENT SHOULD BE INEXPENSIVE

- SMALL CODE
- LIMITED FUNCTIONALITY

MANAGEMENT EXTENSIONS SHOULD BE POSSIBLE

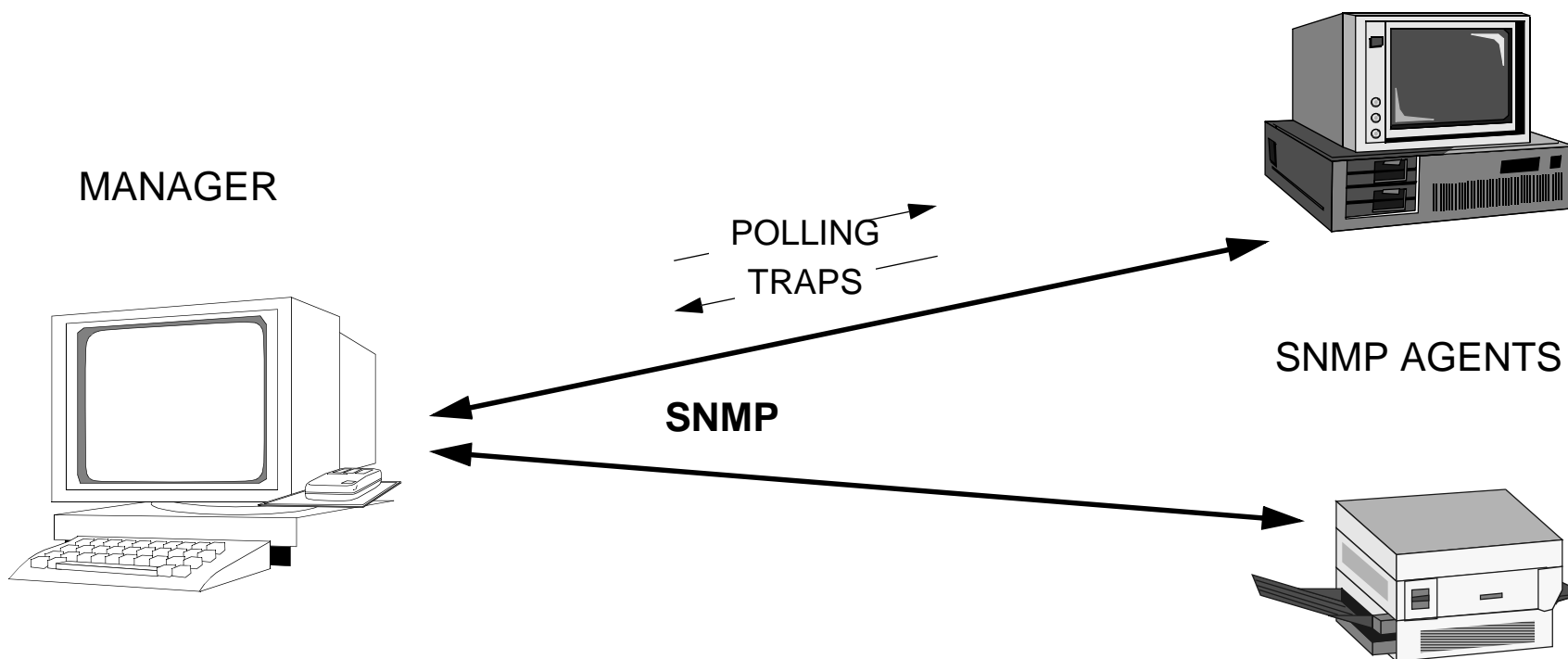
- NEW MIBs

MANAGEMENT SHOULD BE ROBUST

- CONNECTIONLESS TRANSPORT



SNMP STRUCTURE

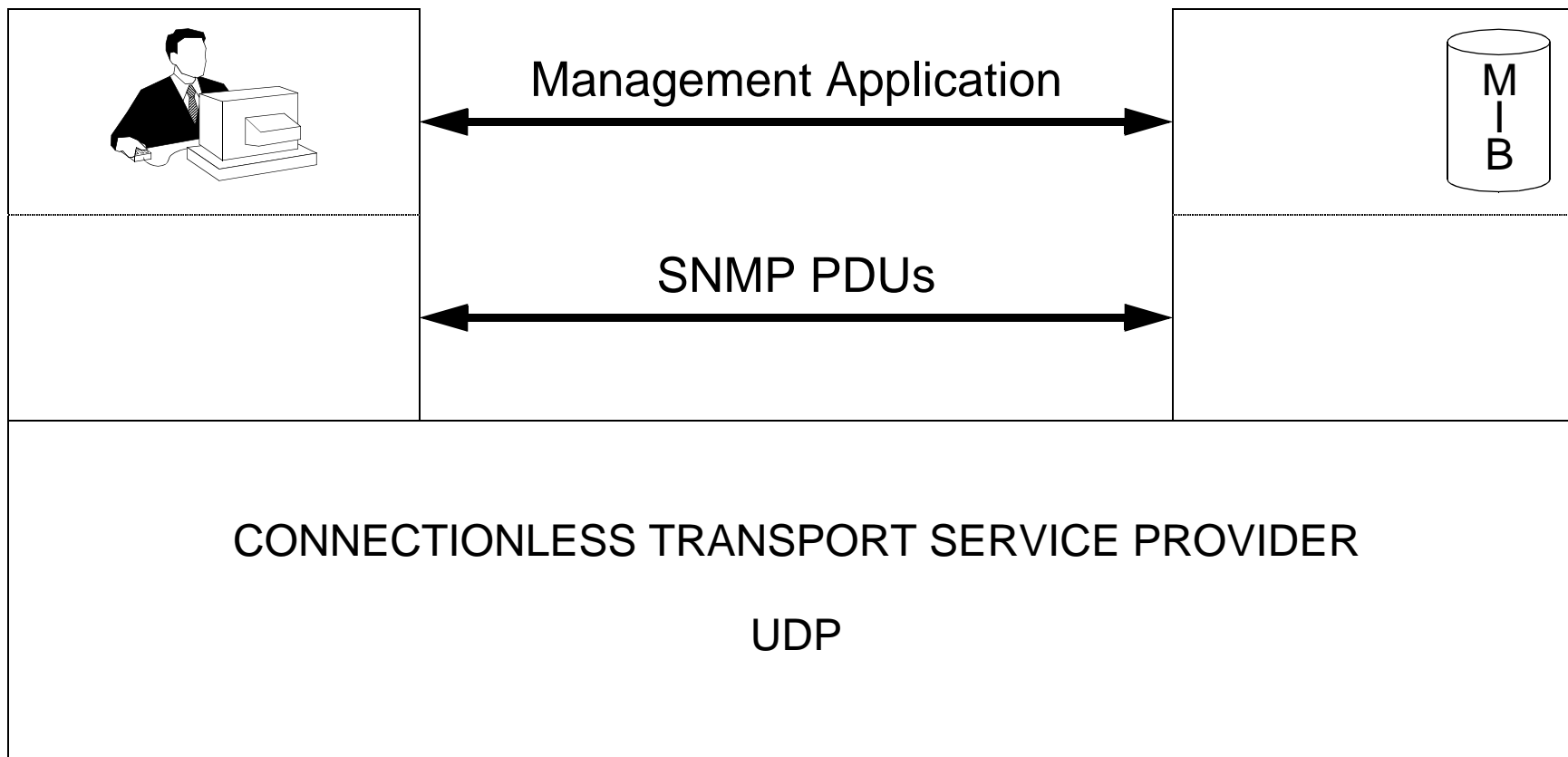




SNMP STRUCTURE

MANAGER

AGENT





STANDARDS

SMI

- STRUCTURE OF MANAGEMENT INFORMATION
 - RFC 1155

MIB-II

- MANAGEMENT INFORMATION BASE
 - RFC 1213
- A LARGE NUMBER OF ADDITIONAL MIBs EXIST

SNMP

- SIMPLE NETWORK MANAGEMENT PROTOCOL
 - RFC 1157
- NAME IS USED IN A MORE GENERAL SENSE

NEW VERSIONS: SNMPv2 & SNMPv3



SMI

STRUCTURE OF
MANAGEMENT INFORMATION

=

RFC 1155

CONCISE MIB DEFINITIONS

=

RFC 1212

MAKES THE DEFINITION
OF (NEW) MIBs EASIER



SMI

MANAGEMENT INFORMATION
WITHIN MANAGED SYSTEMS
MUST BE REPRESENTED AS:

- SCALARS
- TABLES

(= TWO DIMENSIONAL ARRAYS OF SCALARS)

THE SNMP PROTOCOL
CAN ONLY EXCHANGE
(A LIST OF) SCALARS

DEFINED IN TERMS OF
ASN.1
CONSTRUCTS



SMI: DATA TYPES FOR SCALARS

SIMPLE TYPES

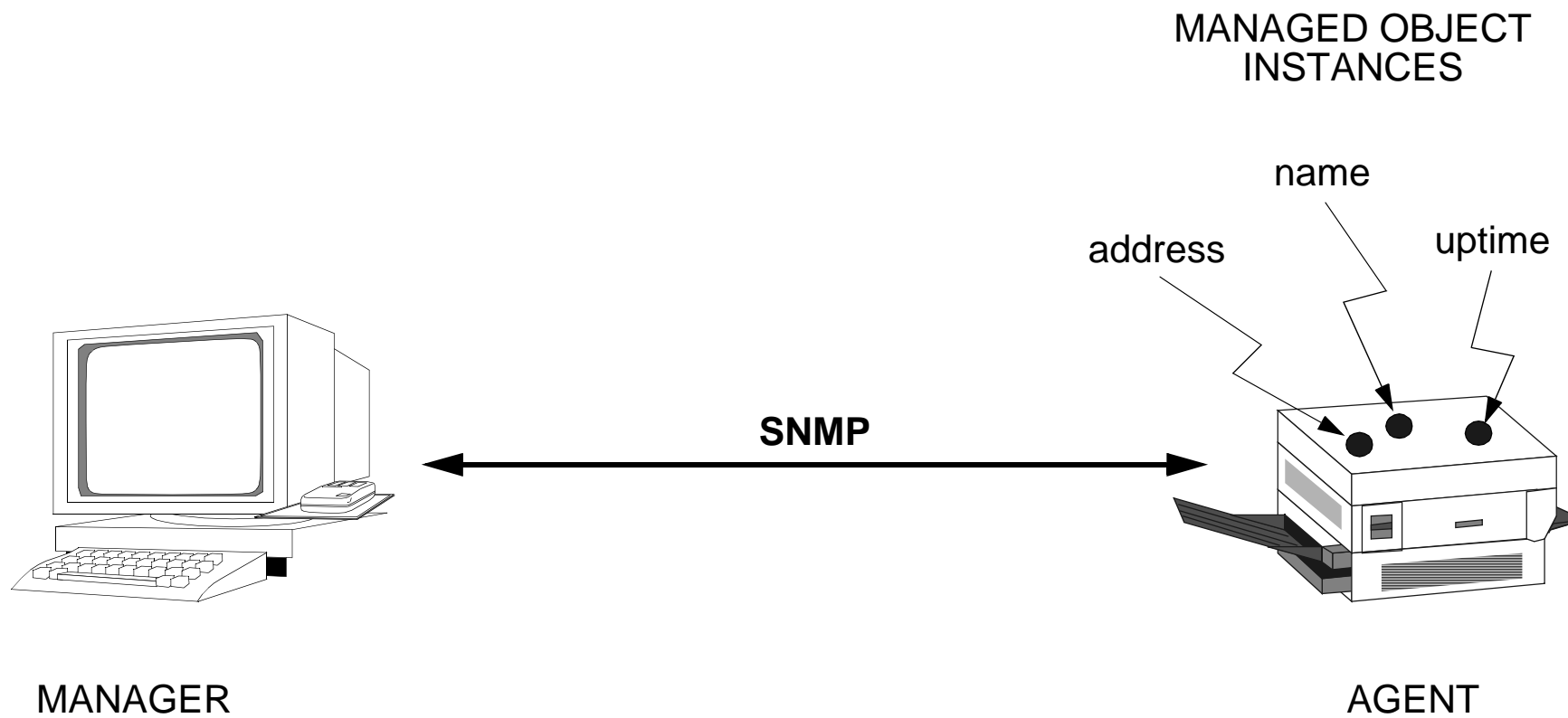
- INTEGER
- OCTET STRING
- OBJECT IDENTIFIER
 - NULL

APPLICATION-WIDE TYPES

- IpAddress
- NetworkAddress
 - Counter
 - Gauge
- TimeTicks
 - Opaque



EXAMPLE OF SCALAR OBJECTS

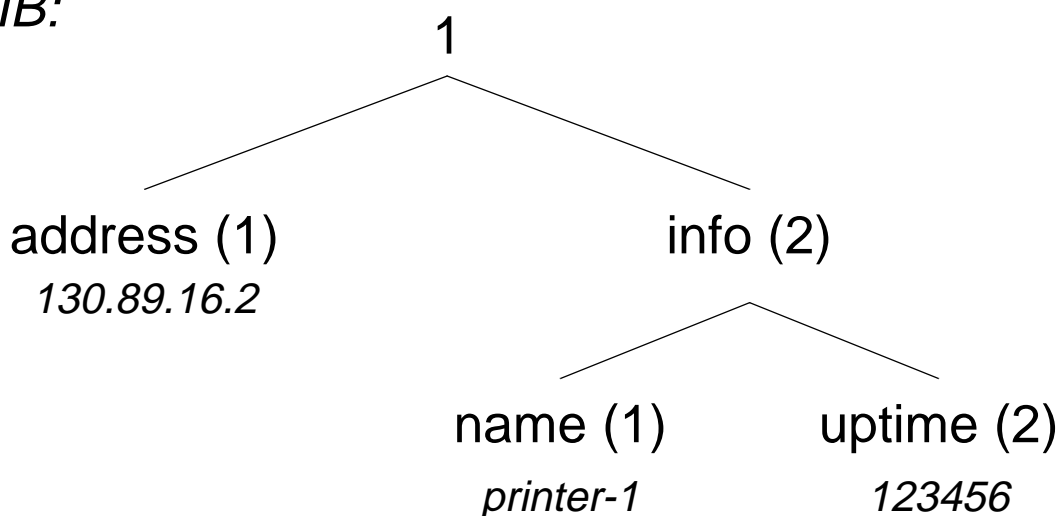




OBJECT NAMING

INTRODUCE NAMING TREE

new-MIB:



THE LEAVES OF THE TREE
REPRESENT THE MANAGED OBJECTS

NODES ARE INTRODUCED
FOR NAMING PURPOSES



OBJECT NAMING

- address

Object ID = 1.1

Object Instance = 1.1.0

Value of Instance = *130.89.16.2*

- info

Object ID = 1.2

- name

Object ID = 1.2.1

Object Instance = 1.2.1.0

Value of Instance = *printer-1*

- uptime

Object ID = 1.2.2

Object Instance = 1.2.2.0

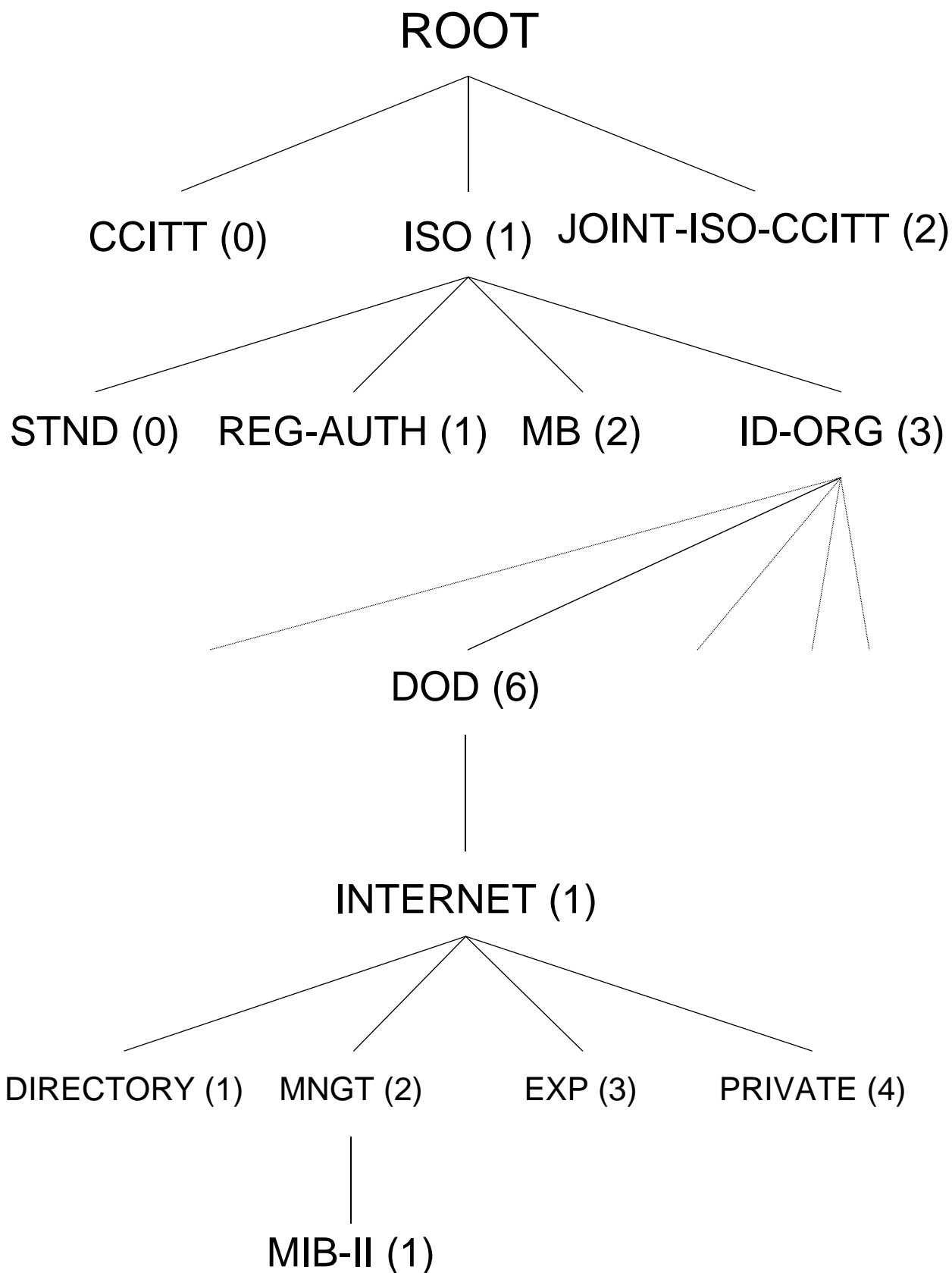
Value of Instance = *123456*

ALTERNATIVE:

Object ID = new-MIB info uptime



OBJECT NAMING: MIBs





OBJECT TYPE DEFINITION

<i>OBJECT-TYPE:</i>	{	INTEGER OCTET STRING OBJECT IDENTIFIER NULL
SYNTAX	{	IpAddress NetworkAddress Counter Gauge TimeTicks Opaque New Type
ACCESS	{	read-only read-write write-only not-accessible
STATUS	{	mandatory optional obsolete deprecated
DESCRIPTION		""

-- Definition of address

```
address    OBJECT-TYPE
SYNTAX      IpAddress
ACCESS      read-write
STATUS      mandatory
DESCRIPTION "The Internet address of this system"
 ::= {new-MIB 1}
```



DEFINITION OF NON-LEAF 'OBJECTS'

Name **OBJECT IDENTIFIER ::= {...}**

EXAMPLE:

info **OBJECT IDENTIFIER ::= {new-MIB 2}**



DEFINITION OF A MIB

Mib DEFINITIONS ::=
BEGIN

definition of the root's OID
definition of all node and leaf objects

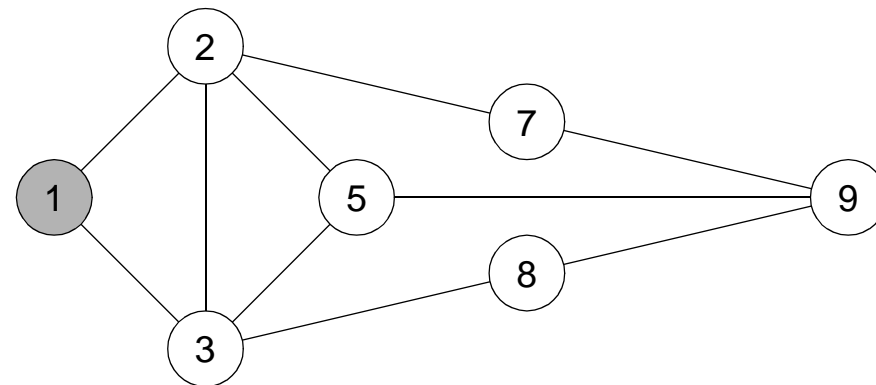
END



TABLES

EXAMPLE: ROUTING TABLE

destination	next
2	2
3	3
5	2
7	2
8	3
9	3



TO RETRIEVE INDIVIDUAL TABLE ENTRIES

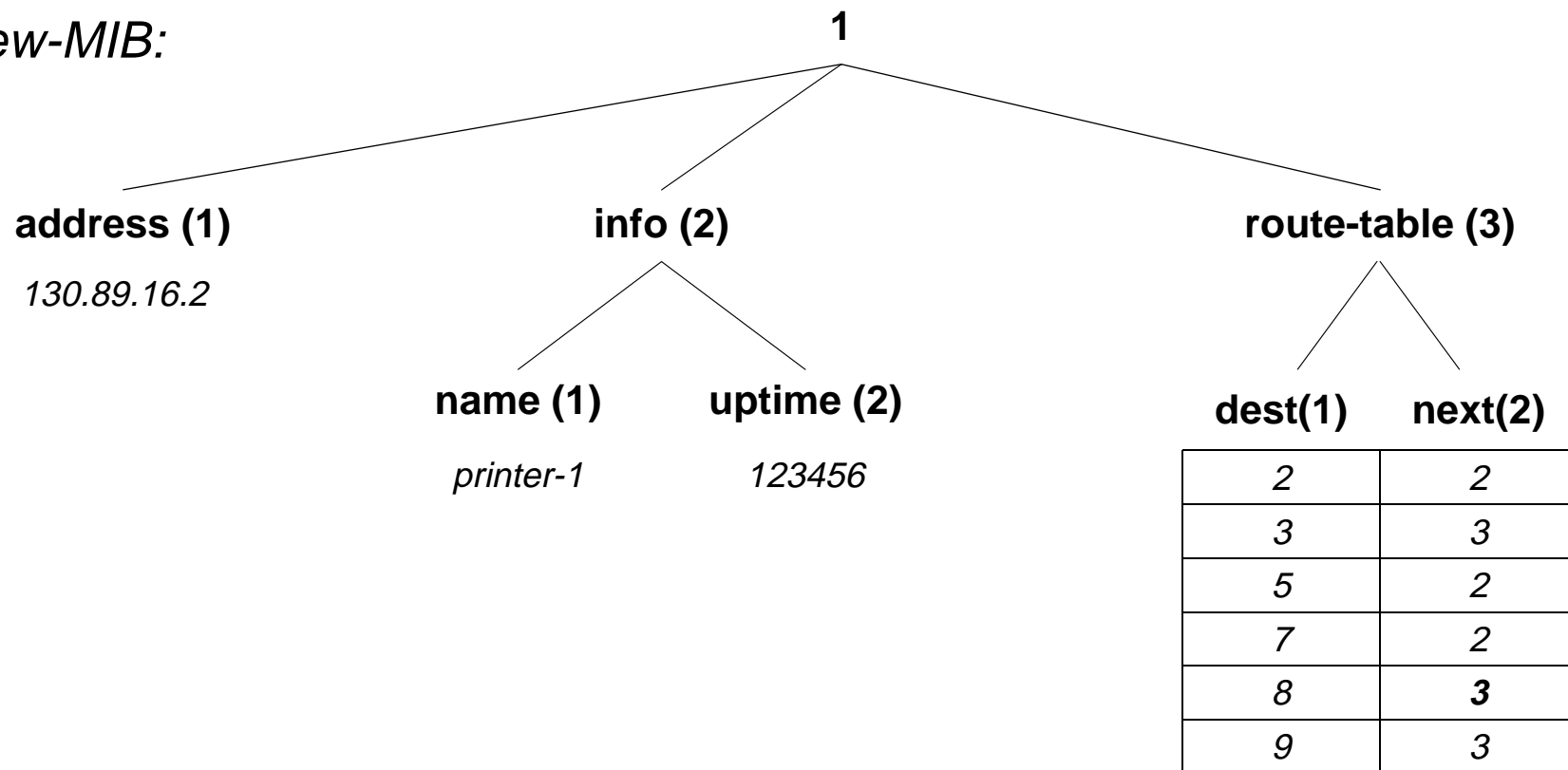
EACH ENTRY SHOULD GET A NAME



NAMING OF TABLE ENTRIES - I

POSSIBILITY 1 (NOT BEING USED BY SNMP): USE ROW NUMBERS

new-MIB:



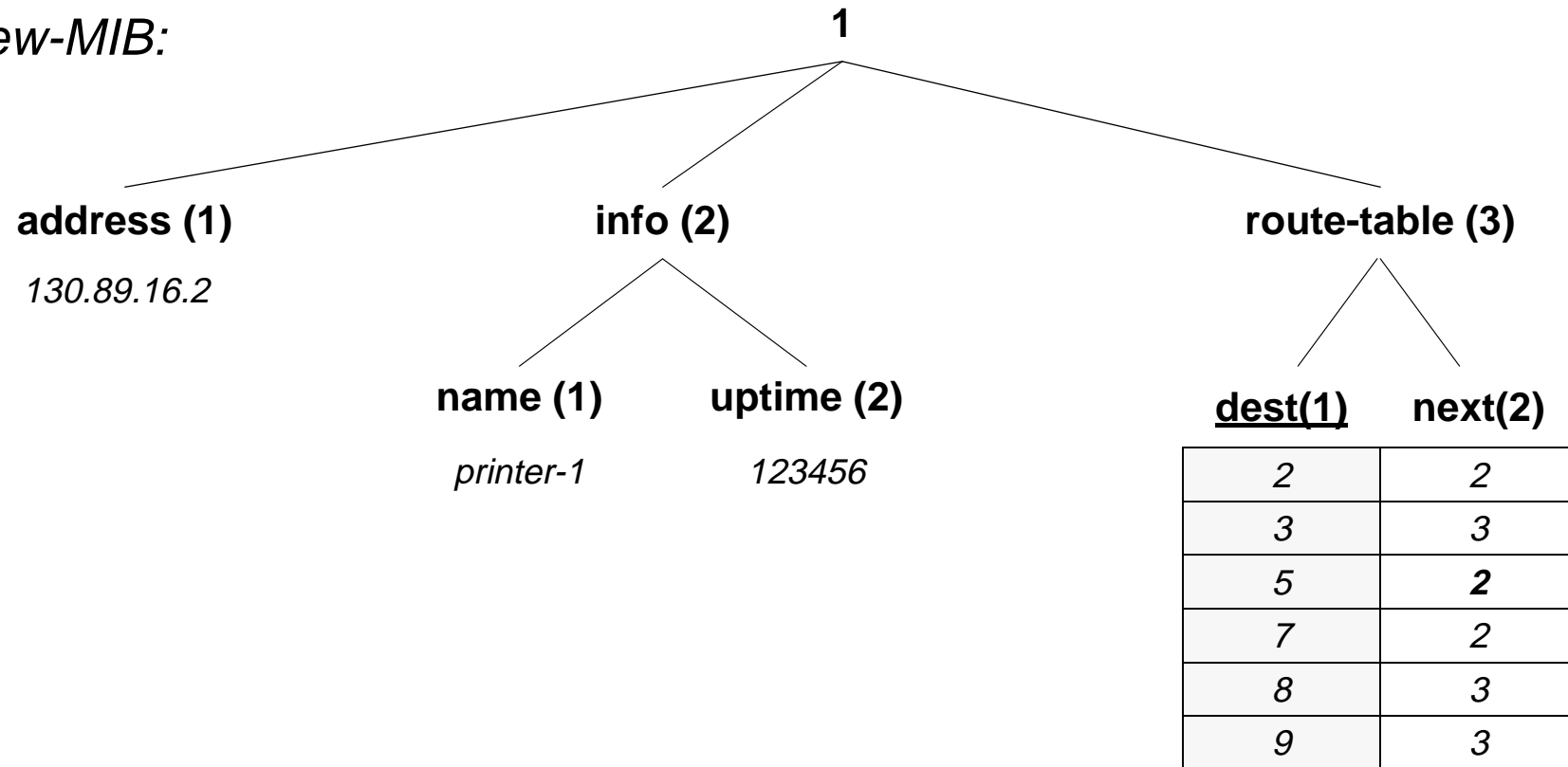
EXAMPLE: THE VALUE OF *new-MIB route-table next 5* IS 3



NAMING OF TABLE ENTRIES - II

POSSIBILITY 2 (USED BY SNMP): INTRODUCE AN INDEX COLUMN

new-MIB:



EXAMPLE: THE VALUE OF *new-MIB route-table next 5* IS 2

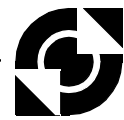
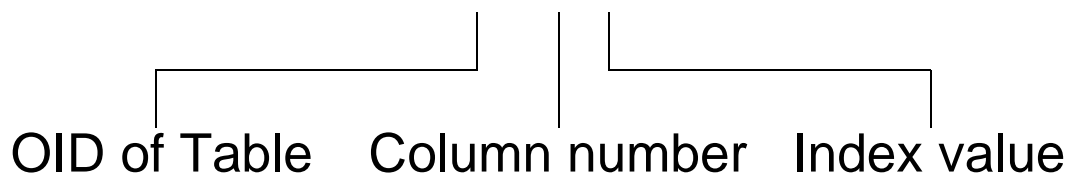


TABLE INDEX - I

GENERAL SCHEME

X.C.I



EXAMPLES:

OID of Table = 1.3

1.3.1.5 \Rightarrow 5

1.3.2.5 \Rightarrow 2

1.3.1.9 \Rightarrow 9

1.3.2.9 \Rightarrow 3

1.3.2.7 \Rightarrow 2

1.3.1.1 \Rightarrow *entry does not exist*

1.3.2.1 \Rightarrow *entry does not exist*



TABLE INDEX - II

AN INDEX NEED NOT BE AN INTEGER

route-table (3)

└───┬───┘

<u>dest (1)</u>	<u>next (2)</u>
130.89.16.1	130.89.16.1
130.89.16.4	130.89.16.4
130.89.16.23	130.89.16.1
130.89.19.121	130.89.16.1
192.1.23.24	130.89.16.4
193.22.11.97	130.89.16.4

EXAMPLES:

OID of Table = 1.3

1.3.1.130.89.16.23 => 130.89.16.23

1.3.2.130.89.16.23 => 130.89.16.1

1.3.1.193.22.11.97 => 193.22.11.97

1.3.2.193.22.11.97 => 130.89.16.4

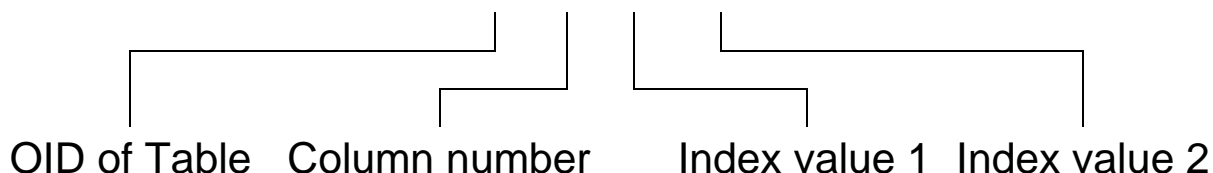
1.3.2.130.89.19.121 => 130.89.16.1



TABLE INDEX - III

USE OF MULTIPLE INDEX FIELDS

X.C.I1.I2



EXAMPLE:

1 = low costs
2 = high reliability

route-table (3)

<u>dest (1)</u>	<u>policy (2)</u>	<u>next (3)</u>
130.89.16.23	1	130.89.16.23
130.89.16.23	2	130.89.16.23
130.89.19.121	1	130.89.16.1
192.1.23.24	1	130.89.16.1
192.1.23.24	2	130.89.16.4
193.22.11.97	1	130.89.16.1

1.3.3.192.1.23.24.1 => 130.89.16.1

1.3.3.192.1.23.24.2 => 130.89.16.4



TABLE DEFINITION - 1

-- Definition of the route-table

```
route-table          OBJECT-TYPE
  SYNTAX           SEQUENCE OF route-entry
  ACCESS           not-accessible
  STATUS           mandatory
  DESCRIPTION     "This entity's routing table"
  ::= {new-MIB 3}
```

```
route-entry         OBJECT-TYPE
  SYNTAX           Route-entry      -- this is a new type!
  ACCESS           not-accessible
  STATUS           mandatory
  DESCRIPTION     "A route to a particular destination"
  INDEX           {dest, policy}
  ::= {route-table 1}
```

```
Route-entry ::=
  SEQUENCE       {
    dest    ipAddress,
    policy  INTEGER,
    next    ipAddress
  }
```




TABLE DEFINITION - 2

dest **OBJECT-TYPE**
SYNTAX ipAddress
ACCESS read-write
STATUS mandatory
DESCRIPTION "The address of a particular destination"
 ::= {route-entry 1}

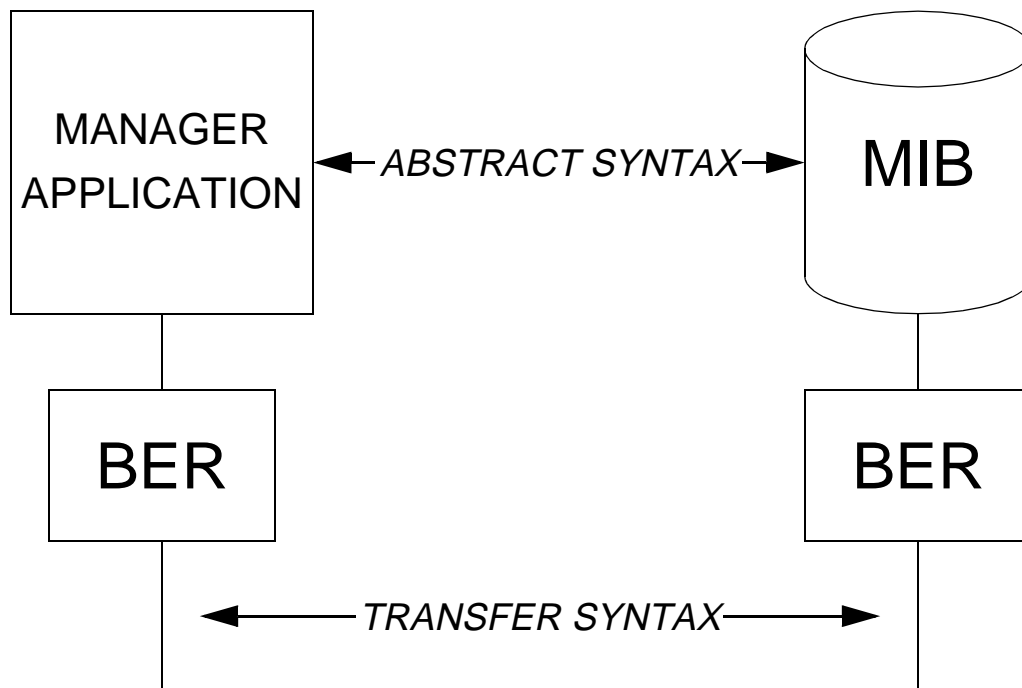
policy **OBJECT-TYPE**
SYNTAX INTEGER {
 costs(1) -- lowest delay
 reliability(2) } -- highest reliability
ACCESS read-write
STATUS mandatory
DESCRIPTION "The routing policy to reach that destination"
 ::= {route-entry 2}

next **OBJECT-TYPE**
SYNTAX ipAddress
ACCESS read-write
STATUS mandatory
DESCRIPTION "The internet address of the next hop"
 ::= {route-entry 3}



ASN.1 & BER - 1

MIB DEFINITIONS
ARE DESCRIBED IN TERMS OF
THE ASN.1 LANGUAGE



THE MAPPING FROM THIS
ABSTRACT SYNTAX
UPON A
TRANSFER SYNTAX
IS DEFINED BY THE
BASIC ENCODING RULES

BER

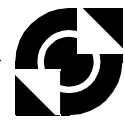


ASN.1 & BER - 2

EACH ASN.1 VALUE
IS ENCODED
AS AN OCTET STRING

THIS ENCODING RESULTS INTO
A SEQUENCE OF
TAG, LENGTH, VALUE
STRUCTURES





TAG FIELD



primitive (=simple) / constructed (=structured)

- 0 0 = universal tag
- 0 1 = application-wide tag
- 1 0 = (context specific tag)
- 1 1 = (private tag)

Universal tags

f	tag number	bit pattern	hex code	ASN.1 type
0	2	0000 0010	02 _H	INTEGER
0	4	0000 0100	04 _H	OCTET STRING
0	5	0000 0101	05 _H	NULL
0	6	0000 0110	06 _H	OBJECT IDENTIFIER
1	16	0011 0000	30 _H	SEQUENCE SEQUENCE OF

Application-wide tags

IpAddress
NetworkAddress
Counter
Gauge
TimeTicks
Opaque



LENGTH FIELD

SHORT FORM:

0		
---	--	--

LONG FORM:

1		n			
---	--	---	--	--	--

n (1 ≤ n ≤ 2)



MIB-II

DEFINES THE VARIABLES
TO MANAGE THE
TCP/IP PROTOCOL STACK

170 VARIABLES

RFC 1213

ENHANCEMENT OF MIB-I

RFC 1156



MIB-II

- ESSENTIAL FOR
FAULT OR CONFIGURATION MANAGEMENT

- ONLY WEAK CONTROL OBJECTS

- SMALL NUMBER OF OBJECTS

- AVOID REDUNDANCY

- EVIDENCE OF UTILITY

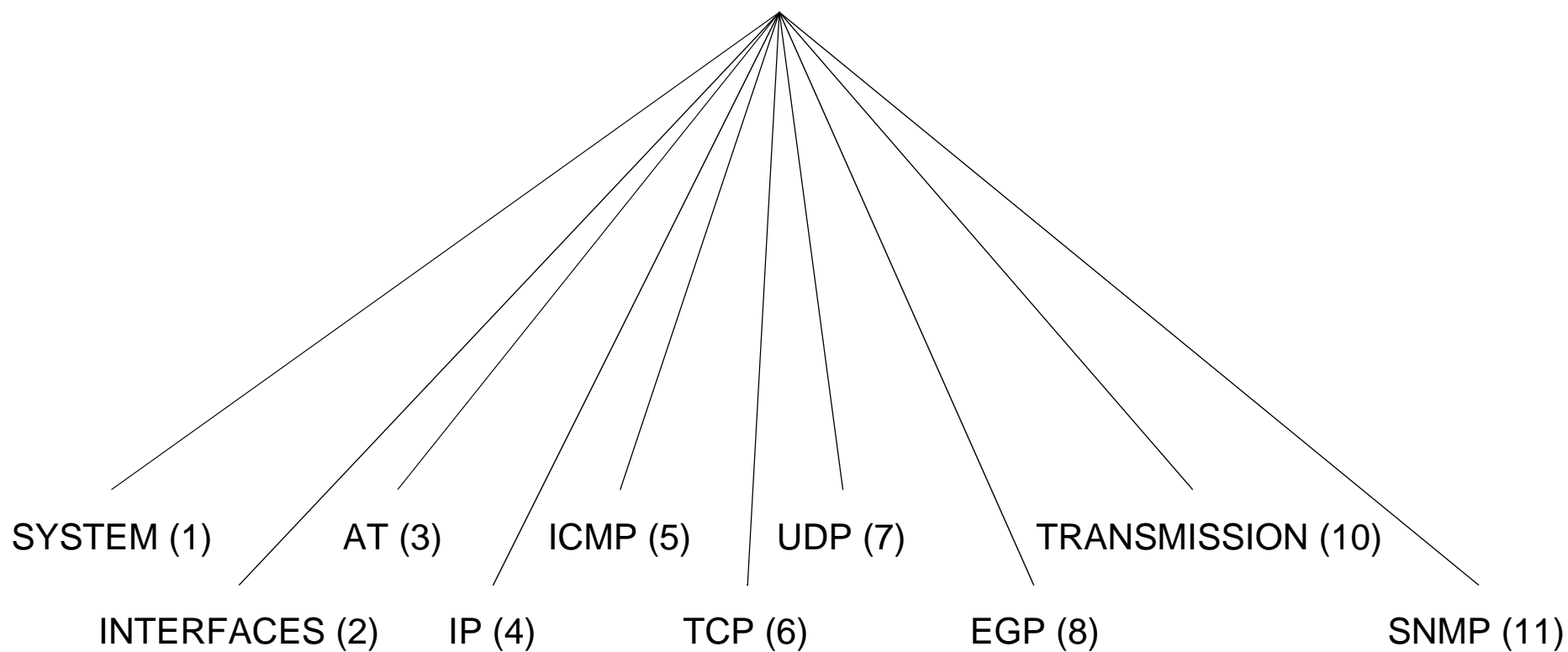
- DO NOT DISTURB NORMAL OPERATION

- NO IMPLEMENTATION SPECIFIC ISSUES



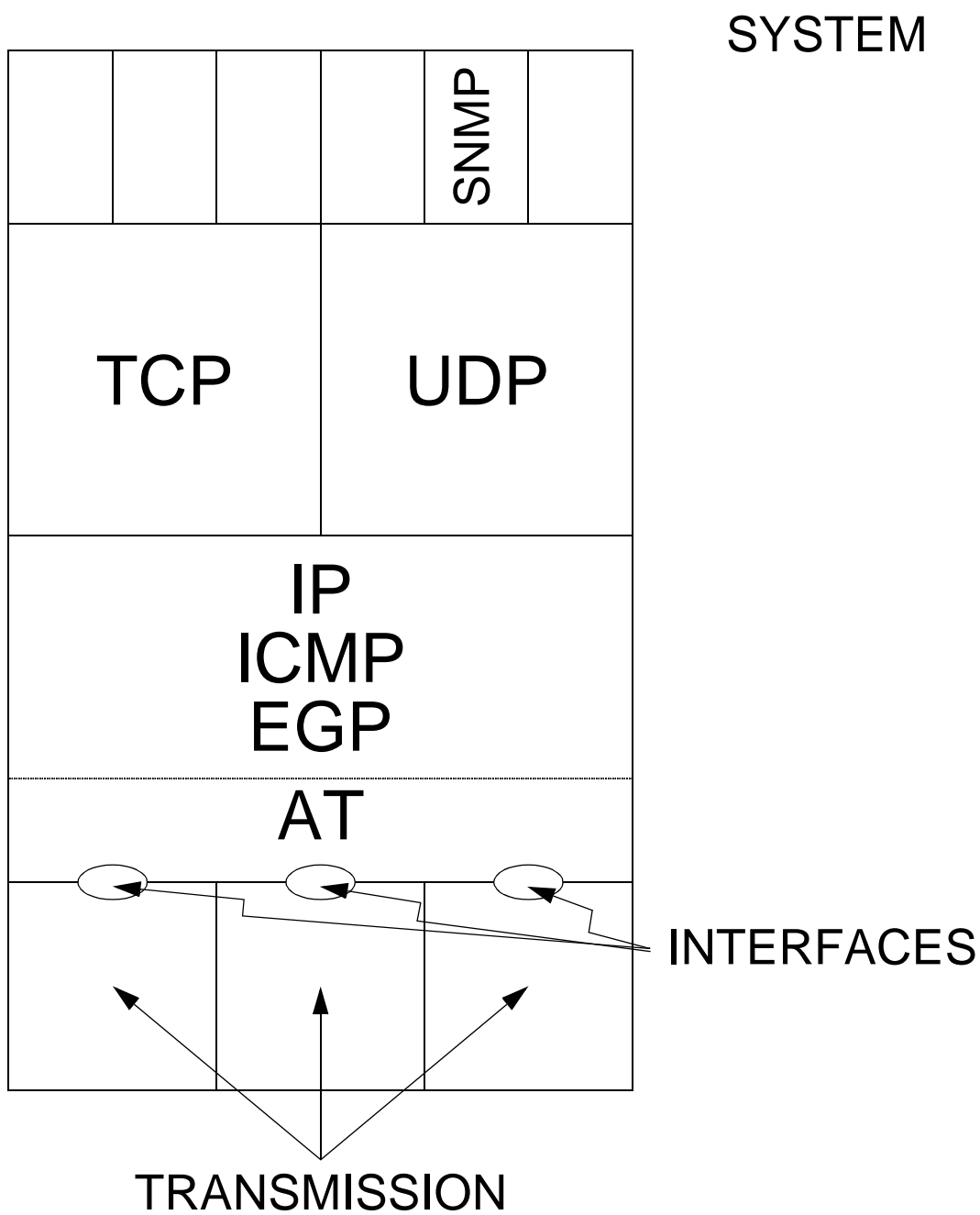
MIB-II

MIB-II





MIB-II GROUPS





SYSTEM GROUP

system (1)

sysDescr (1)

sysObjectID (2)

sysUpTime (3)

sysContact (4)

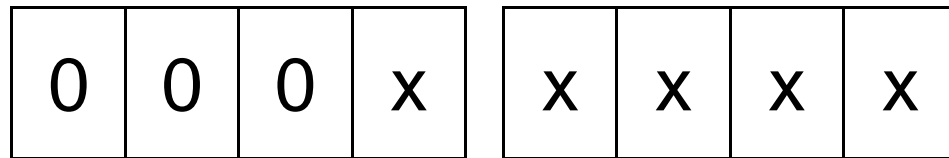
sysName (5)

sysLocation (6)

sysServices (7)



sysServices



physical layer (e.g. repeaters)
data-link layer (e.g. bridges)
internet layer (e.g. IP routers)
end-to-end (e.g. IP Hosts)
application (e.g. nfs-servers)



EXAMPLE

sysDescr: **"Cisco Gateway"**
sysObjectID: **1.3.6.1.4.1.9.1.1**
sysUpTime: **37153422** (*4 days, 7 h, 12 min, 14.22 s*)
sysContact: **"helpdesk@cs.utwente.nl"**
sysName: **"utic01.cs.utwente.nl"**
sysLocation: **"near logica meeting room"**
sysServices: **6** (*bridge and router functions*)

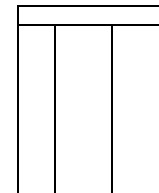


INTERFACES GROUP

interface (2)

ifNumber (1)

ifTable (2)



↳		↳	→	ifIndex
				ifDescr
				ifType
				ifMtu
				ifSpeed
				ifPhysAddress
				ifAdminStatus
				ifOperstatus
				ifLastChange
				ifInOctets
				ifInUcastPkts
				ifInNUcastPkts
				ifInDiscards
				ifInErrors
				ifInUnknownProtos
				ifOutOctets
				ifOutUcastPkts
				ifOutNUcastPkts
				ifOutDiscards
				ifOutErrors
				ifOutQLen
●		●	●	ifSpecific

ifTable





ifTable

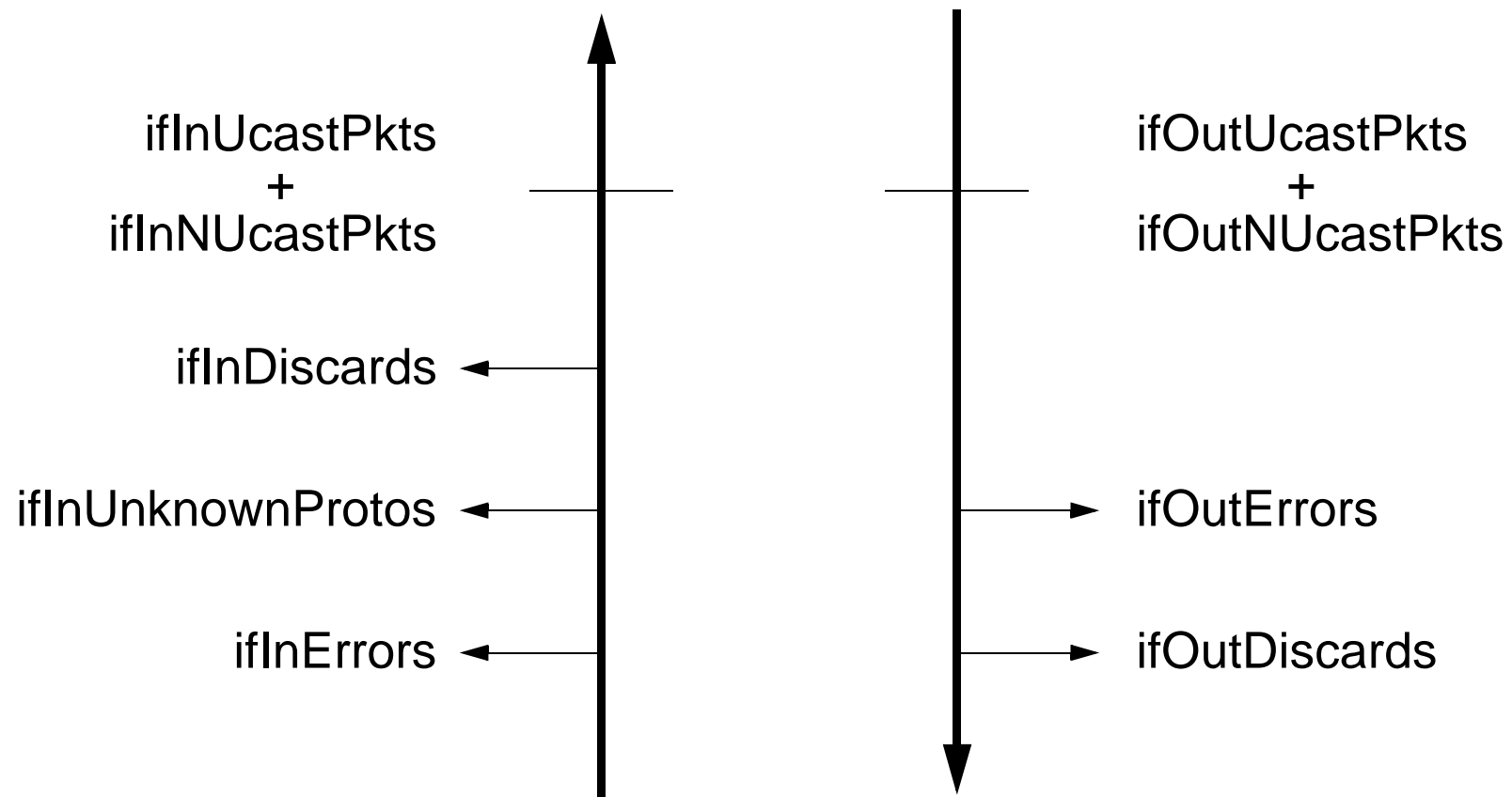
- ifAdminStatus / ifOperStatus
 - 1 = up
 - 2 = down
 - 3 = testing

- ifType
EXAMPLES:

1	Undefined	16	LAPB
6	Ethernet	20	ISDN Basic
7	IEEE 802.3	21	ISDN Primary
8	IEEE 802.4	23	PPP
9	IEEE 802.5	24	Loopback
10	IEEE 802.6	28	SLIP
15	FDDI	32	Frame Relay



IF PACKET COUNT



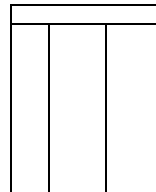


ADDRESS TRANSLATION GROUP

at (3)



atTable (1)



- DEPRECATED STATUS



atTable

atIndex	atPhysAddress	atNetAddress
1		aa.bb.cc.dd
2		ee.ff.gg.hh
n		ww.xx.yy.zz

IP GROUP

IP (4)

ipForwarding (1)

ipDefaultTTL (2)

ipInReceives (3)

ipInHdrErrors (4)

ipInAddrErrors(5)

ipInForwDatagrams (7)

ipInUnknownProtos (7)

ipInDiscards (8)

ipInDelivers (9)

ipOutRequest (10)

iplutDiscards (11)

ipOutNoRoutes (12)

ipReasmTimeout (13)

ipReasmReqds (14)

ipReasmOKs (15)

ipReasmFails (16)

ipFragOKs (17)

ipFragFails (18)

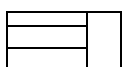
ipFragCreates (19)



ipAddrTable (20)



ipRouteTable (21)



ipNetToMediaTable (22)

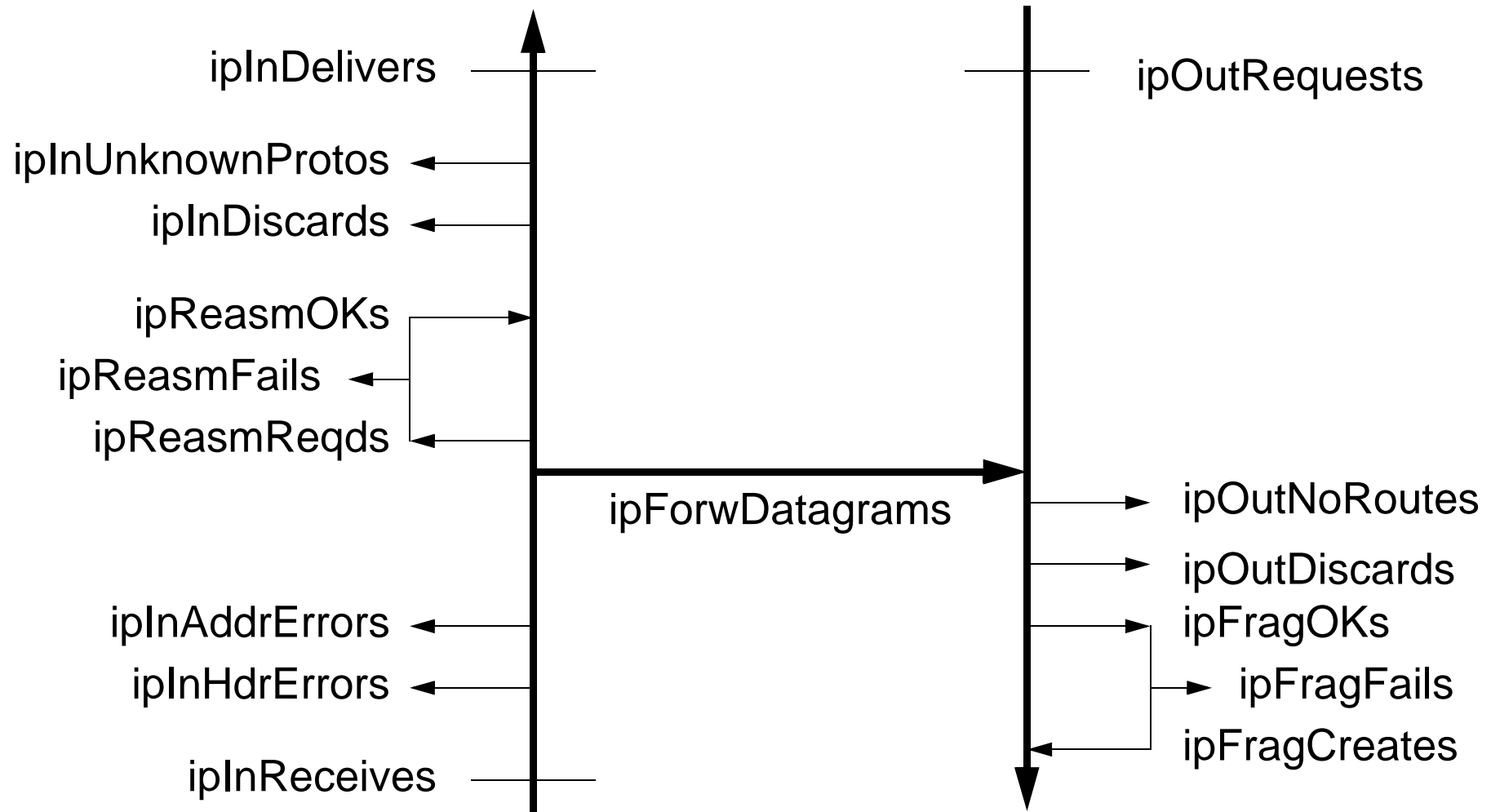
ipRoutingDiscards (23)

41





IP PACKET COUNT



ipAddrTable

	ipAdEntAddr	192.89.16.4				
	ipAdEntIfIndex	1				
	ipAdEntNetMask	255.255.255.0				
	ipAdEntBcastAddr	1				
	ipAdEntReasmMaxSize	65535				
192.89.16.8						





ipNetToMediaTable

ipNetToMedia IfIndex	ipNetToMedia PhysAddress	ipNetToMedia NetAddress	ipNetToMedia Type
1	08:00:20:00:25:66	129.14.16.4	3 (dynamic)
2			

ipRouteTable

				ipRouteDest
			129.14.16.4	ipRouteNextHop
			129.16.1.7	ipRouteIndex
			1	ipRouteMask
			255.255.0.0	ipRouteType
			3	ipRouteMetric1
				ipRouteMetric2
				ipRouteMetric3
				ipRouteMetric4
				ipRouteMetric5
				ipRouteAge
				ipRouteProto
			ospf	ipRouteInfo
192.89.16.8				

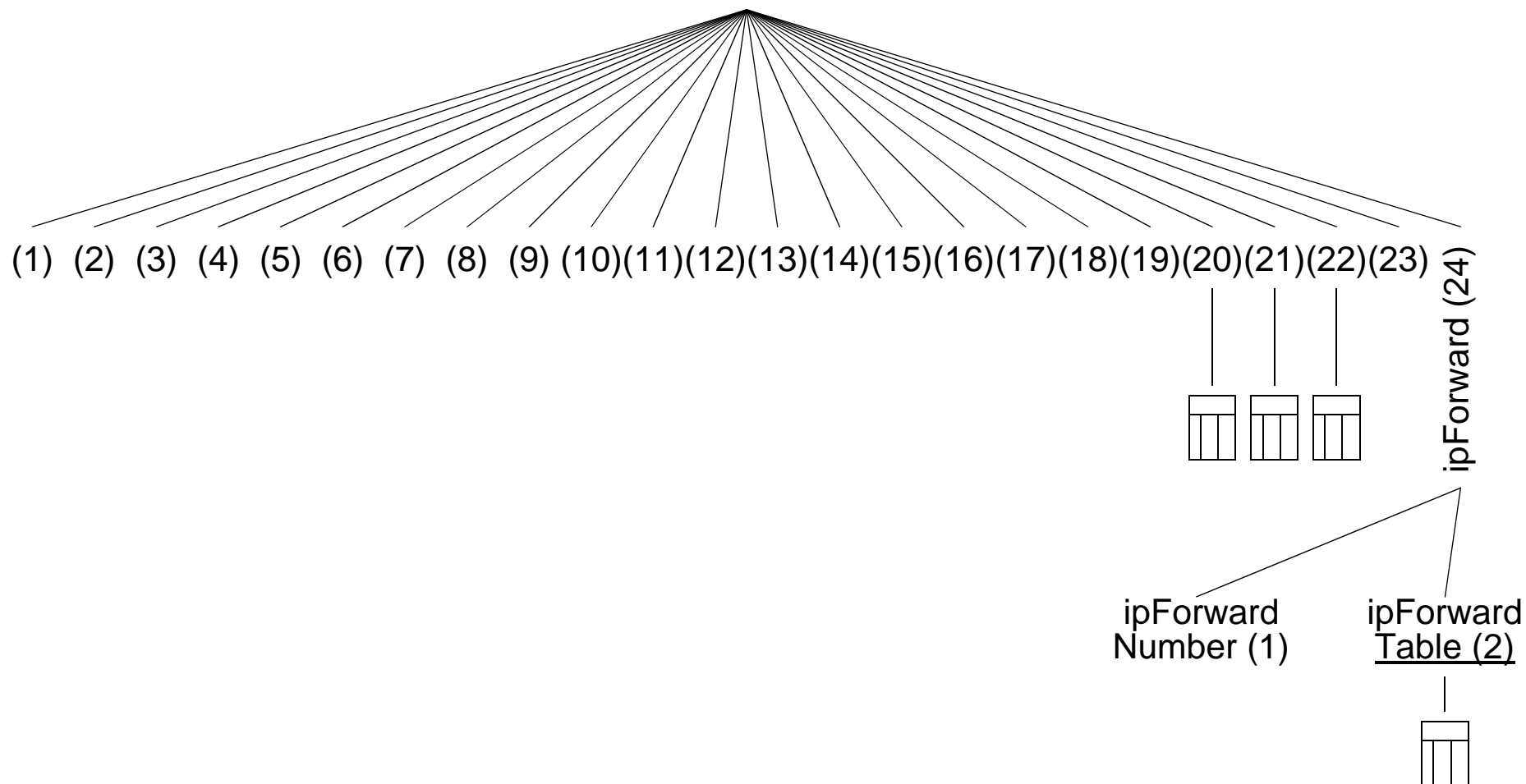
45





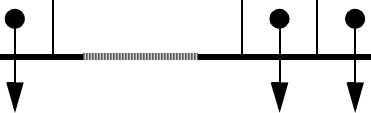
IP Forwarding Table

IP (4)



ipForwardTable

193.11.1.8			33.14.16.4	ipForwardDest
			33.1.1.7	ipForwardNextHop
			16.1.1.1	ipForwardNextHopAS
			1	ipForwardIfIndex
			255.0.0.0	ipForwardMask
			3	ipForwardType
				ipForwardPolicy
				ipForwardMetric1
				ipForwardMetric2
				ipForwardMetric3
				ipForwardMetric4
				ipForwardMetric5
				ipForwardAge
			ospf	ipForwardProto
				ipForwardInfo



48

- icmpInMsgs (1)
- icmpInErrors (2)
- icmpInDestUnreachs (3)
- icmpInTimeExcds (4)
- icmpInParmProbs (5)
- icmpSrcQuenchs (6)
- icmpInRedirects (7)
- icmpInEchos (8)
- icmpInEchoReps (9)
- icmpInTimestamps (10)
- icmpInTimeStampReps (11)
- icmpInAddrMasks (12)
- icmpInAddrMaskReps (13)
- icmpOutMsgs (14)
- icmpOutErrors (15)
- icmpOutDestUnreachs (16)
- icmpOutTimeExcds (17)
- icmpOutParmProbs (18)
- icmpOutSrcQuenchs (19)
- icmpOutRedirects (20)
- icmpOutEchos (12)
- icmpOutEchoReps (22)
- icmpOutTimestamps (23)
- icmpOutTimeStampReps (24)
- icmpOutAddrMask (25)
- icmpOutAddrMaskReps (26)

ICMP (5)

ICMP GROUP

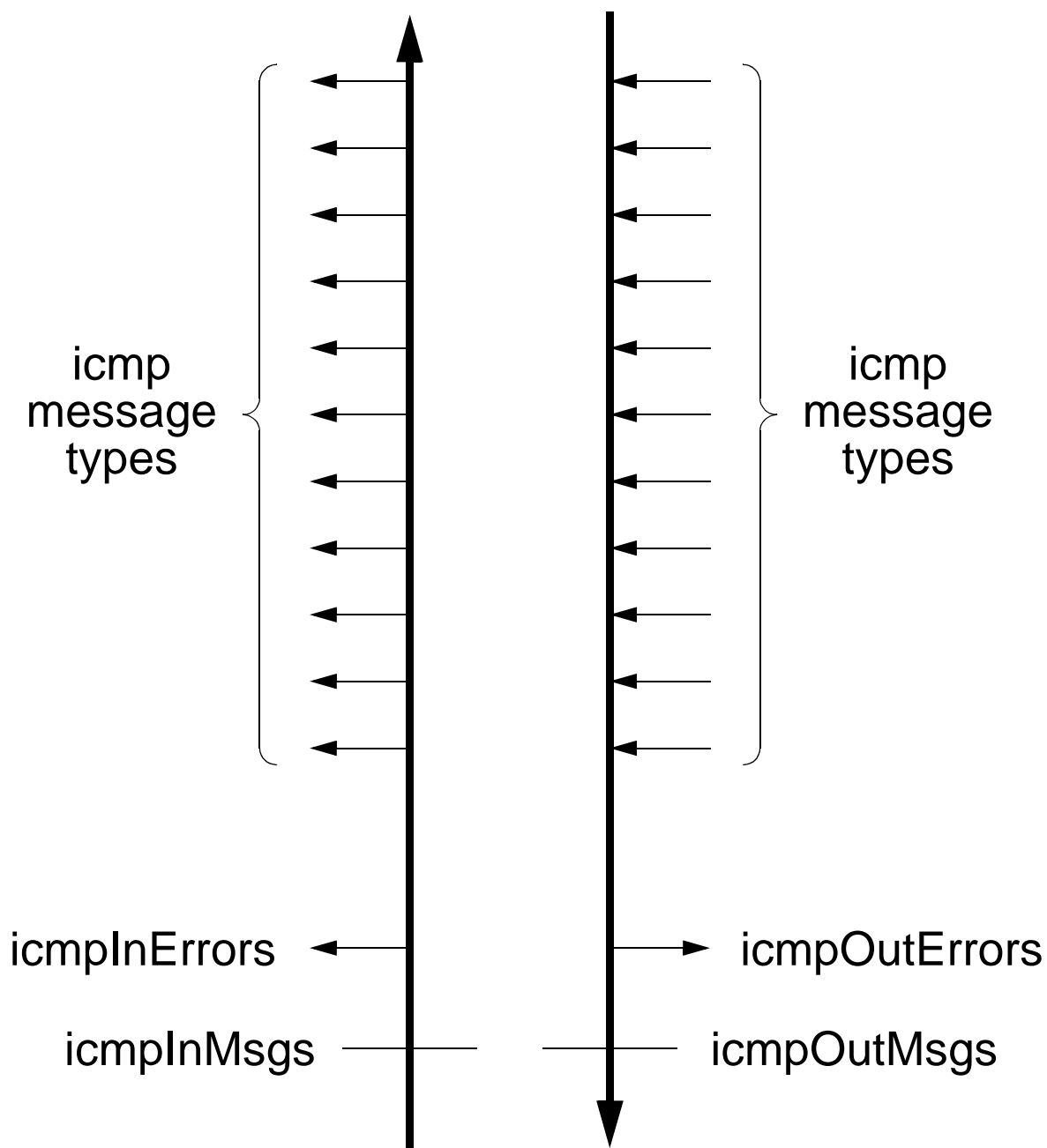




ICMP PACKET COUNT

COUNTS FOR EACH ICMP MESSAGE TYPE
HOW OFTEN IT HAS BEEN:

- TRANSMITTED
- RECEIVED



TCP GROUP

TCP (6)

tcpRtoAlgorithm (1)

tcpRtoMin (2)

tcpRtoMax (3)

tcpMaxConn (4)

tcpActiveOpens (5)

tcpPassiveOpens (6)

tcpAttemptFails (7)

tcpEstabResets (8)

tcpCurrEstab (9)

tcpInSegs (10)

tcpOutSegs (11)

tcpRetransSegs (12)



tcpConnTable (13)

tcpInErrs (14)

tcpOutRsts (15)

50



tcpConnTable

			listen	tcpConn State
			0.0.0.0	tcpConn LocalAddress
			23	tcpConn LocalPort
			0.0.0.0	tcpConn RemoteAddress
			0	tcpConn RemotePort





UDP GROUP

UDP (7)

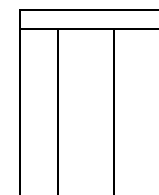
udpInDatagrams (1)

udpNoPorts (2)

udpInErrors (3)

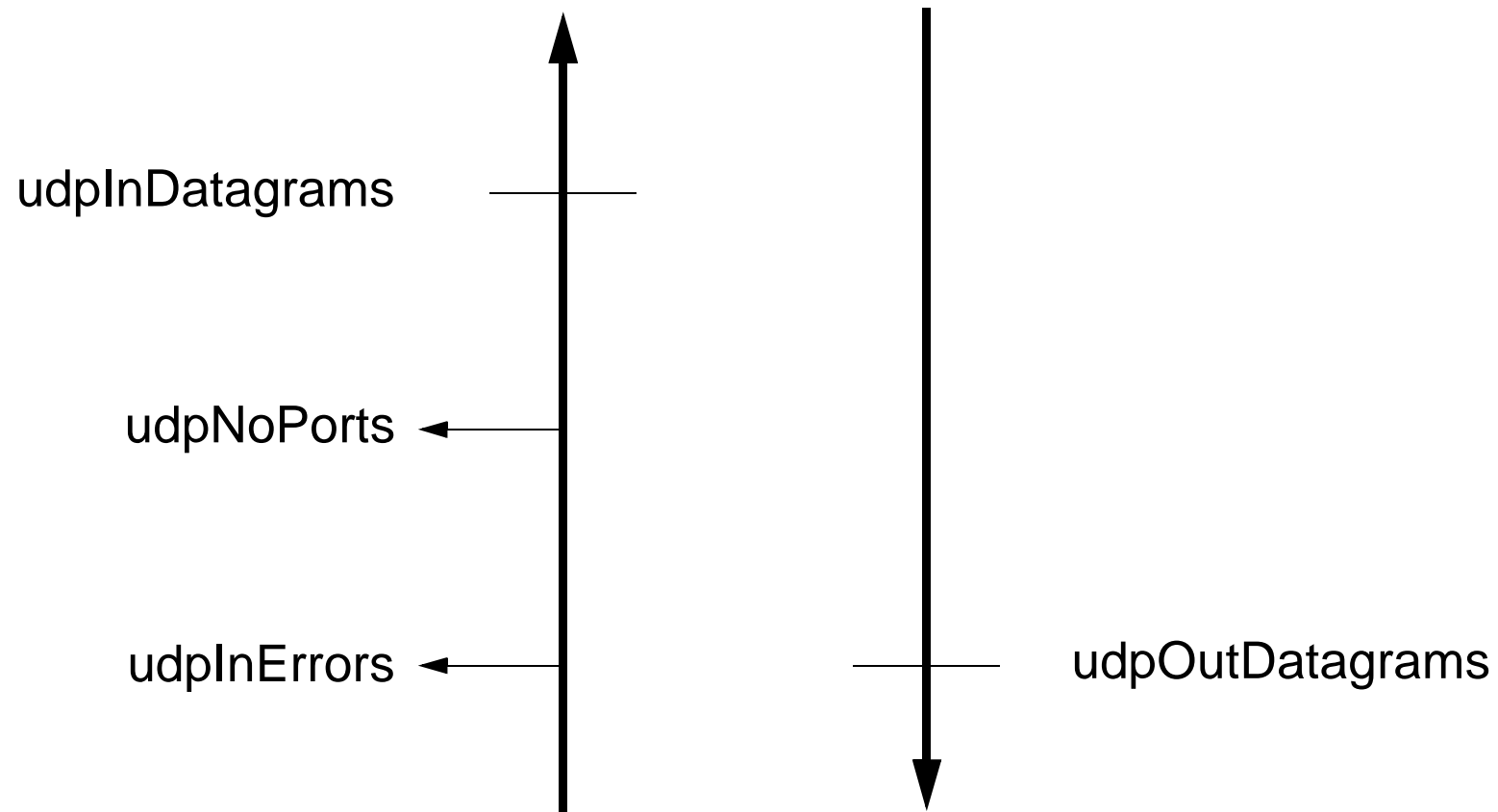
udpOutDatagrams (4)

udpTable (5)





UDP PACKET COUNT





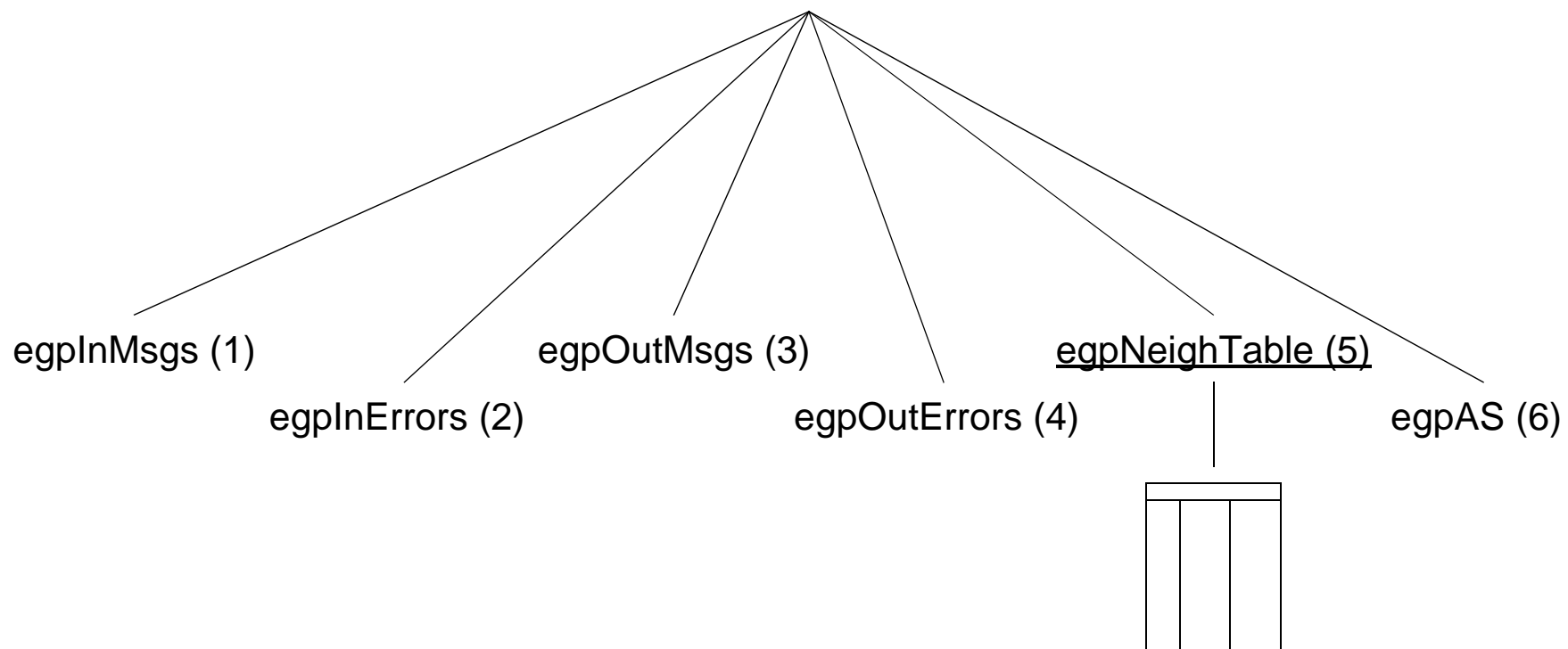
udpTable

udpLocalAddress	udpLocalPort
129.16.4.12	161



EGP GROUP

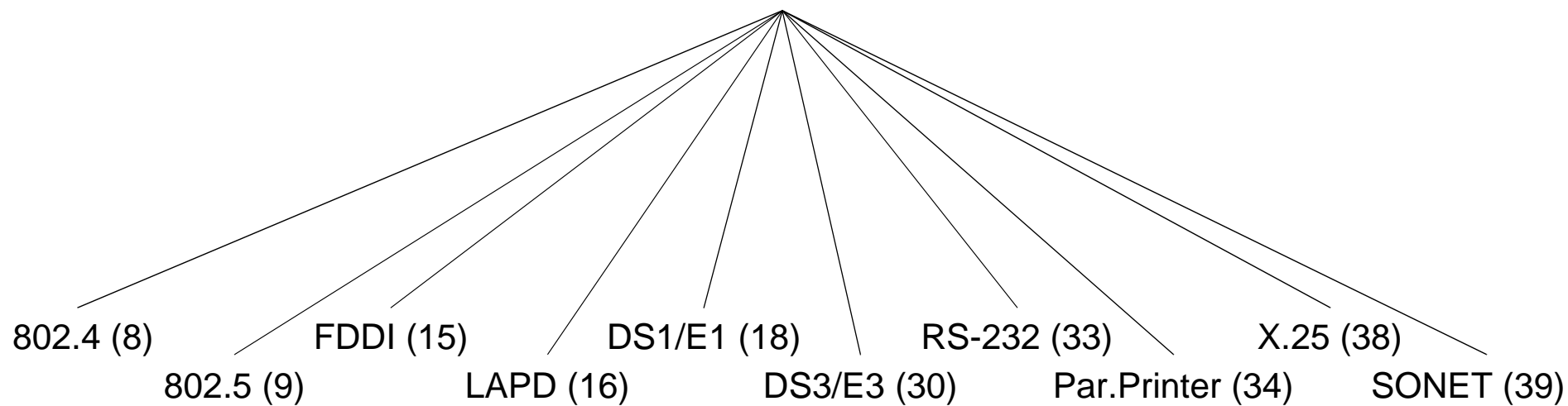
EGP (8)





TRANSMISSION GROUP

transmission (9)





SNMP GROUP

29 READ-ONLY COUNTERS

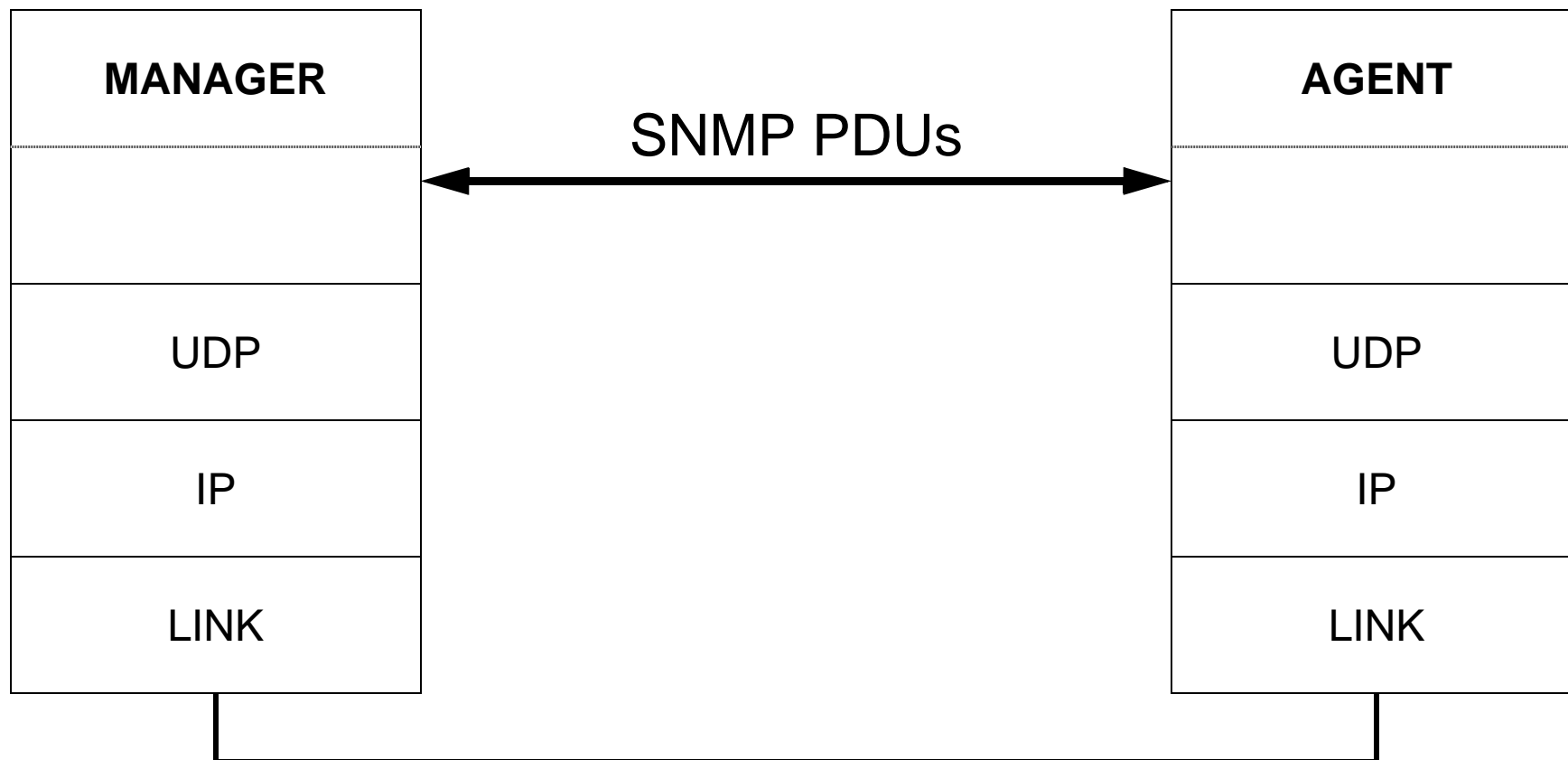
- THE NUMBER OF TRANSMITTED PDUs
 - THE NUMBER OF RECEIVED PDUs
 - FOR THE VARIOUS KINDS OF PDUs
- FOR THE VARIOUS KINDS OF ERRORS

1 READ-WRITE VARIABLE

- TO ENABLE / DISABLE AUTHENTICATION TRAPS

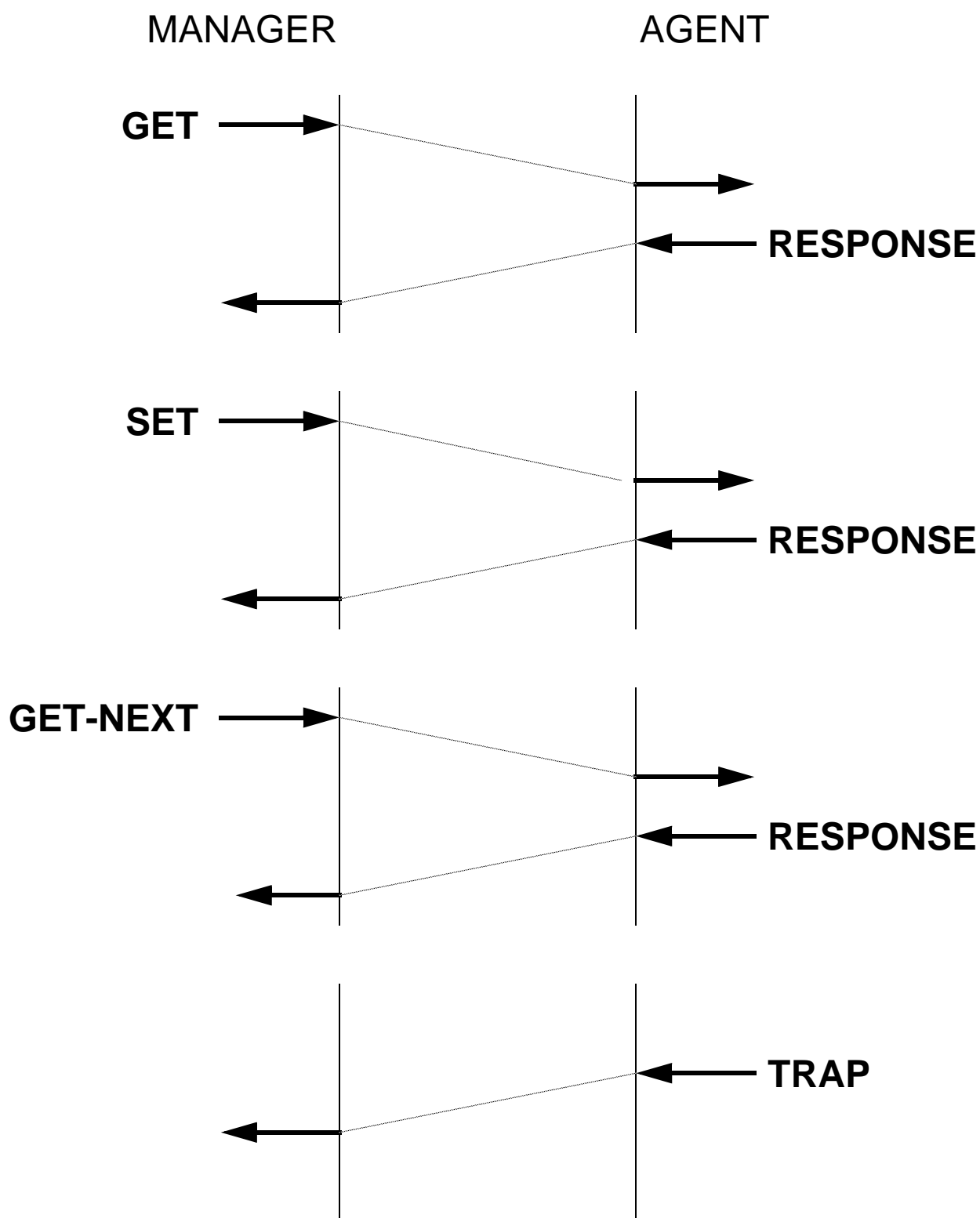


SNMP PROTOCOL





OVERVIEW OF PDUs





MESSAGE & PDU STRUCTURE

variable bindings:

NAME 1	VALUE 1	NAME 2	VALUE 2	NAME n	VALUE n
--------	---------	--------	---------	-----	-----	----------	-----------

SNMP PDU:

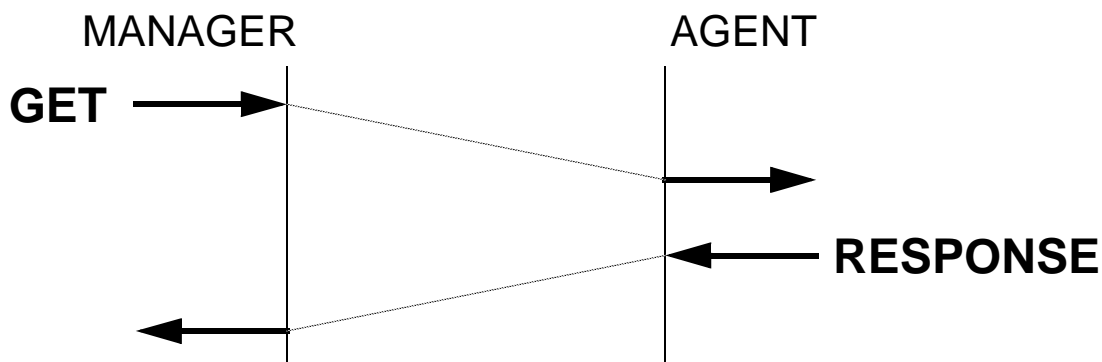
PDU TYPE *	REQUEST ID	ERROR STATUS	ERROR INDEX	VARIABLE BINDINGS
------------	------------	--------------	-------------	-------------------

SNMP message:

VERSION	COMMUNITY	SNMP PDU
---------	-----------	----------



GET



TO REQUEST THE VALUE OF
1 OR MORE
VARIABLES

POSSIBLE ERRORS:

- NoSuchName



Object does not exist
Object is not a leaf

- tooBig



Result does not fit in Response PDU

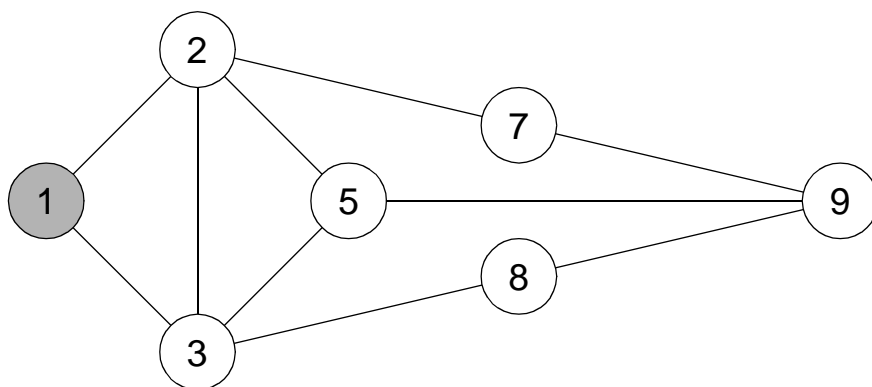
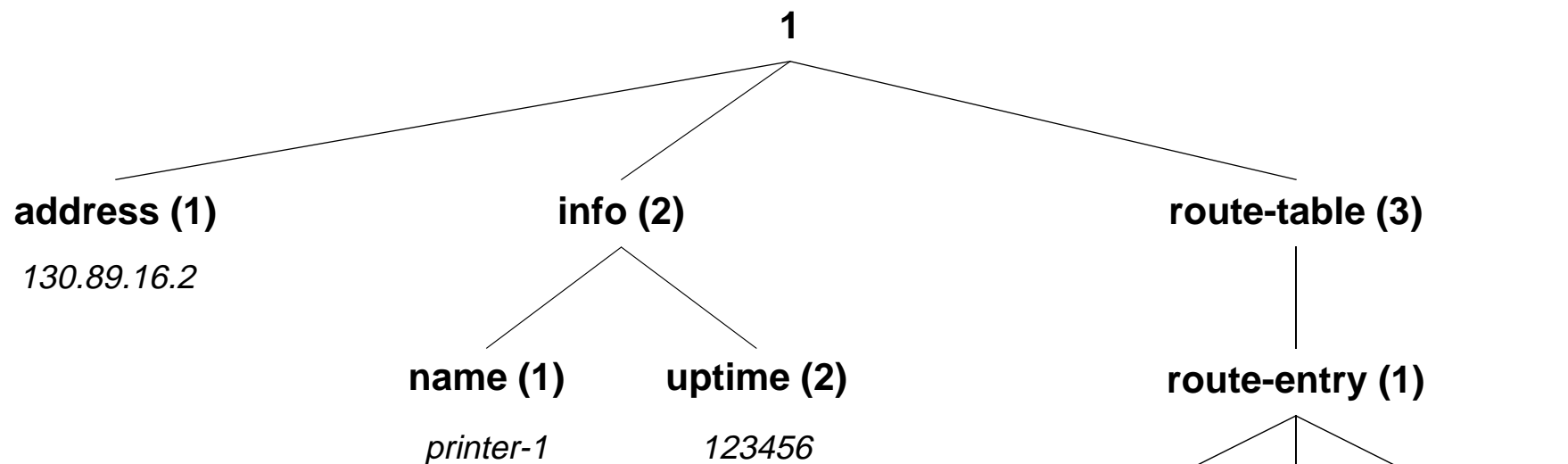
- genErr



All other causes



EXAMPLE MIB



<u>index (1)</u>	dest (2)	next (3)
1	2	2
2	3	3
3	5	2
4	7	2
5	8	3
6	9	3



GET EXAMPLES

GET(1.1.0)
RESPONSE(1.1.0 => 130.89.16.2)

GET(1.2.0)
RESPONSE(ErrorStatus = NoSuchName)

GET(1.1)
RESPONSE(ErrorStatus = NoSuchName)

GET(1.1.0; 1.2.2.0)
RESPONSE(1.1.0 => 130.89.16.2; 1.2.2.0 => 123456)

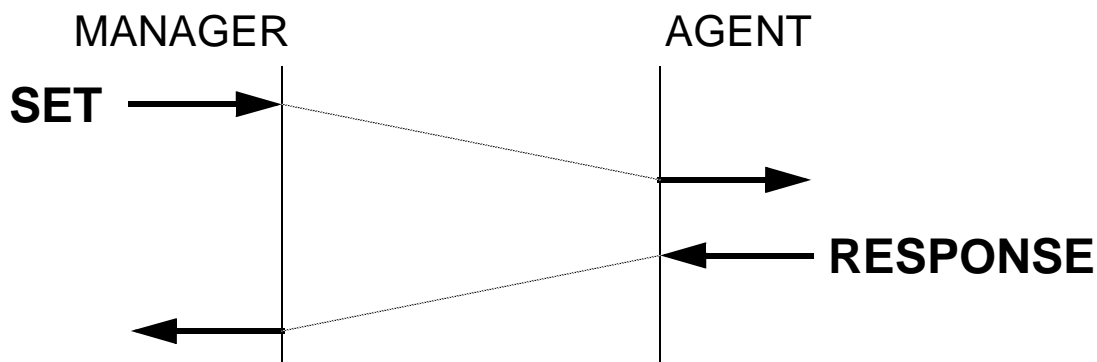
GET(1.3.1.1.4)
RESPONSE(1.3.1.1.4 => 4)

GET(1.3.1.3.4)
RESPONSE(1.3.1.3.4 => 2)

GET(1.3.1.2.4, 1.3.1.3.4)
RESPONSE(1.3.1.2.4 => 7, 1.3.1.3.4 => 2)



SET



SET(1.2.1.0 => *my-printer*)
RESPONSE(noError; 1.2.1.0 => *my-printer*)

POSSIBLE ERRORS:

- noSuchName
- badValue
- genErr
- tooBig



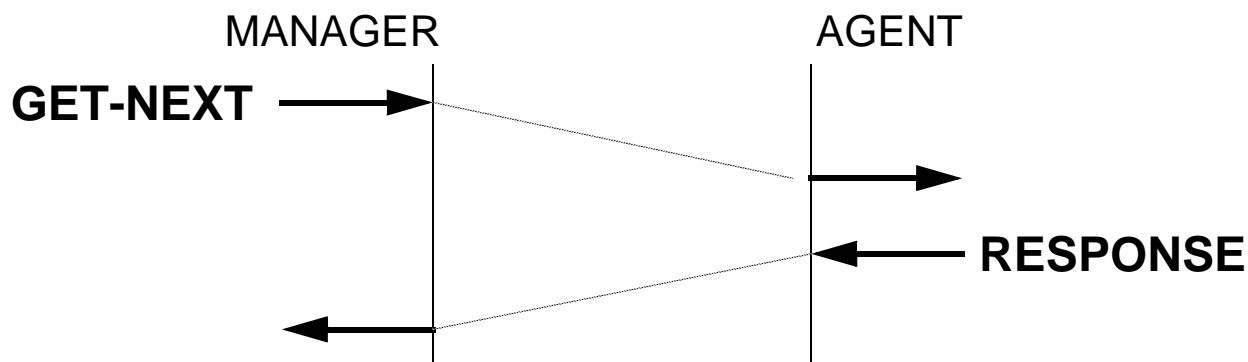
SET

THE SET REQUEST IS
ATOMIC

SET(1.2.1.0 => *my-printer*, 1.2.2.0 => 0)
RESPONSE(ErrorStatus = noSuchName; ErrorIndex = 2)



GET-NEXT



RETRIEVES THE
INSTANCE NAME AND VALUE
OF THE **NEXT** MIB ELEMENT

TO DISCOVER MIB STRUCTURES

TO RETRIEVE TABLE ROWS

POSSIBLE ERRORS:

- noSuchName (= END OF MIB)
 - genErr
 - tooBig



GET-NEXT EXAMPLES

GET-NEXT(1.1.0)
RESPONSE(1.2.1.0 => *printer-1*)

GET-NEXT(1.2.1.0)
RESPONSE(1.2.2.0 => 123456)

GET-NEXT(1.1)
RESPONSE(1.1.0 => 130.89.16.2)

GET-NEXT(1.3.1.1.1)
RESPONSE(1.3.1.1.2 => 2)

GET-NEXT(1.3.1.1.6)
RESPONSE(1.3.1.2.1 => 2)

GET-NEXT(1.3.1.1.1; 1.3.1.2.1; 1.3.1.3.1)
RESPONSE(1.3.1.1.2 => 2; 1.3.1.2.2 => 3; 1.3.1.3.2 => 3)



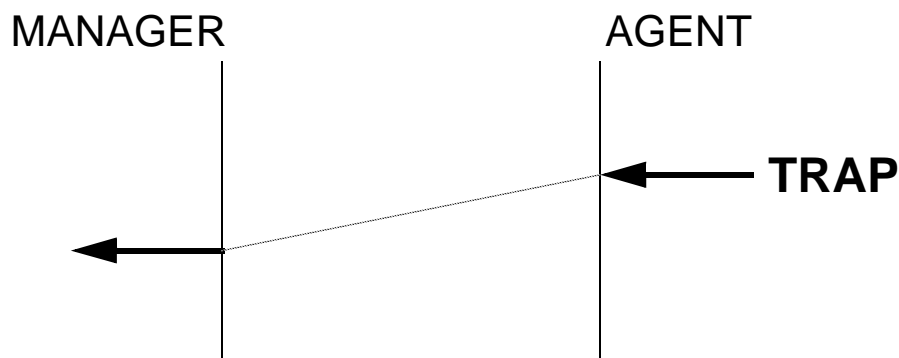
LEXICOGRAPHICAL ORDERING

THE MIB CAN BE CONSIDERED
AS AN ORDERED LIST

INSTANCE ID	INSTANCE VALUE
1.1.0	<i>130.89.16.2</i>
1.2.1.0	<i>printer-1</i>
1.2.2.0	<i>123456</i>
1.3.1.1.1	<i>1</i>
1.3.1.1.2	<i>2</i>
1.3.1.1.3	<i>3</i>
1.3.1.1.4	<i>4</i>
1.3.1.1.5	<i>5</i>
1.3.1.1.6	<i>6</i>
1.3.1.2.1	<i>2</i>
1.3.1.2.2	<i>3</i>
1.3.1.2.3	<i>5</i>
1.3.1.2.4	<i>7</i>
1.3.1.2.5	<i>8</i>
1.3.1.2.6	<i>9</i>
1.3.1.3.1	<i>2</i>
1.3.1.3.2	<i>3</i>
...	...



TRAP



**TRAP RECEPTION
IS NOT CONFIRMED
(THUS UNRELIABLE)**

POLLING REMAINS NECESSARY

**AGENTS MAY BE CONFIGURED
TO DISCARD TRAPS**

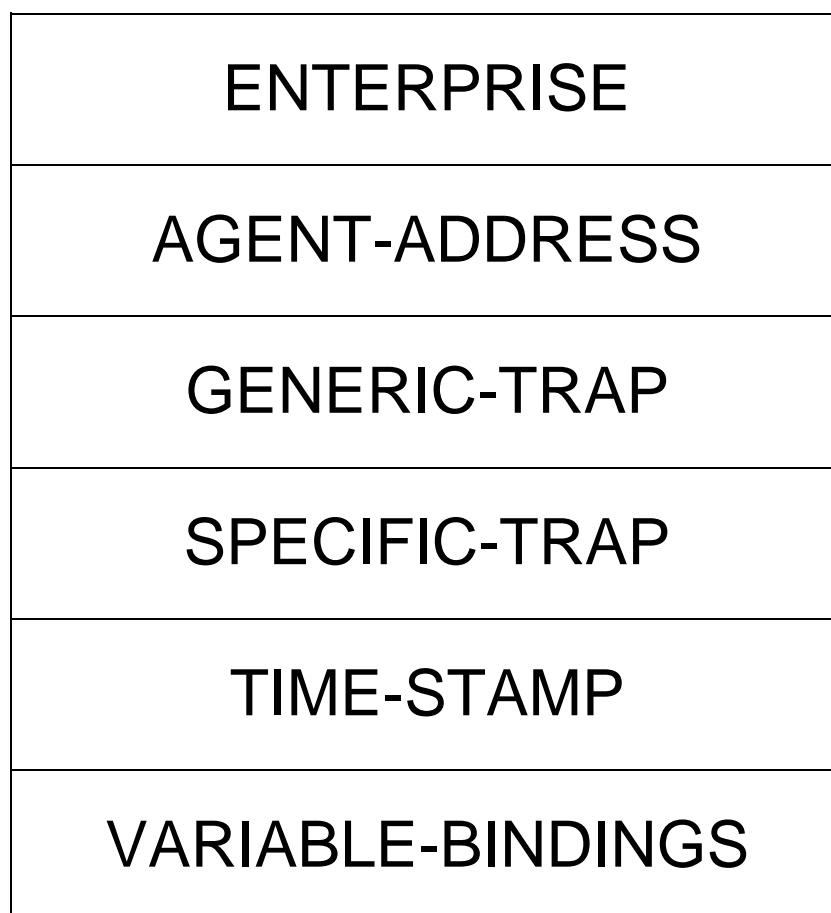


DEFINED TRAPS

- COLDSTART
- WARMSTART
- LINKDOWN
- LINKUP
- AUTHENTICATION FAILURE
- EGPNEIGHBOURLOSS
- ENTERPRISESPECIFICTRAP

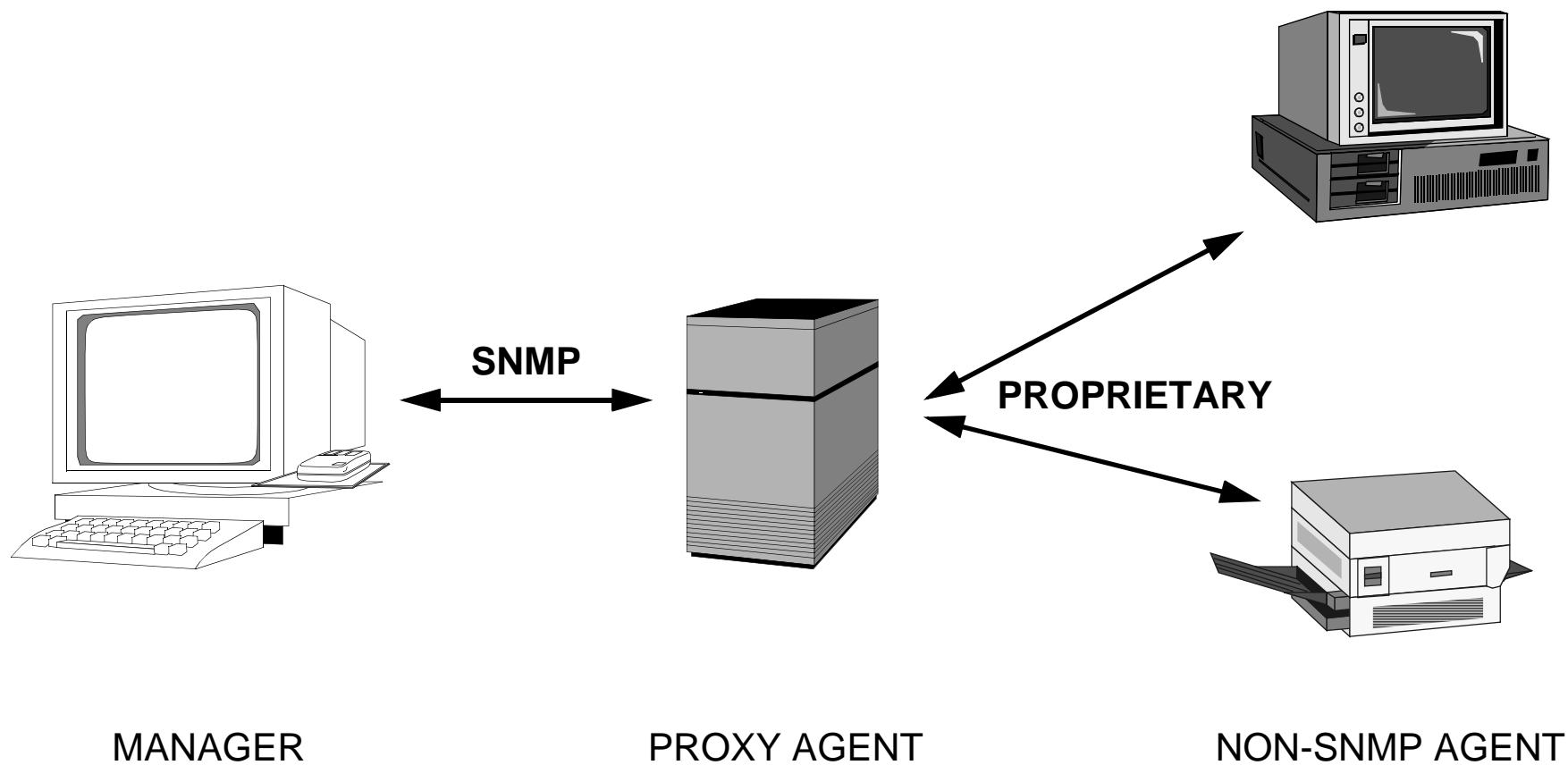


TRAP - PDU FORMAT





PROXY MANAGEMENT





SNMPv2

IMPROVED INFORMATION MODEL (SMIv2)

- ADDITIONAL DATA TYPES
- TEXTUAL CONVENTIONS
E.G. ROW STATUS
- NOTIFICATIONS

IMPROVED COMMUNICATION MODEL

- TRAPS HAVE SAME FORMAT AS OTHER PDUS
 - GET-BULK PDU
- ADDITIONAL ERROR CODES FOR SETS

TWO SECURITY MODELS

- SNMPv2C: COMMUNITY BASED
 - SNMPv2U: USER BASED

MIB-II

- SPLIT INTO MODULES:
INDEPENDENCE OF TCP/IP



ADDITIONAL DATA TYPES

SMIv1

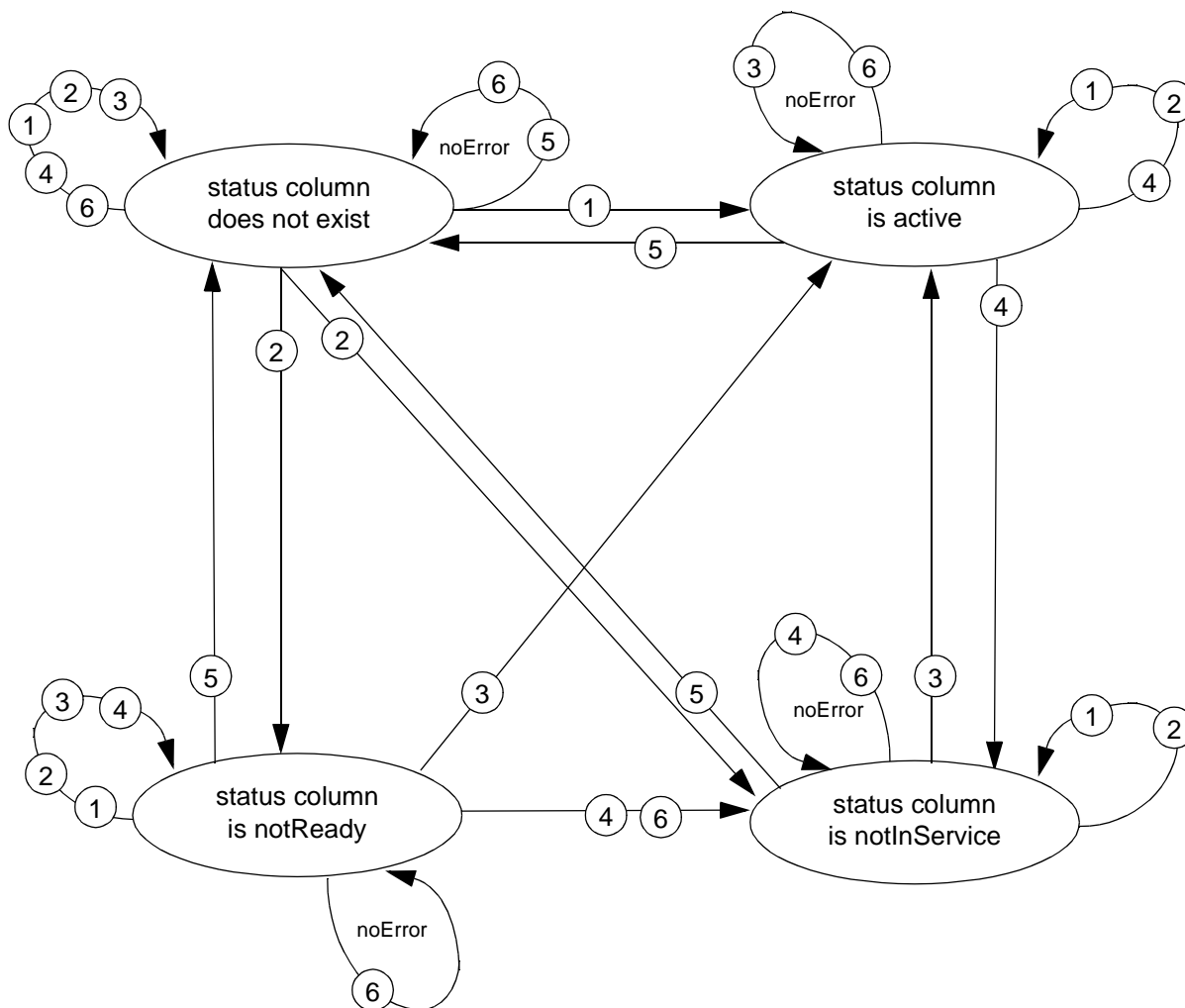
INTEGER
OCTET STRING
OBJECT IDENTIFIER
INTEGER
-
GAUGE
COUNTER
-
TIMETICKS
IPADDRESS
OPAQUE
-
NETWORKADDRESS

SMIv2

INTEGER
OCTET STRING
OBJECT IDENTIFIER
INTEGER32
UNSIGNED32
GAUGE32
COUNTER32
COUNTER64
TIMETICKS
IPADDRESS
OPAQUE
BITS
-



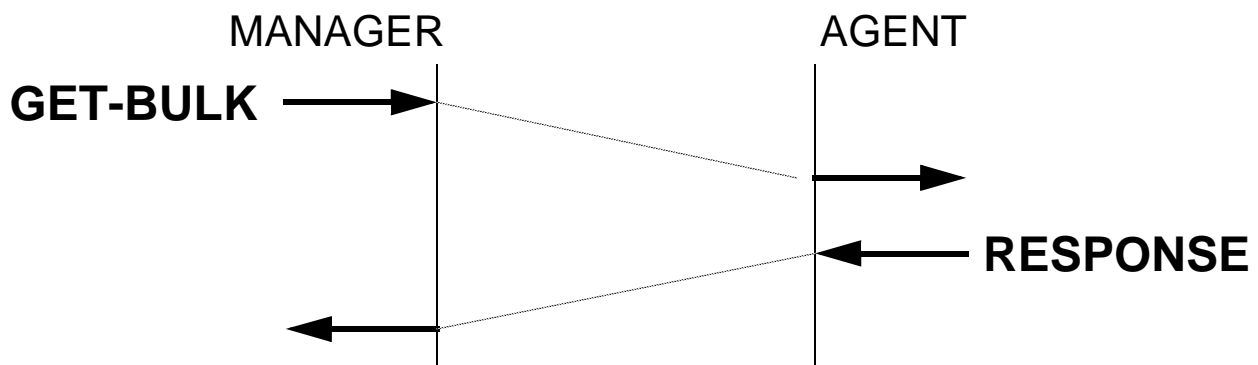
ROW STATUS



①	set status column to createAndGo	④ ⑥ →	④ or ⑥
②	set status column to createAndWait		
③	set status column to active		
④	set status column to notInService		
⑤	set status column to destroy		
⑥	set any other column to some value		



GET-BULK



GET-BULK(max-repetitions = 4; 1.1)

```
RESPONSE(
  1.1.0 => 130.89.16.2
  1.2.1.0 => printer-1
  1.2.2.0 => 123456
  1.3.1.1.1 => 1
)
```

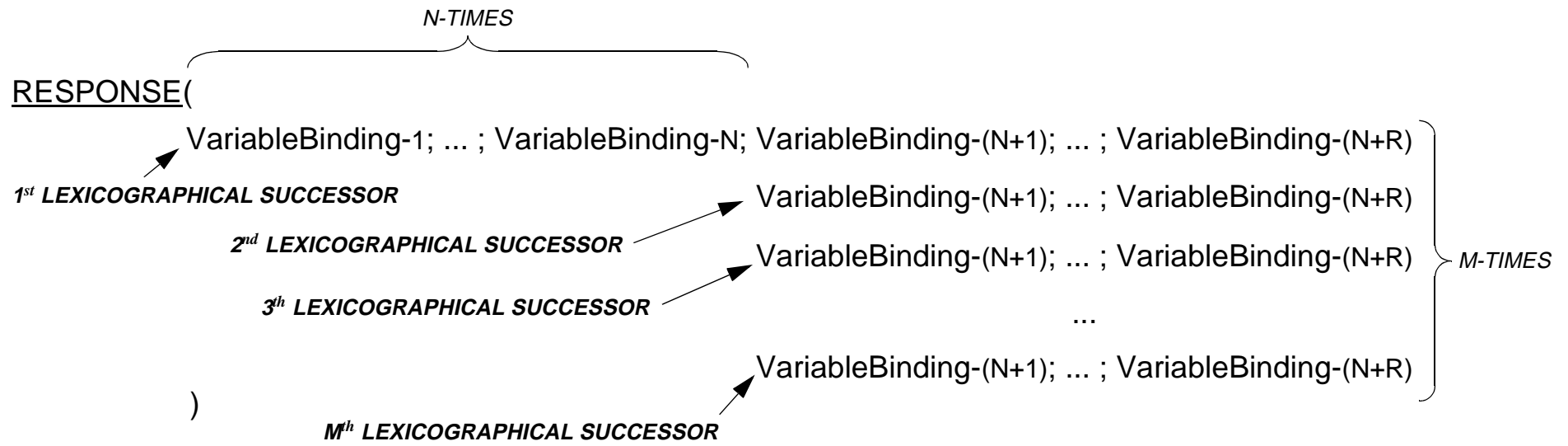
GET-BULK(max-repetitions = 3;
1.3.1.1; 1.3.1.2; 1.3.1.3)

```
RESPONSE(
  1.3.1.1.1 => 1; 1.3.1.2.1 => 2; 1.3.1.3.1 => 2
  1.3.1.1.2 => 2; 1.3.1.2.2 => 3; 1.3.1.3.2 => 3
  1.3.1.1.3 => 3; 1.3.1.2.3 => 5; 1.3.1.3.3 => 2
)
```



GET-BULK

REQUEST(non-repeaters = N; max-repetitions = M;
VariableBinding-1; ... ; VariableBinding-N; VariableBinding-(N+1); ... ; VariableBinding-(N+R)
)





ADDITIONAL ERROR CODES FOR SETS

SNMPv1

badValue
badValue
badValue
badValue
badValue
noSuchName
noSuchName
noSuchName
noSuchName
genErr
genErr
genErr
...

SNMPv2

wrongValue
wrongEncoding
wrongType
wrongLength
inconsistentValue
noAccess
notWritable
noCreation
inconsistentName
resourceUnavailable
CommitFailed
undoFailed
...



SNMPv2 SECURITY: WHAT HAPPENED?

APRIL 1993:
PROPOSED STANDARD
SECURITY BASED ON *PARTIES*
FOUR EDITORS

SOON AFTERWARDS:
FIRST PROTOTYPES

SPRING 1995:
MANAGEMENT HIERARCHIES REMOVED
SPECIAL WORKING GROUP FORMED
(DISMAN)

JUNE 1995:
*PROPOSED STANDARD REJECTED
BY TWO OF THE ORIGINAL EDITORS!*

AUGUST 1995:
GENERAL AGREEMENT THAT
PARTY BASED SECURITY MODEL WAS
TOO COMPLEX!
MANY NEW PROPOSALS APPEARED



SNMPv2 STATUS

INFORMATION MODEL:

- DRAFT STANDARD
- RFC1902, RFC1903, RFC1904

COMMUNICATION MODEL

- DRAFT STANDARD
- RFC 1905, RFC1906

SECURITY MODEL - SNMPv2C:

- COMMUNITY BASED SNMP
- SAME 'SECURITY MECHANISMS' AS SNMPv1
 - EXPERIMENTAL STATUS
 - RFC 1901

SECURITY MODEL - SNMPv2U:

- USER BASED SECURITY
 - AUTHENTICATION
 - ENCRYPTION
 - ACCESS CONTROL
- EXPERIMENTAL STATUS
- RFC 1909, RFC1910



SNMPv3

ALLOWS SECURE COMMUNICATION

ALLOWS ACCESS CONTROL

HAS A MODULAR ARCHITECTURE



MODULAR SNMPv3 ARCHITECTURE

SNMP ENTITY

SNMP APPLICATIONS

COMMAND
GENERATOR

COMMAND
RESPONDER

NOTIFICATION
ORIGINATOR

NOTIFICATION
RECEIVER

PROXY
FORWARDER

OTHER
SNMP ENGINE

SNMP ENGINE

DISPATCHER

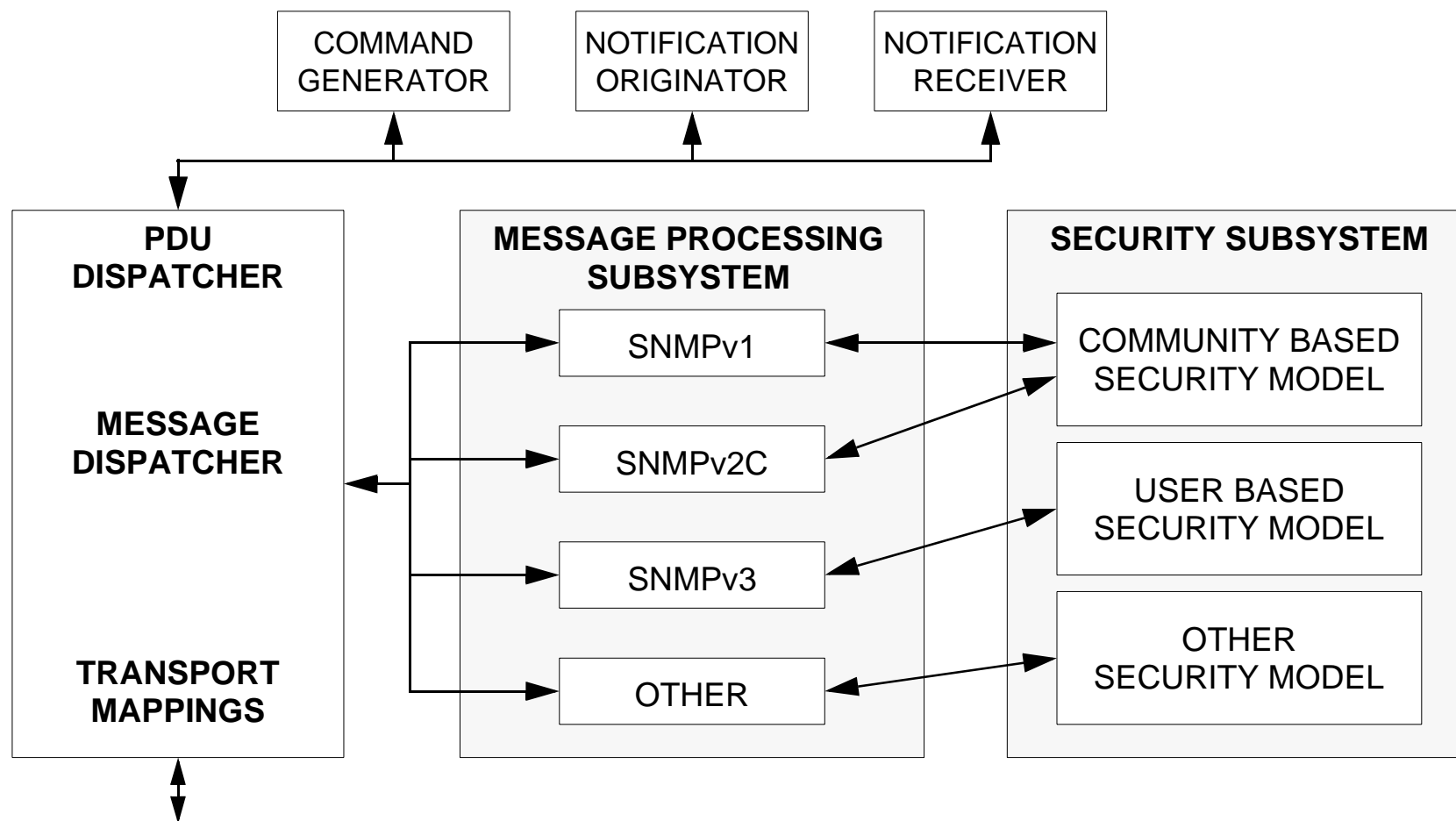
MESSAGE PROCESSING
SUBSYSTEM

SECURITY
SUBSYSTEM

ACCESS CONTROL
SUBSYSTEM

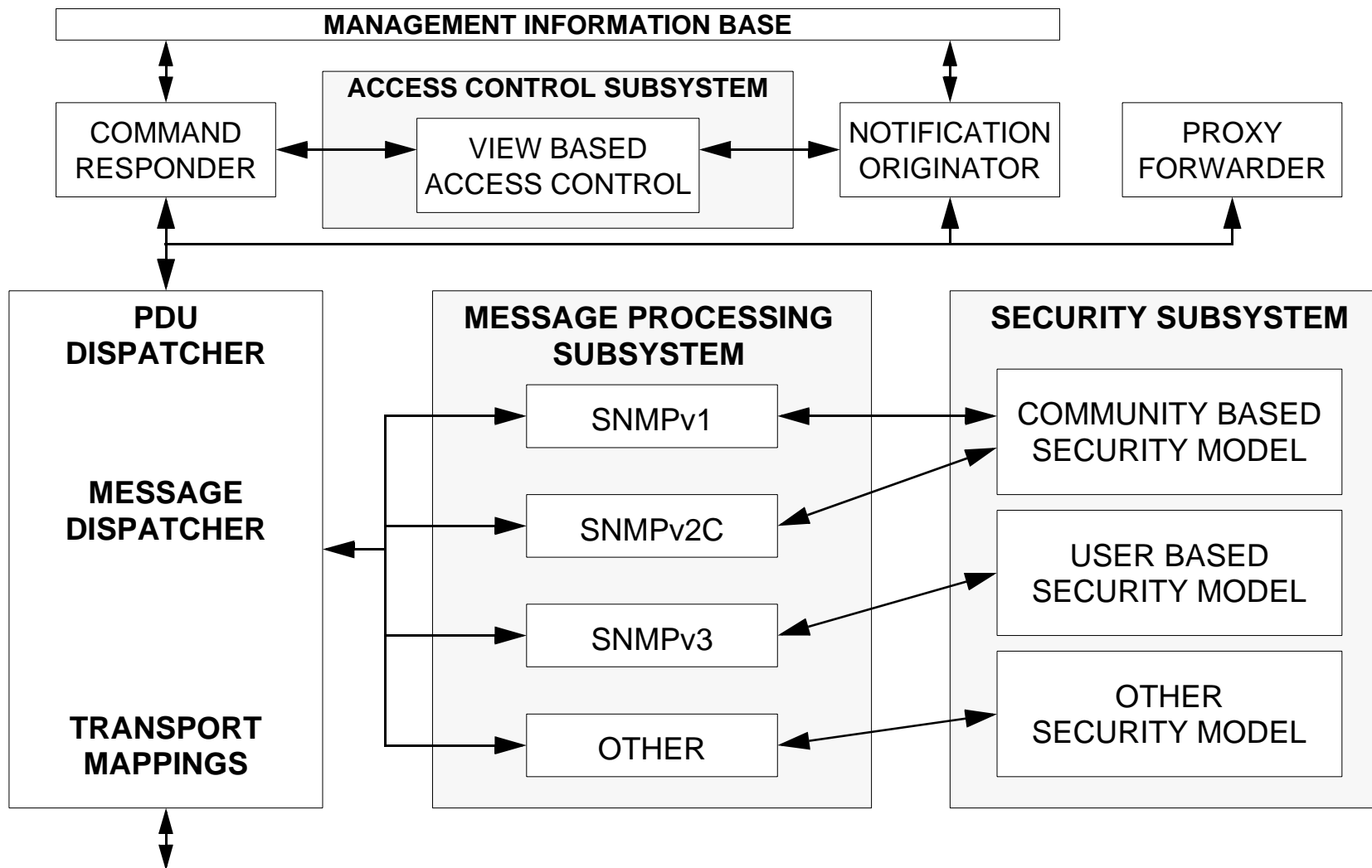


MODULAR SNMPv3 ARCHITECTURE: MANAGER





MODULAR SNMPv3 ARCHITECTURE: AGENT



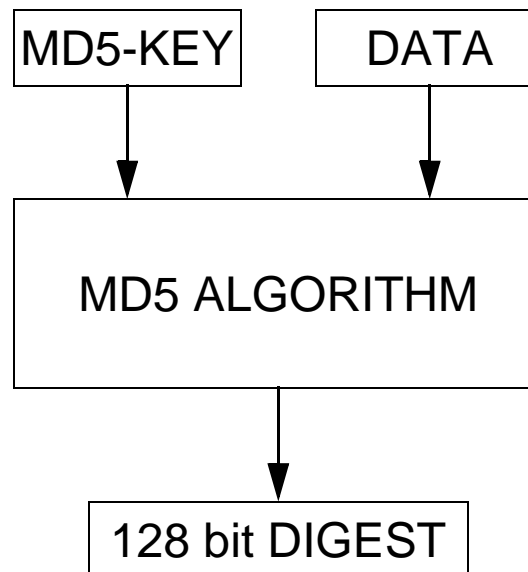


SECURITY THREATS

THREAT	ADDRESSED?	MECHANISM
MASQUERADE	YES	MD5 / SHA-1
REPLAY	YES	TIME STAMP
DISCLOSURE	YES	DES
INTEGRITY	YES	(MD5)
DENIAL OF SERVICE	NO	
TRAFFIC ANALYSIS	NO	



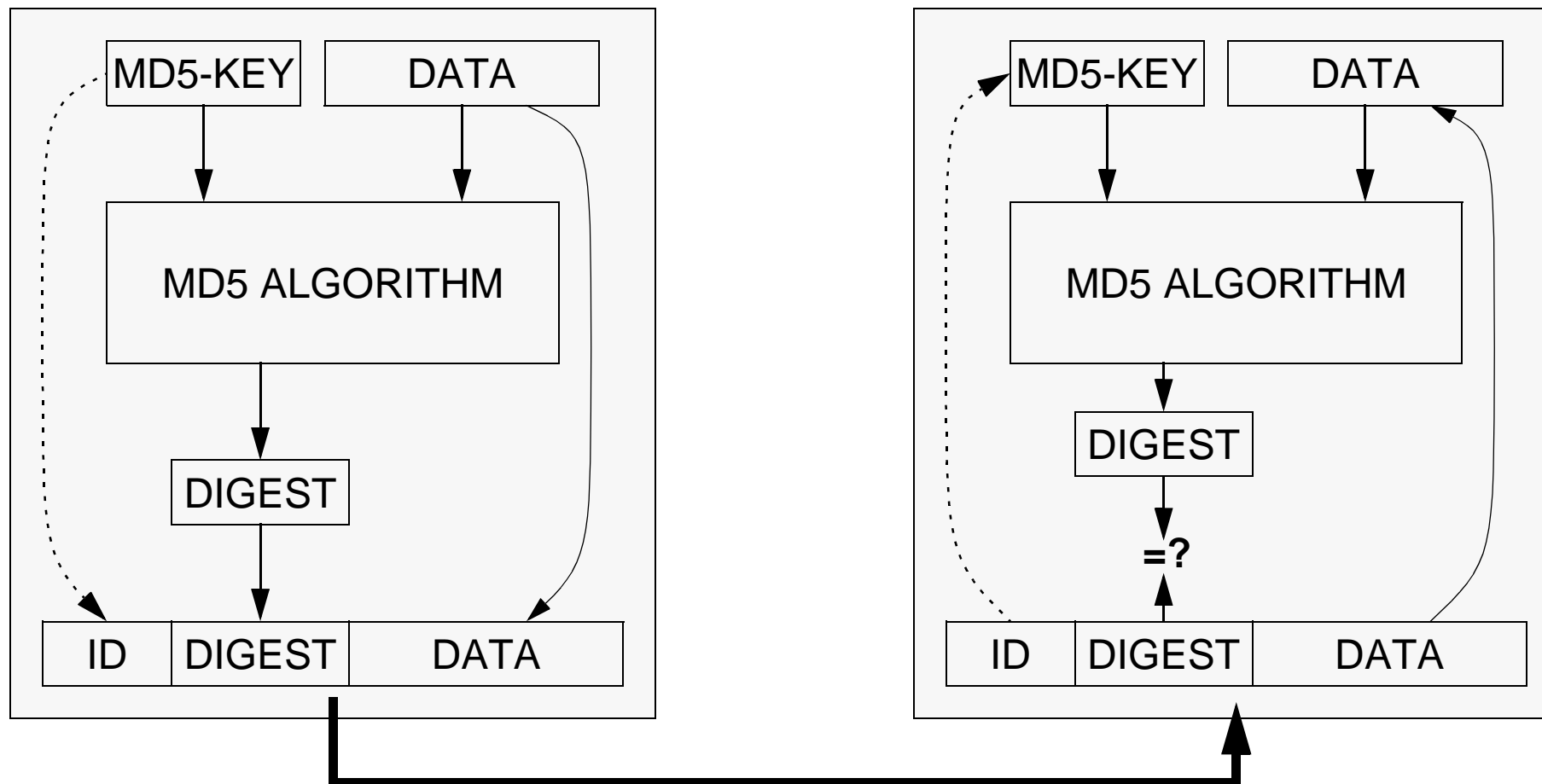
IDEA BEHIND MESSAGE DIGEST ALGORITHM (MD5)



ADD THE DIGEST TO THE DATA
AND SEND THE RESULT

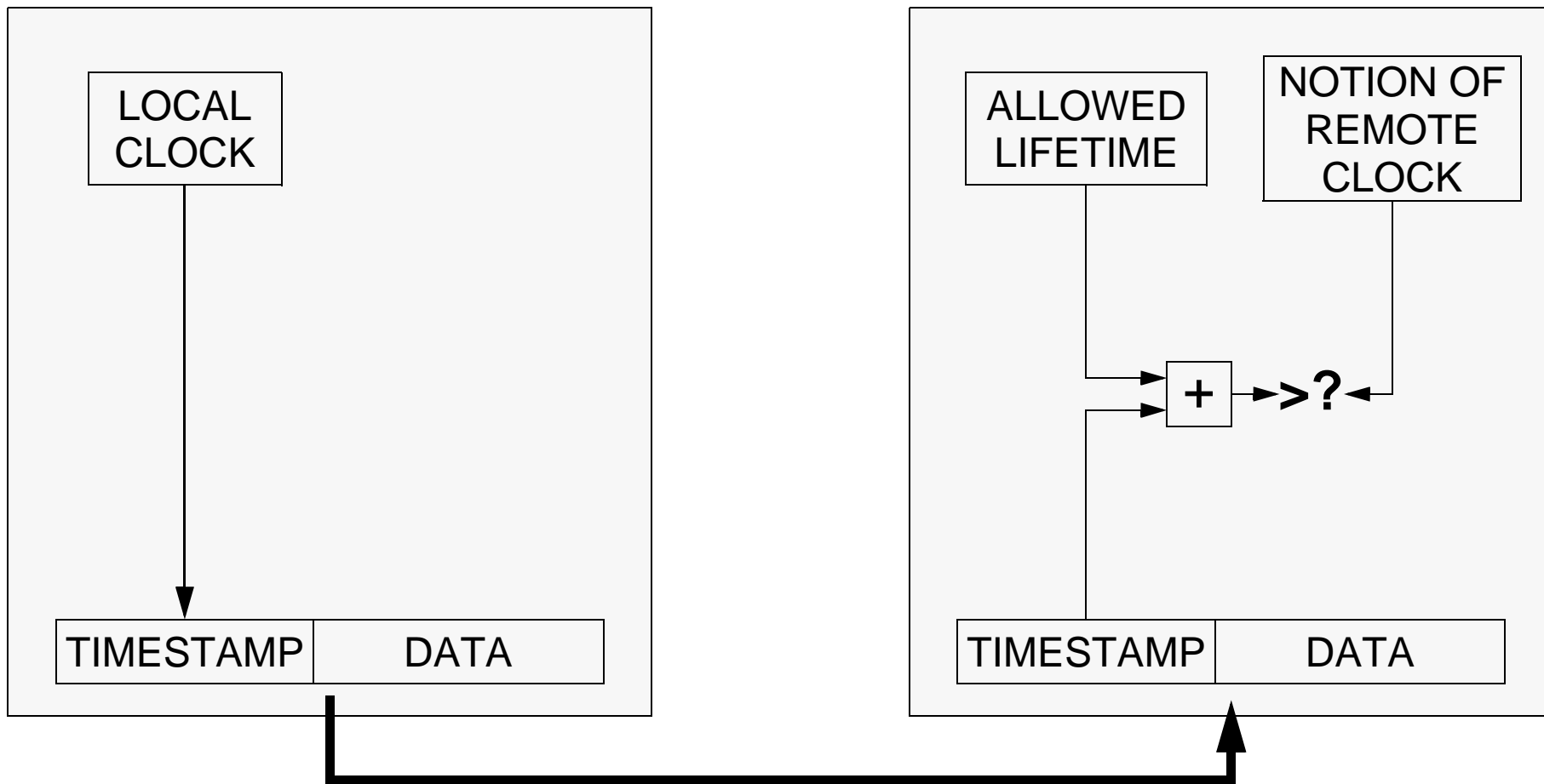


IDEA BEHIND AUTHENTICATION



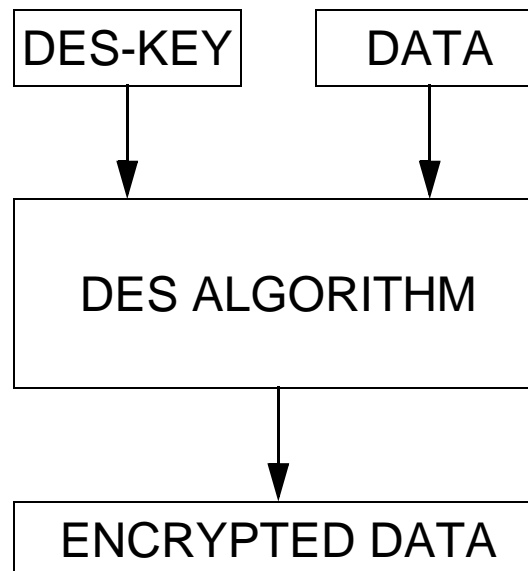


IDEA BEHIND REPLAY PROTECTION



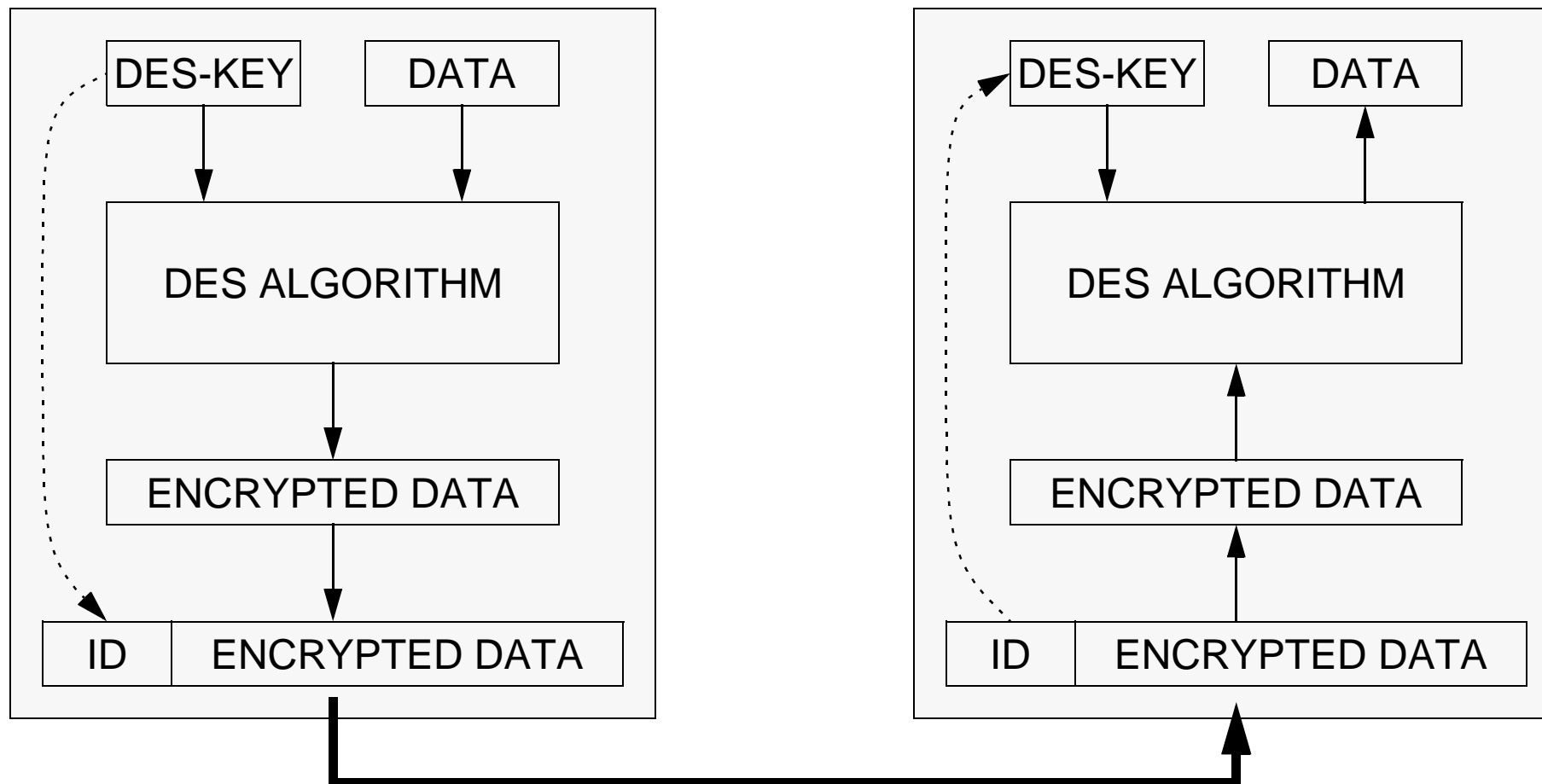


IDEA BEHIND THE DATA ENCRYPTION STANDARD (DES)





IDEA BEHIND ENCRYPTION





OTHER SECURITY ASPECTS

ACCESS CONTROL

MIB VIEWS

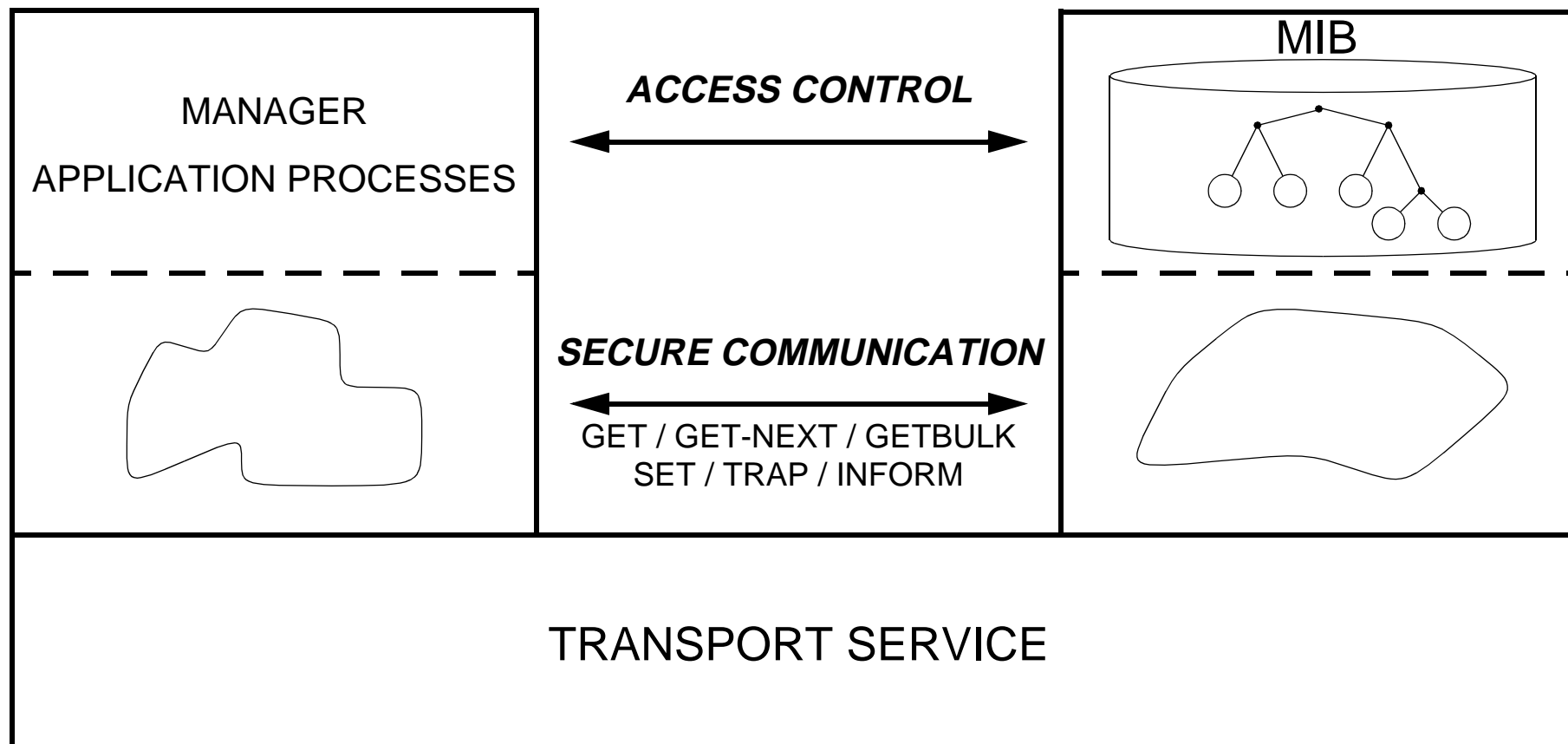
CONTEXTS



SECURE COMMUNICATION VERSUS ACCESS CONTROL

MANAGER

AGENT



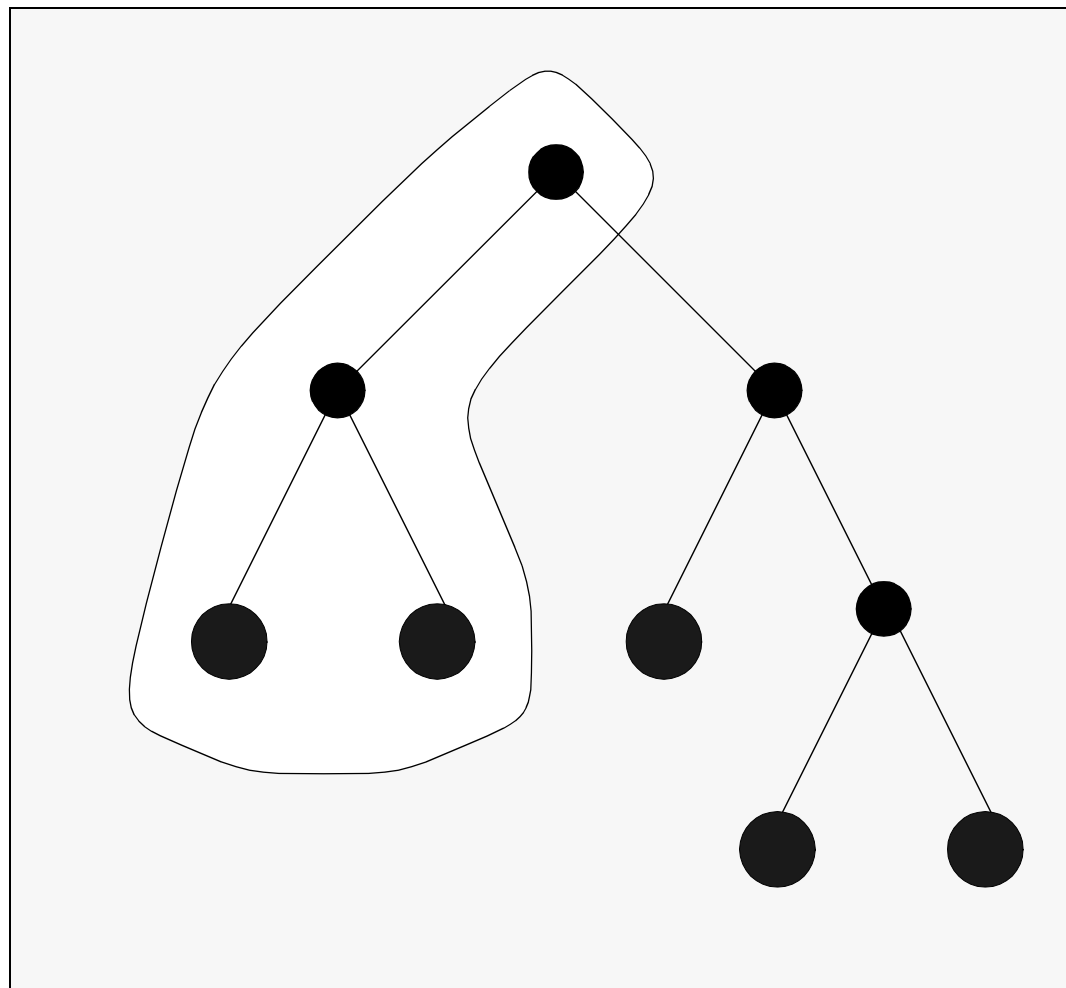


ACCESS CONTROL TABLES

MIB VIEW	ALLOWED OPERATIONS	ALLOWED MANAGERS	REQUIRED LEVEL OF SECURITY
...



MIB VIEWS





TRANSMISSION MIBs

Title	RFC	STATUS
Classical IP and ARP over ATM (IPOA)	2320	P
IEEE 802.12 Repeater Devices	2266	P
IEEE 802.3 Medium Attachment Units	2239	P
Interfaces Group MIB	2233	P
Dial Control	2128	P
ISDN	2127	P
Frame Relay DTEs	2115	D
IEEE 802.3 Repeater Devices	2108	P
Data Link Switching	2024	P
IEEE 802.12 Interfaces	2020	P
IEEE 802.5 Station Source Routing	1749	P
IEEE 802.5	1748	D
ATM	1695	P
SMDS	1694	D
Ethernet	1650	P
Frame Relay	1604	P
SONET / SDH	1595	P
Source Routing Bridges	1525	P
FDDI	1512	P
Bridges	1493	D
Bridge Network Control Protocol of PPP	1474	P
IP Network Control Protocol of PPP	1473	P
Security Protocols of PPP	1472	P
Link Control Protocol of PPP	1471	P
Multiprotocol Interconnect over X.25	1461	P
DS3 / E3	1407	P
DS1 / E1	1406	P
X.25 Packet Layer	1382	P
X.25 LAPB	1381	P



NETWORK LAYER MIBs

Title	RFC	STATUS
Integrated Services - Guaranteed Service Ext.	2214	P
Integrated Services	2213	P
RSVP	2206	P
IP Forwarding Table	2096	P
RMON Version 2	2021	P
SNMPv2	2011	P
IP Mobility Support	2006	P
OSPF Version 2	1850	D
RMON	1757	D
RIP	1724	D
BGP Version 4	1657	D
Token Ring extensions to RMON	1513	P
Identification MIB	1414	P
BGP Version 3	1269	P
MIB-II	1213	S



TRANSPORT LAYER MIBs

Title	RFC	STATUS
User Datagram Protocol (UDP)	2013	P
Transmission Control Protocol (TCP)	2012	P



APPLICATION LAYER MIBs

Title	RFC	STATUS
System-Level M.O. for Applications	2287	P
Mail Monitoring	2249	P
Network Service Monitoring	2248	P
RDBMS	1697	P
DNS Resolver	1612	P
DNS Server	1611	P
X.500 Directory	1567	P
Host Resources	1514	P



HARDWARE SPECIFIC MIBs

Title	RFC	STATUS
Entity	2037	P
Printer	1759	P
Modem	1696	P
Parallel printer-like Hardware	1660	D
RS-232-like Hardware	1659	D
Character Stream Devices	1658	D
UPS	1628	P



VENDOR SPECIFIC MIBs

Title	RFC	STATUS
HPN	2238	P
DLUR	2232	P
APPN	2155	P
APPC	2051	P
TCP/IPX Connection	1792	E
SNA Data Link Control (SDLC)	1747	P
Appletalk	1742	P
SNA NAUs	1666	P
DECNET Phase IV	1559	D
SNMP over IPX	1420	P
SNMP over Appletalk	1419	P

SYSTEM (1)

INTERFACES (2)

AT (3)

IP (4)

ICMP (5)

TCP (6)

UDP (7)

EGP (8)

TRANSMISSION (10)

SNMP (11)

APPLETALK (13)

OSPF (14)

BGP (15)

RMON (16)

BRIDGES (17)

DECnet (18)

MIB-II

OTHER MIBS

7





RELATION BETWEEN MIBs - 1

	MIB-II	HOST	REPEATER	BRIDGE	RMON
INTERFACE STATISTICS	✓				
IP, TCP & UDP STATISTICS	✓				
SNMP STATISTICS	✓				
HOST JOB COUNTS		✓			
HOST FILE SYSTEM INFORMATION		✓			
LINK TESTING			✓	✓	
NETWORK TRAFFIC STATISTICS			✓	✓	✓
TABLE WITH ALL MAC ADDRESSES			✓		✓
STATISTICS PER HOST			✓		✓

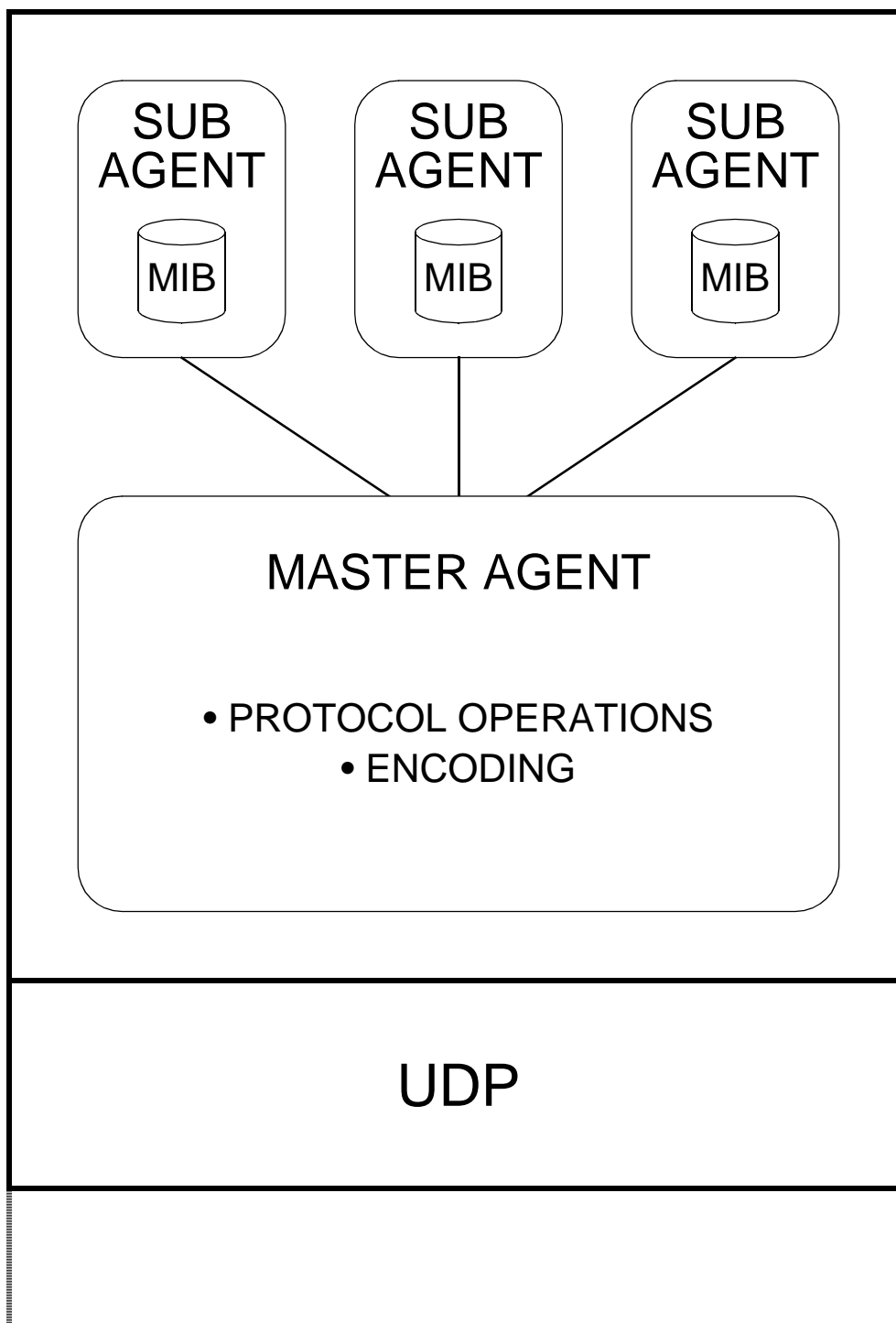


RELATION BETWEEN MIBs - 2

	MIB-II	HOST	REPEATER	BRIDGE	RMON
HISTORICAL STATISTICS					✓
SPANNING TREE PERFORMANCE				✓	
WIDE AREA LINK PERFORMANCE				✓	
TRESHOLDS FOR ANY VARIABLE					✓
CONFIGURABLE STATISTICS					✓
TRAFFIC MATRIX WITH ALL NODES					✓
'HOST TOP N' INFORMATION					✓
PACKET / PROTOCOL ANALYSIS					✓
DISTRIBUTED LOGGING					✓



EXTENSIBLE AGENTS





EXTENSIBLE AGENTS

PROPOSALS

SMUX
SNMP MULTIPLEXING PROTOCOL
RFC 1227

DPI
DISTRIBUTED PROTOCOL INTERFACE
RFC 1228 & RFC 1592

OAA
OPEN AGENT ARCHITECTURE

EMANATE
ENHANCED MANAGEMENT AGENT THROUGH EXTENSIONS

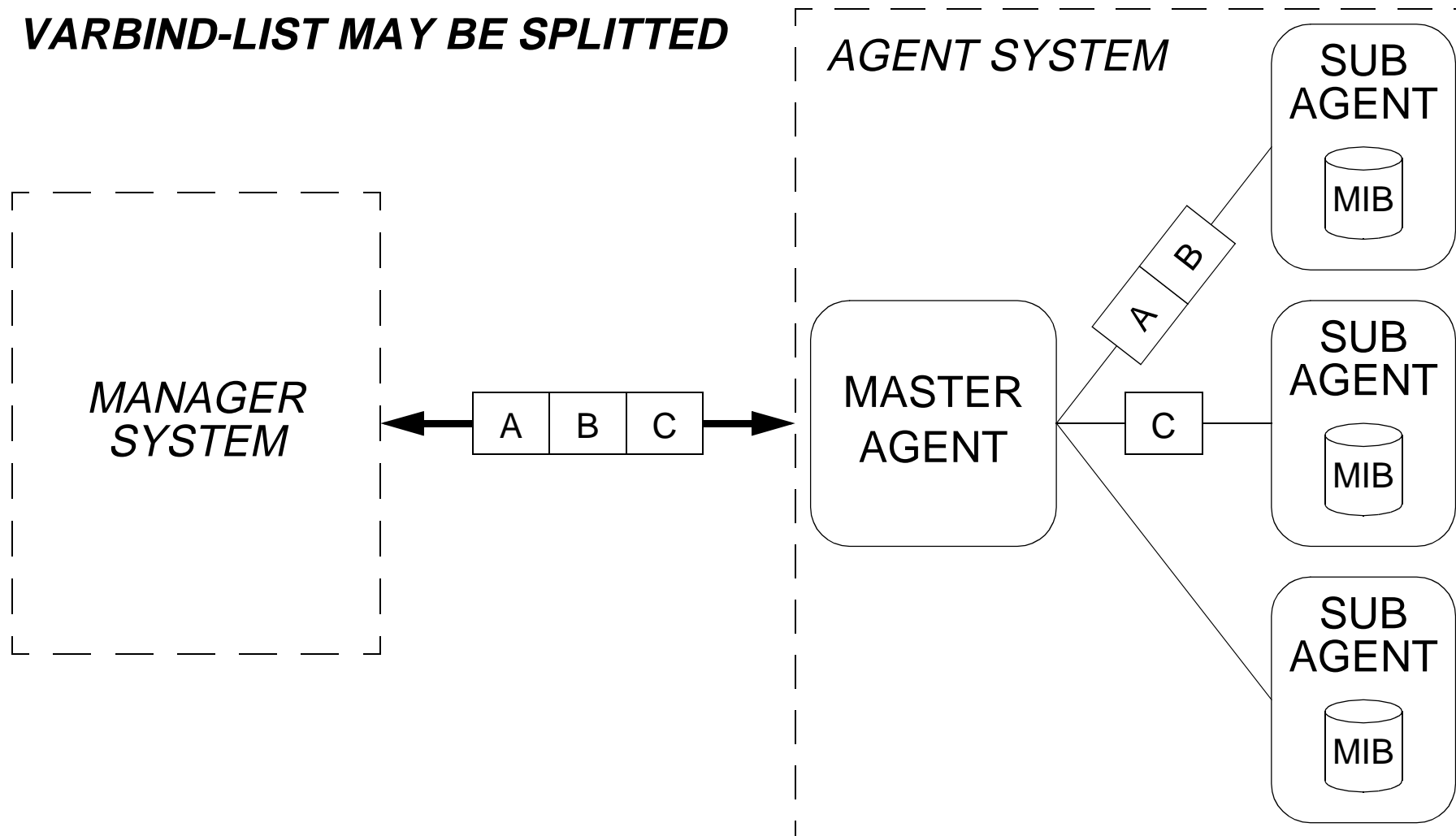
MCSA
MULTIPLE COMPONENT SNMP AGENT

UNIVERSITY OF TWENTE
UT-SNMPv2



EXTENSIBLE AGENTS

VARBIND-LIST MAY BE SPLITTED





EXTENSIBLE AGENTS

REQUIRES OID REGISTRATION:

- TOP REGISTRATION
- RANGE REGISTRATION

TABLE ENTRIES MAY BE
CREATED AND DELETED
AT RUN-TIME!



EXTENSIBLE AGENTS

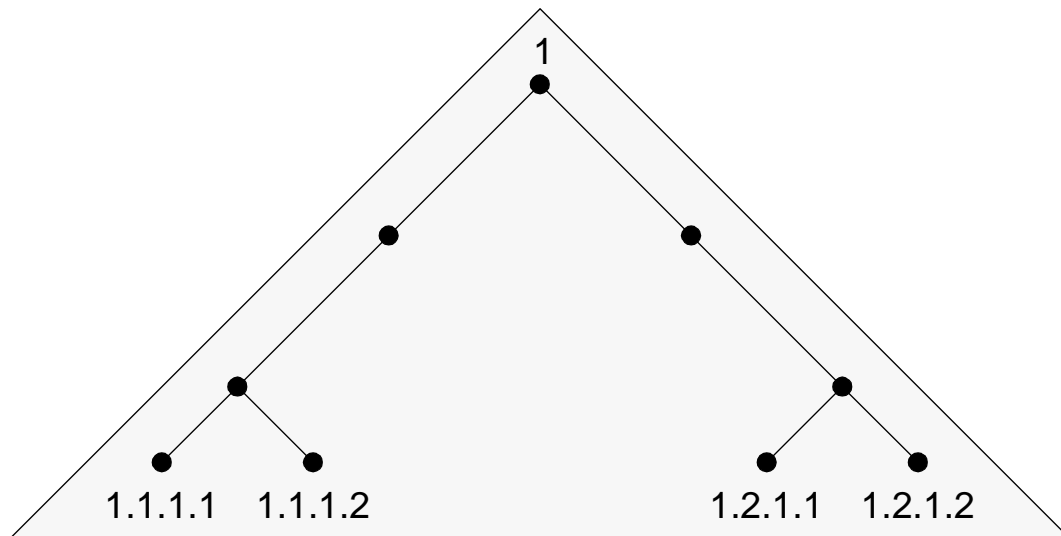
POTENTIAL PROBLEMS:

- ENTRIES OF A SINGLE TABLE
MAY BE LOCATED
IN DIFFERENT SUBAGENTS
 - DUPLICATED OIDs
 - GAPS
 - SETS

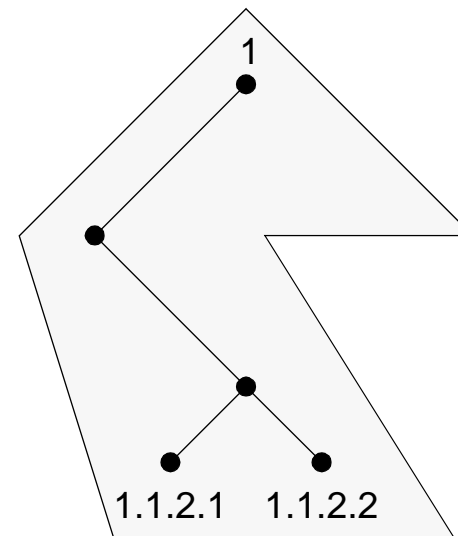


EXTENSIBLE AGENTS

SUB-AGENT 1



SUB-AGENT 2

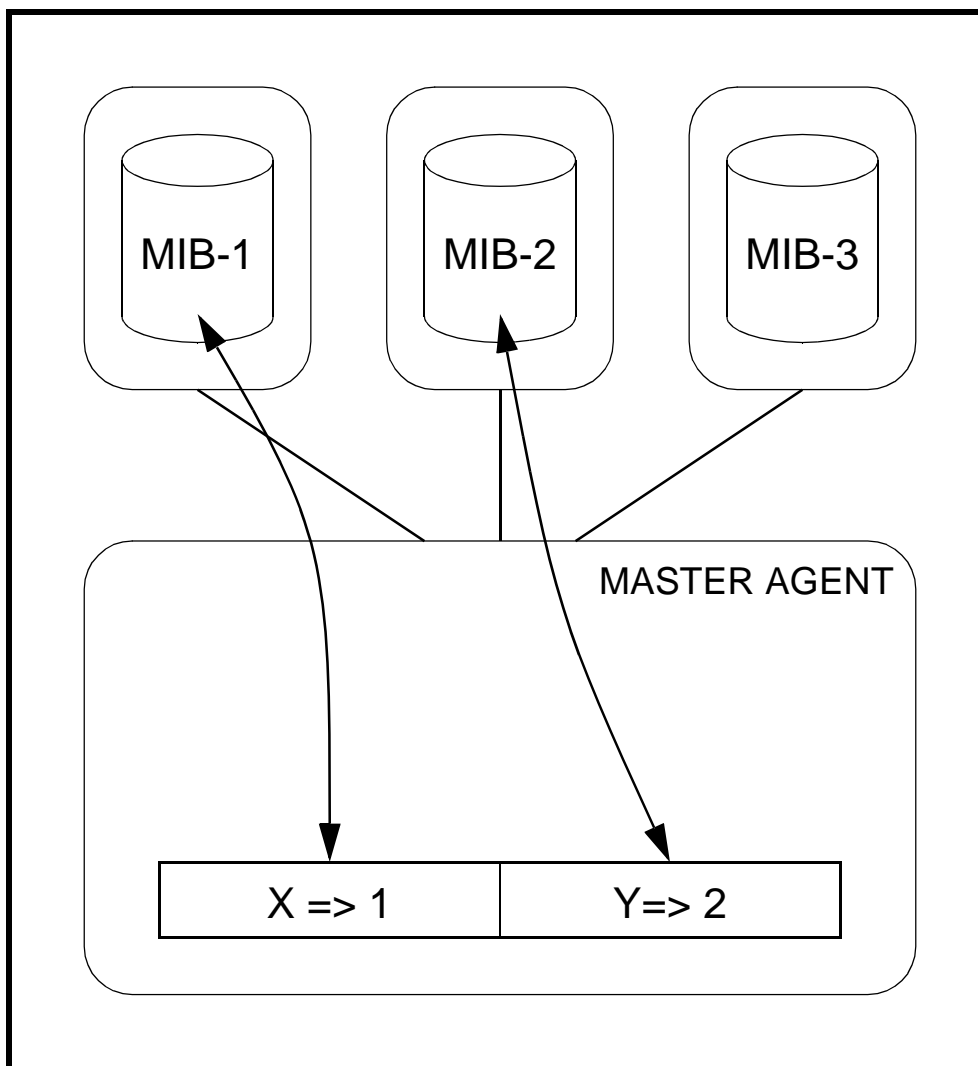


GET-NEXT ...



EXTENSIBLE AGENTS

SETS AND ATOMICITY



TRANSACTION-LIKE APPROACH

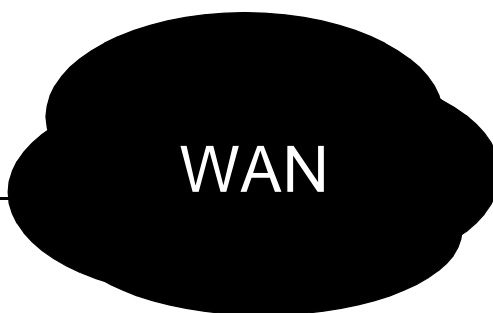
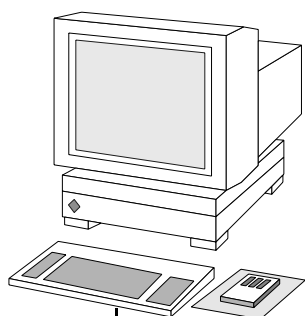
- PROBE
- COMMIT
- CLEAR / ROLL-BACK



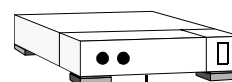
REMOTE MONITORING

RMON

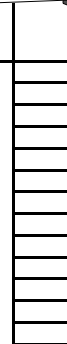
MANAGER



RMON



ETHERNET



RFC 1757



RMON GROUPS

NINE GROUPS:

- STATISTICS
- HISTORY
- HOST TABLE
- HOST TOP N
- TRAFFIC MATRIX
 - ALARMS
 - FILTERS
- PACKET CAPTURE
 - EVENTS



STATISTICS GROUP

KEEPS STATISTICS PER ETHERNET SEGMENT

SHOWS:

- PACKETS
- OCTETS
- BROADCASTS
- MULTICASTS
- COLLISIONS
- ERRORS

	< 64 Bytes	64 to 1518	>1518 bytes
WELL-FORMED PACKETS	undersize	GOOD!	oversize
BAD FCS ERRORS	fragments	CRC or alignment errors	jabber

KEEPS TRACK OF PACKET SIZE DISTRIBUTION:

- 65 - 127 OCTETS
- 128 - 255 OCTETS
- 256 - 511 OCTETS
- 512 - 1023 OCTETS
- 1024 - 1518 OCTETS



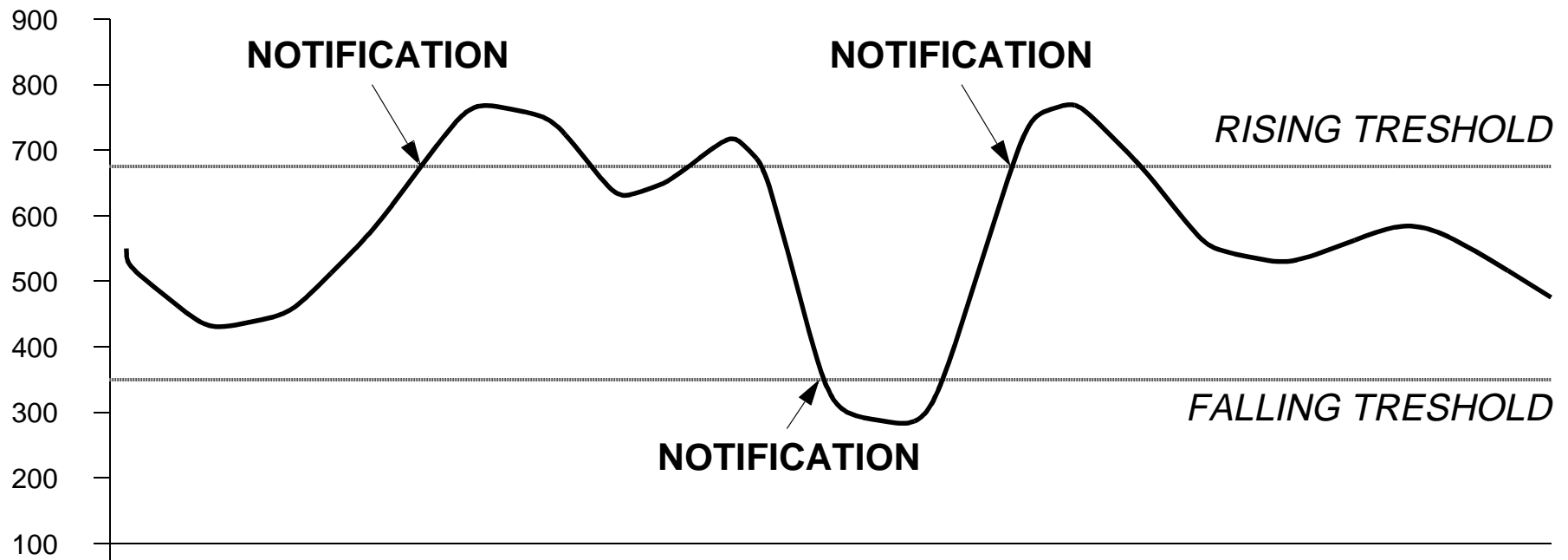
HISTORY GROUP

STORES INFORMATION OF STATISTICS GROUP
EXCEPT PACKET SIZE DISTRIBUTION

- USES A CIRCULAR BUFFER
- BUCKETS
 - SIZE MAY BE SET BY MANAGER

SAMPLING INTERVAL
MAY BE SET BY MANAGER

ALARM GROUP



ABSOLUTE OR DELTA VALUES



HOST INFORMATION

- HOST GROUP
- HOST TOP N

IN / OUT:
PACKETS / OCTETS

OUT:
BROADCASTS
MULTICASTS
ERRORS

INFORMATION INDEXED BY:

- INTERFACE AND MAC ADDRESS
hostTable
- CREATION TIME
hostTimetable
- SORTED ON SOME VARIABLE VALUE
hostTopN



OTHER GROUPS

- **TRAFFIC MATRIX**
FOR EACH SOURCE & DESTINATION
 - PACKETS
 - OCTETS
 - ERRORS

- **FILTER GROUP**
TO COUNT PACKETS
THAT CARRY A SPECIFIC BIT-PATTERN

- **PACKET CAPTURE GROUP**
TO STORE SPECIFIC PACKETS

- **EVENT GROUP**
TO DEFINE THE VARIOUS EVENTS
DETERMINE TRANSMISSION OF TRAPS

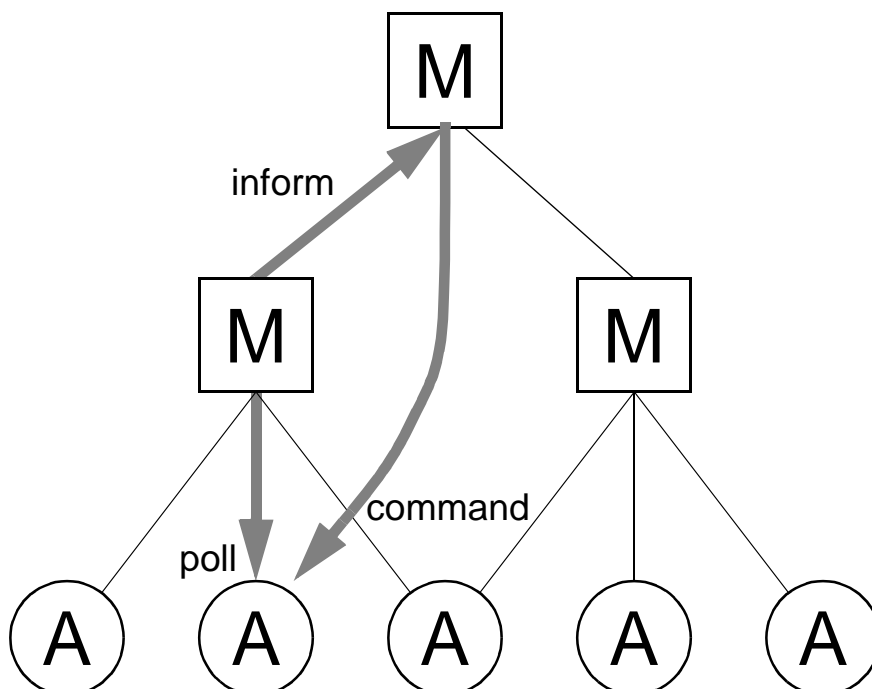


DISTRIBUTED MANAGEMENT

TWO APPROACHES ARE BEING DEFINED:

- MIB BASED
- SCRIPT BASED

MIB-BASED:



- STANDARD MIB APPROACH
- LIMITED FUNCTIONALITY
- RUN-TIME BEHAVIOUR MUST BE DEFINED AT IMPLEMENTATION TIME



SCRIPT BASED

- FUNCTIONALITY CAN BE DEFINED AT RUN-TIME
 - POWERFUL AUTONOMOUS ACTIONS
 - MAY BE EASIER TO OPERATE FOR THE TOP-LEVEL MANAGER
- PROTECTION MECHANISMS NECESSARY
 - DIFFERENT SCRIPT LANGUAGES



CMIP versus SNMP - 1

	CMIP	SNMP
model	event based	polling based
information approach	object oriented	variable oriented
complexity	agent is complex	agent is simple
state information	kept by agent	kept by manager
underlying service	CO - reliable	CL - unreliable
efficiency	good	acceptable
implementation	difficult	simple <i>(V2& V3 are more difficult)</i>



CMIP versus SNMP - 2

	CMIP	SNMP
retrieves	objects	scalars
many items	multiple replies	error: tooBIG
object selection	scoping & filtering	-
synchronization	atomic & best effort	atomic
events / traps	confirmed & unconfirmed	unconfirmed
actions	possible	via 'trick'



CMIP versus SNMP - 3

	CMIP	SNMP
security	via underlying services	- <i>authentication / encryption / ACL-lists</i>
management functions	many	none
ASN.1	full support	subset
naming structure	flexible	simple



CMIP versus SNMP - CONCLUSION

	CMIP	SNMP
price	high	low
market acceptance	no	yes



CONCLUSIONS

NEW DEVELOPMENTS

BOOKS

WEBSITES



NEW DEVELOPMENTS

WEB BASED MANAGEMENT!

EMBEDDED MANAGEMENT APPLICATIONS:

- **MANAGER IS A STANDARD WWW BROWSER**
 - **DEVICE VENDORS CAN SELL MANAGEMENT CAPABILITIES**
- **AGENT BECOMES MORE COMPLEX**
 - **USE OF JAVA**

HTTP AS MANAGEMENT PROTOCOL:

- **CONNECTION ORIENTED TRANSPORT**
 - **USE OF HTTP SECURITY**

APPLICATIONS:

- **DEVICE MANAGEMENT**
- **CUSTOMER NETWORK MANAGEMENT**



ADDITIONAL INFORMATION

BOOKS

- **W. Stallings**
SNMP, SNMPv2 and RMON
2nd edition, Addison-Wesley, 1996
ISBN: 0-201-63479-1

- **M.T. Rose**
The Simple Book
2nd edition, Prentice Hall, 1994
ISBN: 0-131-77254-6

- **M.T. Rose, K. McCloghrie**
How to manage your network using SNMP
Prentice Hall, 1995
ISBN: 0-13-141517-4

- **D. Perkins, E. McGinnis**
Understanding SNMP MIBs
Prentice Hall, 1997
ISBN: 0-13-437708-7



ADDITIONAL INFORMATION

WWW SERVERS

- The SimpleWeb
<http://wwwsnmp.cs.utwente.nl>

- The Smurfland NM Web Server
 - <http://netman.cit.buffalo.edu>

- The Simple Times
<http://www.simple-times.org/pub/simple-times>

- IETF
<http://www.ietf.org>